

11 January 2022

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Recommendation implementation summary

Recommendations	Lead Division/Branch/team/Group	Target date/Status
Report of the Board of Auditors for the year ended 31 December 2020 (A/76/5/Add.8)		
01- In paragraph 40. The Board recommends that UNFPA strengthen its assurance activities monitoring procedures at all governance levels (global, regional and country), with the purpose of having a process that gives an accurate overview of the implementing partners subject to well-timed spot check and/or audits.	Division for Management Services	Implemented being reviewed by BoA
02- In paragraph 41. The Board recommends that UNFPA update the implementing partners' risk ratings in the implementing partner assurance system in a timely and accurate manner, in order to have precise planned assurance activities and overcome the limitations of the implementing partner assurance system.	Division for Management Services	In Progress May 2022
03-In paragraph 42. Furthermore, the Board recommends that UNFPA incorporate automatic controls into the new enterprise resource planning system, with the aim of determining the implementing partners subject to mandatory assurance activities in a timely and accurate manner.	Division for Management Services	In Progress Q2-2022 (Linked with new ERP)

<p>04-In paragraph 43. Finally, the Board recommends that UNFPA provide effective training to the third-party auditors on the use of the implementing partner assurance system.</p>	<p>Division for Management Services</p>	<p>Implemented being reviewed by BoA</p>
<p>05-In paragraph 49. The Board recommends that the UNFPA Arab States Regional Office implement effective and timely monitoring procedures regarding the assurance activities, with the purpose that each country office should accomplish the pending assurance activities and the follow-up thereto.</p>	<p>Arab States Regional Office</p>	<p>Implemented being reviewed by BoA</p>
<p>06-In paragraph 62. The Board recommends that UNFPA carry out microassessments in a timely manner and record the dates and risk rating in an accurate manner in the implementing partner assurance system tool, with the purpose of having an updated risk rating for implementing partners, precise cash transfer modalities to implementing partners and adequate assurances activities.</p>	<p>Division for Management Services</p>	<p>In Progress May 2022</p>
<p>07-In paragraph 63. In addition, the Board recommends that UNFPA strengthen its microassessment monitoring procedures at all governance levels (global, regional and country), in order to have accurate and updated implementing partner assessments.</p>	<p>Division for Management Services</p>	<p>Implemented being reviewed by BoA</p>
<p>08-In paragraph 73. The Board recommends that the UNFPA country offices in Egypt, the Sudan and the Syrian Arab Republic build the capacity of implementing partners on the correct use of the global programming system module in Atlas, in order to have an accurate and good-quality workplan progress report.</p>	<p>Syria, Sudan and Egypt country offices</p>	<p>Implemented being reviewed by BoA</p>

<p>09-In paragraph 74. In addition, the Board recommends that the UNFPA country offices in Egypt, the Sudan and the Syrian Arab Republic strengthen, in a timely manner, the reviews carried out by the programme officer prior to the approval of the workplan progress report and the corresponding funding authorization and certificate of expenditure form, so that the workplan progress report represents actual progress in the activities executed by the implementing partner.</p>	<p>Syria, Sudan and Egypt country offices</p>	<p>Implemented being reviewed by BoA</p>
<p>10-In paragraph 88. The Board recommends that UNFPA improve its supply monitoring process at the regional and country governance levels, with the purpose of detecting business units that require support for maintaining timely and accurate information about the entity's supplies and the delivery of inventory to implementing partners</p>	<p>Division for Management Services</p>	<p>In Progress May 2022</p>
<p>11-In paragraph 89. In addition, the Board recommends that UNFPA incorporate preventive controls related to inventory information into the new enterprise resource planning system, in order to provide early alerts at each stage of the supply process.</p>	<p>Division for Management Services</p>	<p>In Progress Q2-2022 (Linked with new ERP)</p>
<p>12-In paragraph 97. The Board recommends that the UNFPA country offices in the Sudan and the Syrian Arab Republic enhance actions to manage the receipt and inspection forms in the shipment tracker, with the purpose of maintaining the flow of operations with corresponding legible supporting documentation.</p>	<p>Syria & Sudan country offices</p>	<p>Implemented being reviewed by BoA</p>
<p>13-In paragraph 98. The Board also recommends that the UNFPA country offices in the Sudan and the Syrian Arab Republic strengthen the review of the preparation and review of delivery slips, in order to ensure a complete and accurate process that allows the country offices to maintain reliable information on the goods delivered.</p>	<p>Syria & Sudan country offices</p>	<p>Implemented being reviewed by BoA</p>

