

UNFPA Management Response

Formative Evaluation of the UNFPA Innovation Initiative

(DP/FPA/2018/CRP.2)

Agenda Item 7: Evaluation

	UNFPA Management Response to the Formative Evaluation of the UNFPA Innovation Initiative						
Evaluation Report Issue Date	Evaluation report tag	Additional attributes	UNFPA Business Unit managing or coordinating the response and implementation	Head of Responsible Office Final approver	Focal Point Owner	Quality Assurance Contributor	Date of submission
31 July 2017	Formative & corporate/thematic evaluation	Institutional	Technical Division	Benoit Kalasa, Director, TD	Sarah Reis	Sylvia Wong	

[one staff should have only one role assigned to him/her; for example, Final approver or the Owner cannot act as a Lead implementing staff for an action point as well]

	EVALUATION RECOMMENDATION(S) AND PROPOSEI	D ACTION POINT(S)
Recommendation No. 1	Strategic decisions to frame the corporate approach to innovation	Priority: High
	UNFPA should make critical strategic decisions in order to frame the foundations for framework for and bring strategic clarity and focus to its corporate approach to innovation. The should be positioned inside the organization; (iii) how UNFPA wants to position itself within should focus on (and how); and (v) what stages of innovation (ideation, testing, scale up) UNF	ese critical decisions include determining: (i) the specific areas innovation shou I the innovation ecosystem (in the mandate areas); (vi) what forms of innovation
	Operational suggestions:	
	As a general principle, UNFPA should adapt the model for innovation and address these critical small agency compared to other UN agencies, a complex mandate and funding constraints. So organization. For example, UNFPA positioning is linked to its role as an advocate and convener position itself within innovation ecosystems to address the core problems UNFPA decides to in	Second, take stock of what UNFPA has proven to be good at by looking at its r and connecting different stakeholders to move its agenda forward in program
	• Determine the specific areas that innovation should prioritize and focus on:	
	 O This strategic decision should answer the questions: What is innovation for at UNFPA? The innovation is). It should also answer the question: In what areas (thematic, operational Good candidates for focus areas would be areas that emerge as a response to the question or reversing, at areas where business as usual has not worked so far, or at areas suffering O The comparative analysis with other UN agencies shows that innovation agendas tend to we that make a difference. UNFPA should identify the core problems it wants innovation to for the formation of the core problems. 	I) should innovation focus on? This would determine the scope of innovation a: For which problems does UNFPA needs an innovative solution? This would from setbacks in delivering the mandate (areas where successes are smaller the work around a specific problem. The clearer the focus of innovation, the higher
	• Determine how innovation should be positioned inside the organization:	
	 When determining the positioning of innovation in UNFPA, it would be advisable to differe innovation in terms of innovative organizational processes and policies (systems), which work (staff mindsets). The reason for this distinction is that they require different type partnerships with innovation ecosystem actors, whereas a lot may be accomplished on intimidsets with existing resources. How UNFPA positions these three innovation domains in the organization should be reflected by the stable of the statement of	refers to improvements in business processes ; and innovation in terms of cu es of support and respond to different drivers. Developing innovative solution novation in business processes with core resources. Similarly, a lot could be ac ected in the UNFPA Strategic Plan. The implementation of exchange mechanism

g a series of strategic decisions in order to set the hould prioritize and focus upon; (ii)how innovation ovation (e.g. products, services, processes) UNFPA
cific characteristics of the organization: a relatively at its comparative advantages and strengths as an gramme countries. UNFPA could use these assets to elements to take into account in each decision:
should not be a discussion on the definition of what tion. would point at areas where outcomes are stagnant er than expected). gher the chances of generating innovative solutions ohase of the Innovation Initiative.
lutions (addressing challenges in mandate areas); of culture , that is, staff's innovative approaches at lutions is more intensive in external funding and e achieved on innovative approaches to open staff

nisms to link innovation with other business units

	UNFPA has not yet incorporated an ecosystem-h	y advisable to explore the possibility of UNF	plicit model to da			increased staff creativity) as a producer of innovative solutions (together and facilitator of those innovation processes that aim at solving the core
	It would be advisable to identify the sequence -	wanted to focus innovation on products (e.g.	re problems seleo	cted and use that s		nine how each form of innovation will be supported e.g. if the chosen core spond to those business processes (procurement, logistics) and modes of
• Determine what stages of innovation (ideation, testing, scale-up) UNFPA wants to support and how: The Innovation Fund has essentially focused on funding the implementation of in-house generated proofs of concept. ² The focus of the Innovation Fund is now moving to scaling up tested innovations that protect the implementation of in-house generated proofs of concept. ² The focus of the Innovation Fund is now moving to scaling up tested innovations that protect to be explored. In this context, and in order to develop a well-fitted model for innovation at UNFPA, it would advisable to explore possil combinations e.g. supporting the testing of an idea through the Innovation Fund to then scale up through partnerships and advocacy; supporting the scale-up of solutions implemented by others outside with co-funding or through facilitation and advocacy); or by open crowd-sourcing of ideas around core problems to external actors and internal staff.						innovation at UNFPA, it would advisable to explore possibilities for other the scale-up of solutions implemented by others outside UNFPA (either
Management Response to [Accepted/Partially Accepted/Reject	Recommendation acceptance status red]	Agreed UNFPA has embraced innovation as a corporate priority and sees innovation as an indispensable engine to bring about transformative change for women, girls and young people, as laid out in UNFPA's Strategic Plan 2018-2022. Deepening and broadening our reach will require strengthened partnerships and innovation to leave no one behind and reaching the furthest behind first. UNFPA further recognizes that given the rapidly changing contexts and resource constraints, it is time to harness new innovations that can provide breakthrough solutions for longstanding challenges to universal sexual and reproductive health.				
		Moreover, the formative evaluation's findings and recommendations form the basis for the new Innovation Strategy. The operationalization of this Strategy is articulated in the relevant components that follows in this Management Response, and emphasizes four key strategies to promote innovation to improve programming and results: (1) creating innovation solutions with partners to address development bottlenecks; (2) scaling up innovations that have proven to be successful; (3) partnering with innovators from the public and private sectors; and (4) institutionalizing a culture of innovation in UNFPA.				
		Finally, it is worth nothing that UNFPA, in commissioning this formative evaluation of the Innovation Initiative, is an innovation in itself, as it is the first of its kind in the UN system. A comparative analysis of innovation across the UN system was also a key product of the formative evaluation, and this analysis serves as a public good to the UN system to foster learning and strengthen collaboration across agencies.				
If recommendation is partially a	accepted or rejected, provide reasons:					
If recommendation is accepted or	partially accepted, list, below, action(s) that will lo	gically lead to its implementation, ideally with	in a year of the do	ite recommendatio	n was issued	
Action point title	on point title Action point text		Due date DD/MM/YYYY)	Lead implementing unit	Lead implementing staff [name]	Additional units involved in implementation (if any)
Innovation focus areas The UNFPA Innovation Strategy highlights four thematic areas to be prioritized: 1. mHealth for adolescents and youth 2. Innovative financing 3. Data for development 4. Last mile SRH commodity accessibility		thematic areas to be prioritized:	31/01/2018	Senior Management, Technical Division	TD Director/Innov ation Sponsor Innovation Specialist	Technical Division, Programme Division, Regional Offices

