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# Annex 1 – Terms of Reference

#### 1. Introduction

Evaluation at UNFPA serves three main purposes: (i) demonstrate accountability to stakeholders on performance in achieving development results and on invested resources; (ii) support evidence-based decision-making; (iii) contribute key lessons learned to the existing knowledge base on how to accelerate implementation of the Programme of Action of the 1994 International Conference on Population and Development (ICPD). <sup>1</sup>

The Evaluation Office (EO) will conduct an independent evaluation of the UNFPA support to the prevention, response to and elimination of gender-based violence and harmful practices as part of the quadrennial budgeted evaluation plan (2016-2019),<sup>2</sup> approved by the UNFPA Executive Board in September 2015. The evaluation will commence in October 2016 and will be presented to the UNFPA Executive Board in June 2018. This evaluation will be undertaken by the EO with the support of an external team of evaluation and thematic experts to ensure an independent and credible exercise is conducted.

The present terms of reference were prepared by the Evaluation Office based on an extensive document review, preliminary scoping work and initial consultations with stakeholders. The ToR aims to provide key information for the evaluation, including background on UNFPA support, initial financial analysis of UNFPA expenditure, the preliminary scope of the evaluation, the methodological approach, including the sampling approach for the case studies, and the expected deliverables. The selected evaluation team is expected to conduct the evaluation in conformity with the terms of reference, under the overall leadership from the EO evaluation manager.

#### 2. Users of the evaluation

As the first broad thematic evaluation of its kind at UNFPA, this exercise will generate important findings, lessons and recommendations that will be of use to a variety of stakeholders. The main users of the evaluation include UNFPA (at the global, regional and country level), partner countries, donors, civil society (including non-governmental organizations, feminists and women's rights activists, gender equality advocates) and other stakeholders. In addition, the evaluation will inform the following planned evaluations: (i) the UNFPA/UNICEF joint evaluation of the second phase of the joint programme on female genital mutilation and (ii) the UNICEF/UNFPA joint evaluation on child marriage. Both evaluations will be conducted under the current quadrennial budgeted evaluation plan cycle (2016-2019).

 $<sup>^1\,</sup>DP/FPA/2013/5.\,See: \underline{http://www.unfpa.org/admin-resource/executive-board-united-nations-development-programme-united-nations-population-fund-1}$ 

<sup>&</sup>lt;sup>2</sup> DP/FPA/2015/12. See: <a href="http://www.unfpa.org/admin-resource/transitional-biennial-budgeted-evaluation-plan-2014-2015-0">http://www.unfpa.org/admin-resource/transitional-biennial-budgeted-evaluation-plan-2014-2015-0</a>

3. Global context and UNFPA support to the prevention, response to and elimination of GBV, including harmful practices

#### 3.1 Global normative framework

Despite a strong international normative frame and tireless efforts by feminists, women's rights activists, gender equality advocates and others, gender-based violence continues unabated. UNFPA is one of the leading agencies within the United Nations (UN) system actively working to prevent, respond to and eliminate GBV and harmful practices at global, regional and country levels. The global normative framework in which UNFPA support is situated is shaped by numerous UN conventions, agreements, declarations, and resolutions. These documents underscore the pernicious and pervasive nature of GBV and harmful practices, highlight its disproportionate impact on women and girls, and call for its elimination.

The United Nations has addressed GBV in general and violence against women (VAW) in particular through multiple declarations, conventions, covenants, resolutions and reports of the Secretary General. <sup>3</sup>

The 1979 Convention on the Elimination of All Forms of Discrimination Against Women (CEDAW) calls for the end of all forms of discrimination against women. Though the convention does not mention GBV in particular, general recommendations 12 and 19 on violence against women specify that the convention includes violence against women. <sup>4</sup>