14-In paragraph 107. The Board recommends that the UNFPA country offices in the Sudan and the Syrian Arab Republic strengthen their procurement monitoring process in order to conduct quarterly reviews of the procurement plan in a timely manner.	Syria & Sudan country offices	Implemented being reviewed by BoA
15- In paragraph 108. In addition, Board recommends that the UNFPA country offices in the Sudan and the Syrian Arab Republic use the procurement planning tool in an efficient and timely manner, in order to accurately identify actual procurement needs.	Syria & Sudan country offices	Implemented being reviewed by BoA
16- In paragraph 118. The Board recommends that UNFPA strengthen monitoring and internal controls to ensure the timely and accurate submission of all long-term agreement evaluation reports to suppliers.	Procurement Services Branch	In Progress March 2022
17-In paragraph 119. In addition, the Board recommends that UNFPA increase gradually the scope of the long-term agreement evaluation reports to all UNFPA business units, in order to have a wider overview of the use of such agreements in areas beyond core commodities..	Procurement Services Branch	In Progress March 2022
18-In paragraph 132. The Board recommends that the UNFPA country offices in Egypt, the Sudan and the Syrian Arab Republic strengthen the local procurement process in order to receive services or goods after a purchase order has been issued, to ensure that the procurement process is completed under budget-checked criteria.	Syria & Sudan country offices	Implemented being reviewed by BoA
19-In paragraph 142. The Board recommends that the UNFPA country office in Egypt strengthen the local procurement process so that purchase orders are raised in Atlas before a contract for professional services is issued to suppliers, in order to ensure that the procurement process is completed under budget-checked criteria	Egypt country office	Implemented being reviewed by BoA
20- In paragraph 143. In addition, the Board recommends that the UNFPA country office in Egypt ensure that all transparency standards are used for the local	Egypt country office	Implemented

procurement process in an efficient and timely manner, in order to guarantee that all information is clearly defined and made known to all interested parties.		being reviewed by BoA
21-In paragraph 156. The Board recommends that UNFPA strengthen its travel monitoring process at all governance levels (global, regional and country), in order to guarantee access to timely information for decision-making and increase the possibility for access to better travel alternatives..	Division for Management Services	In Progress Q2-2022 (Linked with new ERP)
22-In paragraph 157. In addition, the Board recommends that UNFPA improve the post-travel process to ensure the timely recording of travel expenses, in order to avoid potential unrecorded liabilities.	Division for Management Services	In Progress Q2-2022 (Linked with new ERP)
23-In paragraph 170. The Board recommends that UNFPA strengthen monitoring and internal controls to ensure the timely submission of reports to donors in the donor agreement and report tracking system.	Division for Communication and Strategic Partnerships	In Progress May 2022
24- In paragraph 171. In addition, the Board recommends that UNFPA strengthen its governance structure to build the capacity to present timely and accurate donor reports, in order to keep the flow of operations updated at the global, regional and country levels.	Division for Communication and Strategic Partnerships	In Progress May 2022
Report of the Board of Auditors for the year ended 31 December 2016 (A/72/5/Add.8)		
25- In paragraph 100 - The Board recommends that UNFPA put in place a mechanism for monitoring the adoption of software policies and procedures and ensure their compliance across the entity.	Information Technology Solutions Office	Implemented being reviewed by BoA

Report of the Board of Auditors for the year ended 31 December 2017 (A/73/5/Add.8)

<p>26- In paragraph 16- The Board is of the view that the formalization of the enterprise risk management policy is necessary to increase staff awareness of the entire corporate risk management process. The policy document will provide guidance on the general rule for adherence to risk assessment and mitigation procedures</p>	<p>Division for Management Services and Office of the Executive Director</p>	<p>Implemented being reviewed by BoA</p>
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Report of the Board of Auditors for the year ended 31 December 2019 (A/75/5/Add.8)

<p>27. In paragraph 76, the Board recommended that UNFPA and its Mozambique and Myanmar country offices update inventory transactions in a timely manner and accurately record them, in order to avoid inaccurate financial reporting for management decision-making.</p>	<p>Mozambique and Myanmar country offices</p>	<p>Implemented being reviewed by BoA</p>
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<p>28. In paragraph 95, the Board recommended that the UNFPA country office in Ethiopia monitor on a daily basis the temperature and humidity of the warehouse, and keep respective logs.</p>	<p>Ethiopia Country Office</p>	<p>Implemented being reviewed by BoA</p>
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<p>29. In paragraph 124, the Board recommended that the UNFPA country offices in Ethiopia, Mozambique and Myanmar take measures to improve and strengthen the monitoring and correct use of the global programming system to promote effective and efficient management of the use of the system among implementing partners.</p>	<p>Ethiopia, Mozambique and Myanmar country offices</p>	<p>Implemented being reviewed by BoA</p>
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<p>30. In paragraph 165, the Board recommended that the UNFPA country offices in Ethiopia, Mozambique and Myanmar incorporate into the information system strengthened tools related to the travel management process, in order to increase the effectiveness and efficiency of that process.</p>	<p>Ethiopia, Mozambique and Myanmar country offices</p>	<p>Implemented being reviewed by BoA</p>
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