¹ Services refer to delivery methods by mode of engagement; processes refer to business processes and are linked to organizational efficiency; and products refer to either supplies/commodities or tools and devices related to delivery.

² Innovation days worked on ideation to a certain extent. However, innovation days and the design of project proposals (for testing/implementing proofs of concepts) were related in one a quarter of the cases. The Hack for Youth project incorporated a mixed approach (internal-external) to generate ideas.

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Positioning of innovation in	Innovation is relevant for <i>all</i> parts of UNFPA. However as the focus will be primarily on	31/01/2018	Senior	TD	Technical Division, Pr
UNFPA	innovation for state-of-the-art development solutions for UNFPA's thematic priorities, it is		Management,	Director/Innov	
UNFPA	strategic for the leadership of innovation to remain within the Technical Division. In addition,		Technical	ation Sponsor	
	the revitalized Inter-divisional Working Group (IDWG) will be comprised of staff whose		Division	Innovation	
	functions will support strengthening UNFPA's positioning on innovation.			Specialist	
Desitioning of UNEDA	UNFPA is transitioning to a more partnership-oriented approach to innovation, i.e.	31/12/2018	Technical	TD Director/	Regional Offices, Strat
Positioning of UNFPA	innovations will be sourced externally as well as internally. Where UNFPA has substantial		Division	Innovation	Communication and S
innovation within the	technical expertise and experience of innovating in a given area, we will seek to play a thought			Sponsor,	
innovation ecosystem	leadership role. The field of mHealth for adolescents and youth has already been identified as			Innovation	
	one where UNFPA can play a leadership role based on our diverse experience.			Specialist	
Innovation focus and its place	The decision on focusing on products/services/processes will be made on a case by case basis	31/12/2018	Technical	Innovation	Innovation IDWG
Innovation focus and its place	and driven by the types of programmatic challenges that the proposed innovation would		Division	Specialist	
in products, in services, in	address. In most circumstances, it is not possible to separate them. Taking the examples			-	
processes	provided by the evaluators, investing solely in RH commodities without adequate attention				
	to the quality of services through which they are delivered, or the policy context which affects				
	access, is unlikely to be successful.				
Innovation Strategies (ideation,	UNFPA is moving away from an approach that was solely focused on ideation and piloting to	31/03/2018	Technical	Innovation	Innovation IDWG
testing, scale-up) UNFPA wants	one that also incorporates scaling up proven and successful innovations, whilst maintaining		Division	Specialist	
to support and how	space for new untested ideas, as articulated in the Innovation Phase II Strategy.				

Recommendation No. 2	Innovation Business Case and Inter-divisional Working Group on Innovation.	Priority: Medium
	Further develop the Innovation Business Case into a corporate framework for innovation for the next The Innovation Business Case drawn by the Technical Division with support from IDWG members should fu operational frame for the Innovation Initiative. The corporate framework on innovation should have the buy- results of the critical decisions called for in Recommendation 1. Once endorsed by the Executive Committee, it for the next four years, alongside UNFPA's Strategic Plan.	rther evolve into a corporate framework for inn in of the technical, the programme and managen
	This corporate framework should add to the Business Case a brief theory of change and an M&E mechanism to also revisit the scope, roles and composition of the IDWG, ensuring that incentives are in place to improve the p	
	Operational suggestions:	
	• Ensure that the Innovation Business Case, as it develops into a corporate framework, provides a stance innovation domains (impact solutions, business processes and culture/staff mindsets).	e on the five critical questions in Recommendation
	• Adjust the roles and functions of the IDWG so that there is a shift from being doers to becoming advoc	ates of the Initiative, both within their business u
	• IDWG members should be given a clear mandate and explicit senior management endorsement to over This mandate should include specific time allocations to carry out the task.	rsee the implementation of the Innovation Busine
	• The role of the IDWG should move away from the current focus on activities to a focus on advocacy. II IDWG members should liaise and facilitate (within their spheres of influence) to ensure that there is a provide the statement of the stateme	
	• IDWG members should convene with the innovation sponsor at regular intervals to jointly assess pro- and taking corrective measures as required.	gress on the implementation of the Business Case
	• Validate the eight-prong vision developed in April 2015, retaining relevant elements and integrating th	em in the current UNFPA Innovation Business Ca
	• Make sure that the scope of the Innovation Business Case incorporates coordination mechanisms betwee iAccelerators and innovation projects not supported by the Innovation Fund).	een the currently fragmented elements of the UNI
	• The theory of change should reflect the main outcomes pursued and should make assumptions in the during the first phase of the Innovative Initiative led to different interpretations of the logic of the In evaluation to examine whether they hold true and the reasons why, generating insights for refining the	nitiative and the Innovation Fund. Moreover, ma

Programme Division, Regional Offices, OED

rategic Partnership Branch, Division for l Strategic Partnership

Inter-divisional Working Group on Innovation. innovation in UNFPA, becoming the strategic and gement divisions and should concisely present the a common understanding of the innovation model

of the Innovation Initiative by 2021. UNFPA should implementation of the Business Case.

lation 1 as well as UNFPA perspective on the three

ss units and outside.

siness Case / Corporate Framework on innovation.

ation is anchored in the organization. In particular, 1 UNFPA.