The **Declaration on the Elimination of Violence against Women (1993)** – the first international instrument explicitly addressing violence against women – recognizes violence against women as a "manifestation of historically unequal power relations between men and women [...], a violation of the rights and fundamental freedoms of women [...] and an obstacle to the achievement of equality, development and peace." Adopted in December 1993, the Declaration focuses specifically on VAW (as a form of GBV), providing a definition for VAW and examples of forms it takes, and goes on to recommend actions states can (and should) take to eliminate violence against women "without delay."<sup>5</sup>

In 2006, the General Assembly adopted a **seminal resolution**, calling on states to **intensify efforts to eliminate all forms of violence against women**. This resolution, combined with others, continues to guide the work of UN entities today.<sup>6</sup> Resolutions and reports cover a wide range of topics, including: (i) intensification of efforts to eliminate all forms of violence against women; (ii) all forms of violence against women; (iii) trafficking in women and girls; (violence against women migrant workers); (iv) intensifying global efforts for the elimination of female genital mutilations; (v) rape and other forms of sexual violence; (vi) crimes committed in the name of honour; (vii) traditional or customary practices affecting the health of women and girls; (viii) domestic violence; (ix) the Secretary-General's in-depth study on all forms of violence against women.

<sup>&</sup>lt;sup>3</sup> See: <a href="http://www.un.org/womenwatch/daw/vaw/v-work-ga.htm">http://www.un.org/womenwatch/daw/vaw/v-work-ga.htm</a>

<sup>&</sup>lt;sup>4</sup> See: <a href="http://www.ohchr.org/EN/HRBodies/CEDAW/Pages/Recommendations.aspx">http://www.ohchr.org/EN/HRBodies/CEDAW/Pages/Recommendations.aspx</a> and http://www.unwomen.org/en/what-we-do/ending-violence-against-women/global-norms-and-standards#sthash.MzBb0hqS.dpuf

<sup>&</sup>lt;sup>5</sup> See: <a href="http://www.un.org/documents/ga/res/48/a48r104.htm">http://www.un.org/documents/ga/res/48/a48r104.htm</a>

<sup>&</sup>lt;sup>6</sup> See: http://www.un.org/womenwatch/daw/vaw/A\_RES\_61\_143.pdf

Multiple **Security Council Resolutions** – including SCR 1325, 1888, 1960, 2106 – address the gendered dimensions of conflict and the disproportionate impact of conflict on women, including through sexual violence, and outline, inter alia, concrete steps and accountability mechanisms to ensure the equal participation of women in conflict prevention and resolution. Taken together, these resolutions (and others) also shape the work of UN and UNFPA on GBV, including within humanitarian settings.

In 1994, the **ICPD** further reinforces the need to tackle violence against women, stating that the "advancement of gender equality...and the elimination of all kinds of violence against women....are cornerstones of population and development related programmes." GBV is specifically addressed in the ICPD Programme of Action, where, in Chapter 7, the following is stated: "The UN system and donors should support Governments ... ensuring that all refugees and all other persons in emergency humanitarian situations, particularly women and adolescents, ... receive greater protection from sexual and gender-based violence." Additionally, within Chapter 4, calls on States to "act to empower women and should take steps to eliminate inequalities between men and women as soon as possible by, inter alia, eliminating violence against women." <sup>7</sup> During a September 2014 special session of the General Assembly, governments reaffirmed their commitment to the ICPD and endorsed a new Framework for Action to intensify efforts for its full implementation in the 21<sup>st</sup> century. <sup>8</sup> The new framework underscores that "gender-based discrimination and violence continue to plague most societies," and calls on States to "adopt and implement legislation, policies and measures that prevent, punish and eradicate gender-based violence within and outside the family, as well as in conflict and post-conflict situations." <sup>9</sup>

The **Beijing Platform for Action** echoes and expands upon the ICPD. With the inclusion of violence as one of Platform's 12 critical areas of concern, the Beijing Platform for Action recognizes the tremendous impact of GBV on women's lives and the urgency of its eradication.