Case / Corporate Framework, making adjustments

s Case.

UNFPA innovation model (i.e. the Innovation Fund,

ative evaluation, the absence of a theory of change making assumptions explicit will enable the 2021

	• The M&E mechanism for the Initiative should reflect outputs and outcomes in the B Regional networks should play a key role in collecting data on output and outcome in				
	• Include the replication of the East and Southern Africa innovation network model in other geographical regions as an item in the Business Case / Correct to start exploring possible pathways to adapt the regional network model and the preconditions that should be in place to start the replication.				
	 Include a two-page infographic-based pitch, making the case for investing in innovati and reproductive health, for population data) based on the organization's comparative 		itch should emphasize the adde	ed value of U	
31Management Response to Recon status [Accepted/Partially Accepted/Rejected]	nmendation acceptance Accepted				
If recommendation is partially accepted or	rejected, provide reasons:				
If recommendation is accepted or partially ac	cepted, list, below, action(s) that will logically lead to its implementation, ideally within a year of t	he date recommenda	ition was issued		
Action point title	Action point text	Due date (DD/MM/YYYY)	Lead implementing unit	Lead in	
Innovation Business Case and Inter- divisional Working Group on Innovation	The Business Case has been developed (renamed as Innovation Strategy) and will be presented to the Executive Committee for feedback in September 2017.	31/01/2018	Technical Division	Innovatio	
	Redraft ToR for IDWG and issue call for nominations	31/01/2018	Technical Division	Innovatio	
	Develop ToC to guide the next phase of innovation	30/06/2018	Technical Division	Innovatio	
	Develop results framework for Innovation Strategy	30/06/2018	Technical Division	Innovatio	
	Convene regional innovation workshops to establish innovation communities of practice (as done by ESARO in 2016 and ASRO in 2017)	30/06/2019	Regional Offices	Relevant Innovation Innovation	
	Develop two page pitch for resource mobilization	30/04/2018	Resource Mobilization Branch, Division for Communication and Strategic Partnership	Resource Specialist Innovatio	

Recommendation No. 3 Hu		Human resources for innovation	Priority: High			
		Make the critical investments in human resources to ensure a feasible and credible business case for innovation. UNFPA should undertake the minimu case for innovation credible and feasible for external funding. Two types of investments are required; those involving direct funding and those involving resour within the first type include ensuring the minimum human resource capacities at the Innovation Fund Secretariat and ensuring well-resourced regional innovat				
		The second type of investment requires investing in one fully dedicated innovation person in each region. Priorities for reallocations for the Innovation Fund Manager function at the Secretariat and identifying innovation champions in country recognition).				
		Operational suggestions: UNFPA should consider the following:				
		At the Innovation Fund Secretariat:				

ation on the Strategic Plan's innovation indicators. prate Framework).

orate Framework. Establish a replication task force

INFPA within the innovation ecosystem (for sexual

mplementing staff [name]	Additional units involved in implementation (if any)
n Specialist	IDWG
Regional Office n Leads n Specialist	IDWG
Mobilization	Technical Division
n Specialist	

imum critical investments necessary to making the ource allocations but no additional funds. Priorities wation networks.

ional funding include securing the necessary time with adequate time, responsibility allocations and

	 The human resource base at the Secretariat should aim at including a full-time manager assistant. (This operational suggestion builds on the comparative analysis with other UN ag Fund). In the event that this level of staffing is not feasible, at least ensure that: A position for administrative support staff is filled at the Secretariat in order to allow to The Innovation Fund manager should be able to allocate at least 50 per cent of her o leveraging the Innovation Fund. 	encies and also takes into a he innovation technical sp	account current UNFPA exp pecialist to devote time to s	pectation substanti
	At regional and country offices:			
	 Consider changing the innovation focal point designation. Some options would be 'innov hereon). The terms focal point, at times, has connotations in terms of additional workload, Each regional office should have a full-time regional innovation champion. Preferably, peregion should fill these positions. Establish networks of innovation champions in the regions where there are no networks yea Other approaches such as innovation task teams or innovation task forces around specific teams — including the innovation champion — in country offices as opposed to only have innovation responsibilities to one person. Establish country office innovation task forces, including staff across technical and operation. Avoid top-down direct appointments when identifying innovation champions. Ideally, the members with the motivation, attitude and determination to fill the role. 	unclear responsibilities an ople with the ability, know et. Regional innovation net issues could also work in ring innovation champions onal areas to avoid innova	nd non-required technical vledge and networking ski works do not necessarily h regions with staff constrain s. This would avoid the adv tion champions working in	specializ ills to lin nave to re nts. Mor verse eff n isolatic
	Set up a task force to explore possibilities of replicating the ESA innovation network exper	ience in other geographica	ll regions.	
Management Res	ponse to Recommendation acceptance status Accepted			
	partially accepted or rejected, provide reasons:			
If recommendation is a	ccepted or partially accepted, list, below, action(s) that will logically lead to its implementation, ideally within a year of the do	ite recommendation was is:	sued	
Action point title	Action point text	Due date (DD/MM/YYYY)	Lead implementing unit	Lead
Recruit staff to the Innovation Fund Secretariat	The need to hire more staff is acknowledged and will be addressed within the context of the current funding situation. As an initial step, we are completing recruitment and onboarding of junior professional officers. This will allow the technical specialist to pay greater attention to more strategic level activities such as partnership development and horizon scanning.	30/06/2018	Technical Division	Innov
Staffing at regional and country offices	Discussions with regional innovation champions are underway to identify key actions to strengthen their capacity to support innovation within their regions. ESARO represents the most mature model to date, and has linked with other regional offices (e.g., LACRO, ASRO, etc.) to share their experiences and opportunities for nurturing regional networks and embedding innovation within their region. ASRO has already established an innovation community of practice. The young innovation fellowship programme was considered as a possible option to strengthen innovation capacity at regional level. The IDWG will look into detailed issues for guidance to strengthen capacities in regional and country offices.	31/03/2018	Regional Offices	Regio Innov Innov