In addition to the frameworks outlined above, the **Millennium Development Goals** (MDGs)<sup>10</sup> do not address violence against women or GBV, however, the Millennium Declaration (the declaration upon which the goals were based) understood violence against women to be incompatible with the promotion of human rights and fundamental freedom and called for it to be combated.

The eradication of violence against women has most recently been taken up by the **2030 Agenda for Sustainable Development**. <sup>11</sup> Though the Agenda does not mention GBV specifically, it recognizes that "all forms of discrimination and violence against women and girls [must] be eliminated, including through the engagement of men and boys". Violence against women (as opposed to GBV) is addressed explicitly in goal 5: targets 5.2 calls for the elimination of all forms of violence against all women and girls in the public and private spheres, including trafficking and sexual and other types of exploitation; target 5.3 discusses harmful practices, calling for the elimination of such practices, including "child, early and forced marriage and female genital mutilation."<sup>12</sup>

<sup>&</sup>lt;sup>7</sup> See: http://www.un.org/popin/icpd/conference/offeng/poa.html

<sup>8</sup> http://icpdbeyond2014.org/about#sthash.10SR80l3.dpuf

<sup>&</sup>lt;sup>9</sup> See: http://icpdbeyond2014.org/uploads/browser/files/93632\_unfpa\_eng\_web.pdf

<sup>&</sup>lt;sup>10</sup> A set of eight goals that aimed to operationalize international development from 2000-2015.

<sup>&</sup>lt;sup>11</sup> The newly negotiated international development agenda (operationalized in 17 sustainable development goals).

<sup>&</sup>lt;sup>12</sup> See Transforming our world: the 2030 Agenda for Sustainable Development, page 18: http://www.un.org/ga/search/view\_doc.asp?symbol=A/RES/70/1&Lang=E

### **UN Operational Frameworks**

In addition to the above-mentioned normative frameworks, several UN operational frameworks aim to provide a platform for the systematic integration of gender equality across the UN. **2012 Quadrennial Comprehensive Policy Review (QCPR) of Operational Activities for Development of the UN System** details the organizational and operational arrangements needed to foster development effectiveness, including the advancement of gender equality. Neither GBV nor violence against women is specifically mentioned but the QCPR acknowledges that gender inequality continues unabated (a perennial feature of the development landscape) and stresses the need for a stronger focus on gender equality and women's empowerment, recognizing both as crucial to any approach to sustainable development. <sup>13</sup>

Similarly, in April 2012, the **UN System Wide Action Plan (SWAP) on Gender Equality and the Empowerment of Women**, was published. It establishes a comprehensive UN accountability framework for gender equality and women's empowerment and responds to the need to implement a gender perspective throughout the programmes, policies and organizational practices of the UN. <sup>14</sup> The SWAP guides the work of UNFPA, requiring gender to be mainstreamed in programming on human rights and the eradication of violence (within and outside of humanitarian contexts) and gender equality and women's human rights to be advanced.

The above provides a snapshot of the key frameworks at global level shaping the work of the UN, and by extension, UNFPA on GBV and harmful practices.

## 3.2 UNFPA strategic framework and response

## 3.2.1 UNFPA programmatic support

The work of UNFPA on the prevention and elimination of gender-based violence and harmful practices including within humanitarian settings has been shaped by multiple frameworks. The current UNFPA Strategic Plan 2014-17 provides the framework for UNFPA work on GBV. Operationalized in its development results framework, the UNFPA strategic plan establishes accountability for results, including on GBV and harmful practices at all organizational levels.

Efforts to eradicate gender-based violence have been ongoing with strong organizational commitment (reflected in numerous strategic plans and frameworks) since at least 2008. The **2008-2011 Strategy and Framework for Action on Gender-Based Violence**, <sup>15</sup> offers a UNFPA comprehensive strategy for action solely focused on GBV. Though it no longer formally shapes the work of UNFPA on GBV, it continues, in part, to inform UNFPA thinking and programming on the eradication of GBV in both development and humanitarian settings (indeed, several of the eight priority areas for intervention outlined in the Framework are reflected in the 2014-2017 Strategic Plan). This Framework states that GBV "constitutes an affront to the human

<sup>&</sup>lt;sup>13</sup> See: http://www.un.org/esa/coordination/pdf/sg qcpr report adv unedited version.pdf

<sup>&</sup>lt;sup>14</sup> Toward this end, six key elements are outlined in the policy, with accompanying performance indicators at the process level. All UN entities are expected to complete UN SWAP reporting and, as such, are held accountable for its implementation. For more information on the UN SWAP see:

 $<sup>\</sup>frac{\text{http://www.unwomen.org/}\sim/\text{media/Headquarters/Attachments/Sections/How\%20We\%20Work/UNSystemCoordination/UN-SWAP-Framework-Dec-2012.pdf}{}$ 

<sup>&</sup>lt;sup>15</sup> 2008-2011 Strategy and Framework for Action on Gender-Based Violence. See: http://www.unfpa.org/sites/default/files/pub-pdf/2009\_add\_gen\_vio.pdf

rights of women and girls and to the achievement of internationally agreed-upon goals and commitments but also directly affects sexual and reproductive health (SRH) outcomes, thereby diminishing the effectiveness of the UNFPA-supported core programme. The framework also details the work of UNFPA in the humanitarian area, underscoring that "UNFPA humanitarian efforts [have] focused mostly on ensuring that all women, men, girls and boys have access to safe SRH services at all phases of a crisis, preventing and treating HIV, and addressing sexual and other forms of GBV."

The 2012-2013 Mid-term Review of the Strategic Plan notes that "UNFPA will continue to build national capacity to implement laws and policies that advance gender equality and reproductive rights with specific emphasis on addressing GBV, and will continue work on GBV in humanitarian settings as well as its partnership to eliminate harmful practices, including FGM."

The current **UNFPA 2014-2017 Strategic Plan** recognizes the impact of humanitarian contexts on GBV, noting that GBV is "significantly exacerbated in conflict and disaster contexts, where the 'peace time' risks of violence are compounded not only by the realities of armed conflict but also by displacement, breakdowns in certain social norms and more limited access to services or formal systems of protection and justice." Furthermore, the Plan recognizes that "discrimination and GBV, including harmful practices, severely affect women's and girls' SRH and rights." Sexual violence and working with men and boys will be prioritized within this Strategic Plan. Further, the Plan notes that "many countries still have legal frameworks that criminalize and legally restrict reproductive rights while human rights protection systems [remain] endemically weak. [....] achievement of gender equality is constrained by challenges linked to factors such as the persistence of sociocultural dynamics, norms and values that violate reproductive rights and negatively impact SRH outcomes." <sup>17</sup> The mid-term review of the 2014-2017 Strategic Plan acknowledges the UNFPA efforts to scale up/strengthen a focus on gender based violence, including within humanitarian contexts and underscores the need to continue this work, "strengthening resilience across the humanitarian and development continuum." <sup>18</sup>

UNFPA has produced guidelines on addressing GBV and ensuring GBV programming is properly integrated in both humanitarian and development contexts. The Minimum Standards for the Prevention and Response to Gender-Based Violence in Emergencies addresses GBV in humanitarian contexts while the Essential Services for Women and Girls Subject to Violence provides guidance on the integration of GBV in development settings, focusing specifically on the health, social services, justice and policing sectors as well as in processes and the governance of coordination. <sup>19</sup> The Minimum Standards offer guidance for UNFPA to "deliver on its strategic objective of [scaling up its humanitarian response and enhancing its efforts to prevent and respond to gender-based violence], by providing guidelines for UNFPA staff and partners on how to prevent GBV in emergencies, and facilitate access to multi-sector response services for survivors." The Standards "provide actions that can be contextualized across all emergency situations where UNFPA operates."