Recommendation No. 4	Repositioning of the Innovation fund	Priority: High
	Consolidate, redesign and reposition the Innovation Fund. UNFPA should re-think the I This re-design should respond and align to the five critical decisions presented in Recommons Fund should evolve from the current internal 360-degrees experimental fund is the five critical decision of the should evolve from the current internal 360-degrees experimental fund is the five critical decision of the should evolve from the current internal 360-degrees experimental fund is the five critical decision of the five cri	nendation 1. UNFPA should reposition the Innovation Fund with a view

); a technical assistant (P3); and an administrative ions on the Innovation Initiative and the Innovation					
ntive innovation rela p development and n	ted tasks. resource mobilization through					
alization.	nampion' (the term used from innovation ecosystems in the					
oreover, it would be	on champions in every country. advisable to set up innovation n staff and offices directing all					
tion and to maximize	e innovation buy-in.					
nanagement conside	rations with self-selected staff					
ad implementing staff [name]	Additional units involved in implementation (if any)					
ovation Specialist	Division for Human Resources Resource Mobilization Branch					
gional Office ovation Leads ovation Specialist	Technical Division					

forthcoming second phase of the Innovation Initiative. w to focusing and rationalizing financial support. The lective, co-funding based, leverage-driven mechanism

focusing on solutions aimed at solving the core problems UNFPA has decided to innovate for. UNFPA should also prioritize consolidating the results, culminating the first phase sharing lessons and launching the second phase in a communicative and engaging fashion.
Operational suggestions:
 Close the first phase and launch the second phase using a communicative and engaging manner: Conduct an internal kick-off event launching the next phase of the Innovation Fund. Use the event to convey key messages in terms of what has been achieved and the way forward by announcing the main elements of the innovation Business Case / Corporate Framework.
• Capture the most relevant insights of the first phase with a focus on implemented projects (including those discontinued) and share them across the organization by presenting and discussing them in the second phase launch event.
• Optimize the currently unused knowledge base generated by the Innovation Fund (Innovation Hub, blogs in My Voices) by making the wealth of data generated by the five rounds of calls for proposals readily and easily available. Use the event to communicate these resources. In addition, re-design and update the external innovation website ³ so that it becomes a showcasing platform as well as a means to communicate to the outside world.
 Produce visual, user-friendly materials that explain the functioning of the Innovation Fund (include target users, rationale, governance mechanisms, selection criteria and user journey), making them easily accessible and readily available.
 Move to a selective, co-funding based, leverage-driven approach: Take a selective approach to funding innovation. First, by focusing on innovative solutions aimed at solving the core problems UNFPA has decided to innovate for (priority technical thematic areas). Consider funding innovations in business processes and in culture with existing resources, using the Innovation Fund only as a complementary leverage instrument. Second, by introducing a grading system to innovative solutions when allocating funds; differentiating between those new to the country, those new to the organization and those new to the sector (innovative for the ecosystem).
• Move the Innovation Fund to a co-funding mechanism for the transition to scale of supported innovation solutions that have succeeded in the testing/proof of concept phase. A co-funding approach would enhance ownership, commitment and engagement.
• Use the Innovation Fund to leverage external funding (at the headquarters and country/regional levels) when testing solutions aimed at solving core problems. The Innovation Fund could be used as a lever to bring into play other relevant actors and to facilitate the UNFPA convening and advocating roles in the innovation ecosystem. This leverage function can also foster partnerships and is better fitted for an ecosystem-driven approach to innovation.
 If UNFPA decides to continue using the Innovation Fund to support additional rounds of early-stage innovations: Ensure that the approach evolves from implementing projects to testing priority solutions — from the ideation to transition to scale. This implies a higher role for management in priority setting, and for innovation networks playing a role in pre-scanning and preparation of pitches. Continue the current evolution towards brief pitches and concept notes and minimize the requirement to submit written project proposals.
• Discontinue open calls for proposals. If open calls are still deemed relevant, approach them as calls for solutions . Ensure that calls are communicated well in advance to allow ideation work, environment/horizon scanning (identification) and provision of technical assistance. Ensure that timings allow for proposals that adhere to the design with the user principle. This will increase the likelihood of good quality solution designs. In addition, favour unique partnerships in proposals (e.g. with the private sector or academia).
• Use funding caps when allocating seed and early stage funding.
• Consider putting in place peer-review mechanisms in the implementation of innovation projects in order to validate approaches and increase replicability. This could be done, for example, by assigning an innovation focal point interested in a new approach as a peer-reviewer (external monitor) of an innovation project testing that new approach in another country.
 Diversify Innovation Fund resources beyond direct investments on impact solutions: Explore the possibilities of the Innovation Fund operating as an innovation facility, featuring several funding windows e.g. transition to scale, testing of new solutions (if more calls are envisaged), partnerships (leverage funds), M&E for innovation and learning. It would be advisable to keep a share of the Innovation Fund for strategic experimentation, that is, to test the feasibility and appropriateness of new features

³ http://www.unfpa.org/innovation

	 supporting accelerator graduated se Examine the feasibility of establishin Although the Innovation Fund coul UNFPA, innovation labs could be ap for UNFPA to test elements of the in 		b could go beyor ls of risk, minimi	nd the Innovation Fund. At th zing trade-offs and disincentiv	e present stage of develop ves to innovate. Moreover,	oment of innovation in a lab could be suitable
Management Response to Rec [Accepted/Partially Accepted/Rejected]	commendation acceptance status	Partially accepted				
If recommendation is partially accep	ted or rejected, provide reasons:	Please see explanation below				
If recommendation is accepted or part	ially accepted, list, below, action(s) that will log	ically lead to its implementation, ideally within a year of the date recommendati	ion was issued			
Action point title		Action point text	Due date (DD/MM/YYYY)	Lead implementing unit	Lead implementing staff	Additional units involved
Roll out of the second phase using a communicative and engaging manner	A launch of innovation phase II is planned during the Executive Board on 07 September. A glossy advocacy-oriented report documenting the results/achievement of the Innovation Fund 2014-16 (phase I) is currently being sent to print. Work is also underway to update the unfpa.org Innovation webpage to serve as a dynamic platform to showcase UNFPA's innovations.			Technical Division/UNFPA Innovation Team, IDWG	Innovation Specialist	Media and Communications Branch
Move to a selective, co-funding based, leverage-driven approach	UNFPA has identified successful innovations developed through the Phase 1 open calls for proposals that show potential for continued support in Phase 2. Business units have submitted concept notes for specific projects toward that end. A concept note is being developed to guide the transition from piloting to scaling up innovation projects. Once completed it will guide further work needed to co-financing for and through innovation, as well as departing from using core funding sources solely.			Technical Division/UNFPA Innovation Team, IDWG	Innovation Specialist	Resource Mobilization Branch
Decide on the use of Innovation Fund to support early-stage innovations		s for proposals has been ended. An operational planning meeting will be held ources and sourcing innovations.	31/03/2018	Technical Division/UNFPA Innovation Team, IDWG	Innovation Specialist	Resource Mobilization Branch
Diversify Innovation Fund resources beyond direct investments on impact solutions	On-going. Resources of the Innovation Fund are being used to support innovation through a number of different modalities. However, that will be systematized by the end of 2nd Quarter 2018 following the operational planning meeting recommendations/decisions.			Technical Division/UNFPA Innovation Team, IDWG	Innovation Specialist	Resource Mobilization Branch
Examine feasibility of establishing a UNFPA innovation lab	recommendations/decisions. Upon internal review of the feasibility, specifically vis a vis financial environment, it was concluded that establishing a physical innovation lab would involve a significant upfront cost. Also, other UN agencies that have established labs, such as UNICEF and UNOPS are now moving away from that approach.			Technical Division/UNFPA Innovation Team, IDWG	Innovation Specialist	

	Recommendation No. 5	Focus to impact solutions	Priority: Medium
		Shift the main focus to impact solutions while continuing work on nurturing a culture of innovation. In culture to scalable impact solutions, that is, innovative solutions with a direct impact on the lives of women an beneficiaries by solving current bottlenecks to expedite change in stagnant areas (e.g. teenage pregnancy, child	nd young people. This entails using in
		UNFPA should continue working on developing a culture that nurtures innovation, but not as the main thrust or resources to promote a culture of innovation. Moreover, evidence shows that impact solutions stimulate the Anchoring innovation in the organization through embedding it the strategic plan and stimulating innovation.	he uptake of innovative approaches,
		Operational suggestions:	
		• Innovation Fund projects and accelerator-based solutions should focus mostly on scalable impact allocations of Innovation Fund resources to business process improvements and culture-related active structure and culture-related active structure and structure struct	0

g results, UNFPA should shift the main focus from innovation resources to make a difference on final

external resources. UNFPA can utilize existing internal es, contributing as a result to a culture of innovation. a culture of innovation.

re problems identified in Recommendation 1. Ideally, lutions.

Management Response to Recommendation a [Accepted/Partially Accepted/Rejected] If recommendation is partially accepted or rejected, proceed.	-	ole in promoting s by leveraging re- and resources in s eries of trust-buil feel confident whe erate spaces that r es would include of ducing and prom- es to foster discuss fices, so that ideas re that nurtures in management and esses for Resident I and Development s tation and monitor	a culture of innovation. gional innovation netwo this area. It is advisable ding activities to general nen taking part in innovation nurture innovation attitution colleagues who are support oting innovation within the sions on innovation (projections of nnovation. Suggestions for staff development and levelopment representatives. system for staff development pring of some of these support pring of some of these support or the support staff development and levelopment pring of some of these support pring of some of these support advisory of the support support of the support support of the support support of the support of the support support of support of the suppo	orks. The <i>Openmind</i> pr that regional offices, u ate spaces to innovate tion processes. des. ortive of innovation fro the office. jects, partnerships), if or innovative projects or actions include: earning. In particular, nent and performance ggestions, particularly	under guidance from the such as walks and talks, om all areas in the office, relevant. can be incorporated into look into possibilities of appraisal. career management and
Action point title	Action point text	Due date (DD/MM/YYYY)	Lead implementing unit	Lead implementing staff	Additional units involved in implementation (if any)
Focus innovation resources on impact solutions	Use 2018 workplans to allocate resources primarily towards development solutions.	31/03/2018	Technical Division	Innovation Specialist with IDWG	Regional Offices
Introduce environment scanning prior to testing impact solutions	Conduct ecosystem scanning of four thematic areas for innovation case (mHealth mapping is already underway).	31/12/2018	Technical Division	Innovation Specialist with IDWG	Regional offices, Programme Division, Human Resources Division
Building skill set and capacities of innovation champions	Develop capacity building initiative for IDWG members, building on innovation toolkit and other resources such as Openmind.	31/12/2018	Division for Human Resources/Learning Branch	DHR Technical Division	Technical Division, ESARO
Explore ways to continue work on nurturing a culture of innovation without requiring external funds by leveraging regional innovation networks.	Conduct review of innovation days, and update approach to strengthen link to core planning and monitoring cycles. Also convene regular meetings with the regional innovation champions to share ideas and feedback on cultivating regional networks.	30/09/2018	Technical Division	Innovation Specialist with IDWG	Regional Offices Programme Division
Create enabling environment for a culture that nurtures innovation	Incorporate innovation in UNFPA competencies framework, PADs and recognition toolkit.	31/03/2019	Division for Human Resources Management	HR Specialist Innovation Specialist	Technical Division

Recommendation No. 6	Innovation model based	on outward-looking approach & partnerships	Priority: High					
	Readjust the innovation model towards a more outward-looking approach based on partnerships. UNFPA should re-calibrate the focus of its innovation approach, from the current inward-looking model to a more outward-looking approach based on partnerships with innovation ecosystem actors. In practice, this means incorporating environmental scanning, bringing the views of ecosystem actors into strategic and technical discussions; activating links; and cross-sharing knowledge and experiences with other UNIN agencies in areas of interest (such as M&E systems for innovation, accelerators, labs and innovation fund management). UNFPA should incorporate an innovation ecosystem perspective and seek partnerships and alliances with relevant players in the ecosystem e.g. universities, research institutes and foundations and private firms (including the start-up community). Ultimately, UNFPA should prove its relevance and added value of its role within the innovation ecosystem.							
	Operational suggestions:							
Managament Degraphics to Degemmen	 Conduct a mapping exercase/Corporate Frame Job descriptions of reg Systematize environmediless costly. Activate peer exchanged on innovation fund ma Explore the possibilitie Bring the experience of offers particularly inte Foster the use of ment effects on corporate cu Establish an Innovation in UNFPA mandate are Explore hybrid models and procuring channel 	onal innovation technical specialists (innovation champions) ental scanning to ensure that innovation solutions are timely s with other agencies within the UNIN. Peer exchanges could i nagement and knowledge on implementing acceleration prog s of joint work with other UN agencies in areas of common in f other UNIN agencies into the currently internal debate on u resting learning points, as it went from a lab-intensive strateg orship or fellowship programmes with private-sector organi lture through strengthening staff leadership and risk-taking a n Fund Advisory Board that includes external members (e.g. fr as. for crowd-sourcing innovative ideas. These models could in s for young people to innovate for young people, both as partr	should include an ex and relevant. Closer I nclude direct transfer rammes). terest, such developin sing innovation labs. ty to the current ratio izations and UN agen attitudes. om academia and the clude ideas coming fr	plicit role to link UNFPA with regio links with UNIN and the Innovatio r of capacity and cross-fertilization ng an M&E framework suitable for UNHCR, UNICEF and the UN Globa nalization approach. Iccies. Expanding mentorship or fel private sector). Ideally, these exter om outside the organization and fi	onal innovation ecosystems n Fund Advisory Board wo of ideas and practical knov innovation. al Pulse have extensively ex lowship and coaching prog rnal members should be ex	s. uld make scanning quicker and vledge (e.g. sharing experiences xperimented with labs. UNICEF grammes could have important perts on innovative approaches		
Management Response to Recommen [Accepted/Partially Accepted/Rejected]		Accepted						
If recommendation is partially accepted or reje								
If recommendation is accepted or partially accept	red, list, below, action(s) that will lo	gically lead to its implementation, ideally within a year of the a	late recommendation	was issued				
Action point title		Action point text	Due date (DD/MM/YYYY)	Lead implementing unit	Lead implementing staff	Additional units involved in implementation (if any)		
Mapping exercise of the innovation ecosystems	will be undertaken for other the	Ith for adolescent SRH has already begun. Similar exercises matic priority areas. The comparative study of innovation apped out the UN innovation ecosystem.	31/12/2018	Technical Division/ UNFPA Innovation Team	Innovation Specialist with IDWG			
Job descriptions of regional innovation technical specialists (innovation champions)		erms of reference for the IDWG and possible PAD outputs	31/12/2018	Division of Human Resources UNFPA Innovation Team, IDWG	DHR Regional Directors/Deputies with RO Innovation Leads Innovation Specialist	ROs Technical Division		
Peer exchanges with other agencies within the UN Innovation Network	continued.	of insights between agencies is already in place and will be	31/12/2018	Technical Division/UNFPA Innovation Team, IDWG	Innovation Specialist			
Joint work with other UN agencies		other agencies regarding establishing a common pipeline s of mutual thematic interest. These will be continued.	30/06/2019	Technical Division/UNFPA Innovation Team, IDWG	Innovation Specialist			
Mentorship programmes with private-sector organizations and UN agencies		e conducted as to how to develop and support mentorship ies, including lessons learned from the Youth Innovators	31/03/2018	Division of Human Resources	DHR Specialist Innovation Specialist	Strategic Partnerships Branch		

	Fellows Programme already piloted in the first phase. There is potential for including secondments in the private sector and with other UN agencies and academia as appropriate.		Innovation Unit/Technical Division		
Innovation Fund Advisory Board	The terms of references (ToRs) already developed. Discussions with senior management and relevant staff are underway to determine the date and agenda items for the first meeting.	30/06/2018	Technical Division Resource Mobilization Branch	Innovation Specialist Resource Mobilization Specialist	
Hybrid models for crowd-sourcing innovative ideas	Crowdsourcing challenge with OpenIDEO (a global design thinking company) is already underway, for which the topic will be adolescents and youth SRH. The UNFPA regional office in Eastern Europe and Central Asia (EECARO) has expressed an interest in learning from and replicating the initiative.	31/12/2018	Technical Division	Innovation Specialist with IDWG	EECARO, OpenIDEO ROs, MCB/RMB

Recommendation No. 7	Anchor innovation in UNFPA's Strate	egic Plan 2018-2021	Priority: High		
	framework for and bring strategic clarity and f should be positioned inside the organization;	sions in order to frame the foundations for its corporate approach to innovation. ocus to its corporate approach to innovation. These critical decisions include determining: (iii) how UNFPA wants to position itself within the innovation ecosystem (in the mandar s of innovation (ideation, testing, scale up) UNFPA wants to support (and how).	(i) the specific areas innovation should prioritize and focus upon; (ii)how innovation		
	Operational suggestions:				
	(staff mindsets). The UNFPA Strategic	on in the Strategic Plan, it would be advisable to distinguish between the three domains of innovation described in Recommendation 1: impact solutions, business processes and cu Strategic Plan could explicitly recognize that innovations in business processes and culture can be driven by existing business units and with existing resources. Incorporating a ca lore possibilities in this regard could play an important role in promoting innovation in business processes and could further a culture that nurtures innovation.			
		te innovation as a mode of engagement at this time. Innovation it is still at an incipient stage appropriate incentives to innovate. Moreover, innovation cuts across the current modes of			
	• Incorporate the requirement to report on innovation in reporting mechanisms associated to the Strategic Plan. Reporting on innovation should ideally include the three domains — innovations in impact solu innovations in business processes and developing a culture that nurtures innovation (staff mindsets).				
Management Response to I [Accepted/Partially Accepted/Rejected	Recommendation acceptance status	Accepted and implemented			
If recommendation is partially ac	cepted or rejected, provide reasons:				

Action point title	Action point text	Due date (DD/MM/YYYY)	Lead implementing unit	Lead implementing staff	Additional units involved in implementation (if any)
To incorporate innovation in the strategic plan,	• Innovation has been recognized as the critical enabler to perform all four outcomes	31/01/2018	Technical Division	Innovation Specialist	
2018-2021, as:	of the UNFPA Strategic Plan 2018-2021. This has been reflected in the theory of		Programme Division	SP Team	
 a business process 	change annex of the strategic plan.				
 a mode of engagement 					
 through reporting mechanisms 	Innovation has been integrated to the modes of engagement under the knowledge				
	management and partnership and coordination. In addition the strategic plan				
	commits to promote innovation to improve programming by: (a) creating				
	innovative solutions with partners to address development bottlenecks; (b)				
	scaling up innovations that have proven to be successful; (c) partnering with				

innovators from the public and private sectors; and (d) institutionalizing a culture of innovation in UNFPA.		
• UNFPA also encourages to apply innovative approaches in resource mobilization.		
• Relevant indicators have been included to the results framework to track the organizational readiness towards supporting innovation: OEE 1.13 Proportion of UNFPA offices that pilot or transition to scale innovations		

Recommendation No. 8	Feedback exchange mechanism between the Innovation Initiative and relevant UNFPA business units Priority: Medium
	Activate a functional feedback exchange mechanism between the Innovation Initiative and relevant UNFPA business units. UNFPA should establish and activate a feedback exchange mechanism on innovation. This mechanism, which should ideally be endorsed by senior management, should bridge insights on innovation — channelled through the Innovation Initiative — with relevant business units to ensure that policies are innovation friendly and that business units harness the opportunities offered by innovation. This mechanism should generate an ongoing dialogue between the Innovation Initiative and business units in human resources, strategic planning, partnerships, South-South cooperation, resource mobilizations, knowledge management, procurement services, media and communication, and monitoring and evaluation.
	Operational suggestions:
	• The exchange mechanism could take the form of <i>ad hoc</i> meetings called by the Secretariat of the Innovation Fund. These meetings could be called after collecting a critical mass of innovation insights (learning) that have implications on business units. Insights could then be discussed in the meetings and appropriate actions agreed upon by the business units. Meetings could take place at regional or headquarters level.
	• Regional innovation networks and the IDWG could play a key role in this process. The networks should scan, identify and collect the relevant insights; the IDWG could advocate for discussing the insights and taking appropriate actions.
	 Regional innovation networks could scan, identify and collect relevant innovation insights and issues (e.g. challenges in partnerships, bottlenecks in procurement, opportunities for South-South cooperation or resource mobilization) and bring them to the attention of the regional office first and, through the IDWG, to the headquarters. Regional planning and management meetings could include an item in the agenda on innovation challenges and opportunities, prompting a regional office-level dialogue.
	 For technical insights, the regional-level exchange mechanism could take the form of working groups or task forces (e.g. discussions between M&E officers and innovation champions on testing M&E tools adapted to innovation projects). The dialogue could then be channelled through the Innovation Fund Secretariat and extended to the Evaluation Office and/or the Results-Based Management team at the headquarters level.
	• The IDWG currently follows a three-tier inter-divisional structure (headquarter, regional, country), enabling it to play a role linking insights to the respective areas at all levels or the organization. It is important that the IDWG is given the mandate to push this through and that IDWG members across the three tiers take the advocating role, making the case to debate and discuss challenges and opportunities, ultimately ensuring that innovation benefits from an appropriate enabling environment across the organization.
	• Irrespective of the mechanism UNFPA chooses to facilitate this dialogue, South-South Cooperation exchanges should start as soon as possible. The transition to scale of the solutions tested to date is linked to national partners adopting these solutions by integrating them into their systems, replicating them, sustaining them or expanding them to other countries in the region. This setting makes dialogue between innovation and South-South teams of the essence at the country, regional and headquarters levels.
	• Exchanges with the Knowledge Management Unit should start as soon as possible in order to establish formal coordination between the Innovation Fund and the Knowledge Management Unit at headquarters, to link the good practice competition and knowledge management databases with the Innovation Fund, and to explore the links between insights from implementing innovation solutions and knowledge products (including how to utilize knowledge that comes from innovation insights).
	• The Strategic Partnerships Branch is an important partner in this exchange mechanism. The Innovation Initiative and the Strategic Partnerships Branch should develop a protocol to support the practical implications of working on innovation i.e. identification of suitable private-sector partners (once core problems to be targeted by innovation have been set); negotiation of partnerships; and adapt mechanisms that comply with due diligence while fostering innovation.
Management Response to Reco [Accepted/Partially Accepted/Rejected]	mmendation acceptance status Accepted

If recommendation is partially accepted or rejected, provide reasons:

Action point title	Action point text	Due date (DD/MM/YYYY)	Lead implementing unit	Lead implementing staff	Additional units involved in implementation (if any)
Reform IDWG to function as an exchange nechanism	The exchange mechanism could take the form of <i>ad hoc</i> meetings called by the Innovation Secretariat. These meetings could be called after collecting a critical mass of innovation insights (learning) that have implications on business units. Insights could then be discussed in the meetings and appropriate actions agreed upon by the business units.	31/03/2018	Technical Division	Innovation Specialist with IDWG	Regional Offices, Programme Division, Division for Communication and Strategic Partnerships
Establish regional innovation networks	Regional innovation networks and the IDWG could play a key role in this process. The networks should scan, identify and collect the relevant insights; the IDWG could advocate for discussing the insights and taking appropriate actions. A network is already in place and functioning in ESARO and one has been launched in ASRO.	31/12/2018	Regional Offices	RO Innovation Leads Innovation Specialist	Innovation Unit/Technical Division, Programme Division
Establish an ongoing dialogue between the nnovation Initiative and business units in numan resources, strategic planning, partnerships, South-South cooperation, etc.	The cooperation with the South-South and Triangular Cooperation initiatives to enable exchanges should start as soon as possible with UNFPA acting as a convener. The transition to scale of the solutions tested to date is linked to national partners adopting these solutions by integrating them into their systems, replicating them, sustaining them or expanding them to other countries in the region, upon their interest and availability. This setting makes dialogue between innovation and South-South focal points country offices of the essence at the country, regional and headquarters levels.	31/03/2018	South-South and Triangular Cooperation (SSTC)	South-South Lead	Innovation Unit/Technical Division, Division for Communication and Strategic Partnerships,
'o cooperate with Knowledge Management Init	To exchange with Knowledge Management Unit at SKIMB, to establish a formal coordination between the Innovation Secretariat and the Knowledge Management Unit at headquarters, to link the good practice competition and knowledge management databases with the Innovation Fund, and to explore the links between insights from implementing innovation solutions and knowledge products (including how to utilize knowledge that comes from innovation insights).	30/09/2018	Strategic Information and Knowledge Management Branch	Knowledge Management Advisor	Innovation Unit/Technical Division, Division for Communication and Strategic Partnerships
Develop an implementation protocol, ncluding a mechanism to evaluate progress and impact of engaging the private sector vithin the Innovation Initiative.	The Innovation Initiative and the Strategic Partnerships Branch will discuss lessons learned so far to inform the proposed protocol and the practical implications of working on innovation i.e. identification of suitable private-sector partners (once core problems to be targeted by innovation have been set); negotiation of partnerships; and adapt mechanisms that comply with due diligence while fostering innovation.	30/06/2018	Strategic Partnership Branch, Division for Communication and Strategic Partnerships,	Strategic Partnership Specialist	Innovation Unit/Technical Division, Evaluation Office

Recommendation No. 9	Learning-for-impact framework		Priority: Medium		
	Develop a learning-for-impact framework. UNFPA s frame should have at least three elements: innovation-				have an impact on the lives of women and young people. This framework for successfully tested impact solutions.
	Operational suggestions:				
	 UNFPA should start working on the development of an innovation-specific M&E system for impact solutions. This system should include real-time monitoring on outcomes and should capture lessons learned from unexpected outputs and outcomes. To incorporate real-time outcome monitoring in implementing innovative solutions, options for real-time data collection an analysis should be explored: Partner with UNIN agencies with experience in real-time monitoring to explore approaches that could be adapted and incorporate into the UNFPA innovation model. Explore the potential offered by lean data methodologies for impact measurement, as they offer affordable and meaningful ways to collect and analyse outcome data. Examine the possibility of starting a pilot project to test innovation-specific M&E systems. To capture lessons from unexpected outputs and outcomes, explore outcome mapping techniques such as outcome journals, user journeys and Behavioural Communication Change Processes identify indicators and proxies of change. Whenever new impact solutions are tested, budgets should include allocations to develop M&E systems that are outcome-based and fed by real-time data. Accelerators should develop appropriate M&E systems for innovation. These systems should be linked to the M&E systems of the impact solutions they accelerate and to the M&E framework of the Innovation Initiative. Activate results-sharing and learning mechanisms around innovation solutions. The comparative analysis with other UN agencies reveals that when tangible results from innovation are displayed and communicated across the organization, they inspire and trigger openness to innovation and stimulate the uptake of innovation approaches. A culture of demonstrating innovation results nurtures 				
	culture of innovation. Some suggestions are:				
		cts of particular inter	est, such as how to engage in pa		ng up. Share insights and learning by incorporating question and tor, challenges and successes when collecting data on outcomes,
		ound practical issues			ons or online platforms that enable innovation-related questions a innovation, ideally within and between regions. This could also
	the testing.				with the pilot / proof of concept and what insights accrued from
		inded by the Innovati	ion Fund that have successfully	implemented a proof of concep	c should include a description of the scaling-up phases, including t but still require iterations and further refinements in order to a scalability assessment tool).
Management Response to Recommenda [Accepted/Partially Accepted/Rejected]	ation acceptance status Accepted				
If recommendation is partially accepted or reject	ted, provide reasons:				
If recommendation is accepted or partially accepted,	d, list, below, action(s) that will logically lead to its implem	nentation, ideally with	in a year of the date recommend	ation was issued	
Action point title	Action point text	Due date (MM/DD/YYYY)	Lead implementing unit	Lead implementing staff [name]	Additional units involved in implementation (if any)

Action point title	Action point text	Due date (MM/DD/YYYY)	Lead implementing unit	Lead implementing staff [name]	Additi	
Development of an innovation-specific M&E	Develop concept note on real-time and lean data	30/09/2018	Technical Division	Innovation Specialist with	PDB/Tech	

Development of an innovation-specific M&E	Develop concept note on real-time and lean data	30/09/2018	Technical Division	Innovation Specialist with	PDB/Techni
system for impact solutions	approaches to innovation, with follow up plan.			IDWG	
	Explore modalities to incorporate real-time	31/12/2019	Technical Division	Innovation Specialist with	PDB/Techni
	outcome monitoring in implementing innovative			IDWG	
	solutions and pilot test real-time monitoring				
	approaches in innovation projects.				

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nnical Division, Regional Offices, Programme Division

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	Capture lessons from unexpected outputs and	31/12/2019	Technical Division	Innovation Specialist with	IDWG, Regi
	outcome.			IDWG	
	Activate results-sharing and learning mechanisms around innovation solutions.	31/12/2018	Technical Division	Innovation Specialist with IDWG	IDWG, Regi
	Develop a scaling up framework for successful innovative solutions, adjusted to UNFPA needs and characteristics.		Technical Division	Innovation Specialist with IDWG	IDWG, Regi

[Use the template above for each additional recommendation]

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