<sup>&</sup>lt;sup>16</sup> UNFPA Strategic Plan 2014-2017, Annex 2, Outcome Theories of Change, page 11.: <a href="http://www.unfpa.org/adminresource/strategic-plan-2014-2017">http://www.unfpa.org/adminresource/strategic-plan-2014-2017</a>

<sup>&</sup>lt;sup>17</sup> Ibid.

<sup>18</sup> See: https://executiveboard.unfpa.org/execDoc.unfpa?method=docDetail&year=2016&sessionType=AS

 $<sup>^{19}\,</sup> See: \underline{\text{http://www.unwomen.org/en/digital-library/publications/2015/12/essential-services-package-for-women-and-girls-subject-to-violence}$ 

Though it does not appear that a **definition of GBV** is included in a UNFPA strategic plan or framework, the Minimum Standards provide the following definition: "GBV is defined as any harmful act committed against a person's will. The root causes of GBV relate to attitudes, beliefs, norms and structures that promote and / or condone gender-based discrimination and unequal power."<sup>20</sup> The 2008-2011 Strategy and Framework for Action provides a **definition of violence against women** as "any act of gender-based violence that results in, or is likely to result in, physical, sexual or psychological harm or suffering to women, including threats of such acts, coercion or arbitrary deprivations of liberty, whether occurring in public or in private life." <sup>21</sup>

Harmful practices – a particular form of gender-based violence – include female genital mutilation, forced and early/child marriage, and son preference. Though UNFPA has been addressing harmful practices for years, the term, as such, has only recently been included in UNFPA strategic plans – namely within the 2012 -2013 midterm review of the 2008-2013 Strategic Plan and the 2014-2017 Strategic Plan.

In terms of operationalization of the strategic plans, UNFPA has engaged in **joint programmes** and manages **trust funds** to eradicate GBV and harmful practices:

- UNFPA together with UNICEF initiated, in 2007, a **Joint Programme on Female Genital Mutilation (FGM).**The programme, the largest of its kind, aims to accelerate the abandonment of FGM. In 2014, the second phase of the Joint Programme was launched, expanding the work from 15 (phase 1 of the Joint Programme) to 17 programme countries. <sup>22</sup> The Joint Programme also includes a regional component, which supports efforts to eliminate FGM at the regional level (specifically within Africa and the Arab States) and at the global level.<sup>23</sup>
- In 2013, UN Women and UNFPA launched the **Joint Global Programme on Essential Services for Women and Girls subject to Violence**, reflecting the "unanimous support for the provision of such services" voiced at the 2013 Commission on the Status of Women. <sup>24</sup> Expected to run until July 2017, the Joint Programme now a partnership between UNFPA, UN Women, UNDP, WHO, and UNODC aims to develop a global-level framework and an internationally-defined package of guidelines for the provision of essential services for responding to needs of women and girls surviving gender-based violence. <sup>25</sup> The Joint Programme "identifies the essential services to be provided by the health, social services, police and justice sectors as well as guidelines for the coordination of Essential Services and the governance

<sup>&</sup>lt;sup>20</sup> Note that that the Declaration on the Elimination of Violence against Women (1993) defines violence against women as "…any act of violence that results in, or is likely to result in, physical, sexual or psychological harm or suffering to women, including threats of such acts, coercion or arbitrary deprivation of liberty, whether occurring in public or in private life." <sup>21</sup> 2008-2011 Strategy and Framework for Action on Gender-Based Violence.

<sup>&</sup>lt;sup>22</sup> Burkina Faso, Djibouti, Uganda, Egypt, Ethiopia, Eritrea, Gambia, Guinea, Guinea Bissau, Kenya, Mali, Mauritania, Senegal, Sudan, Somalia, Nigeria and Yemen joined in 2014.

<sup>&</sup>lt;sup>23</sup> For more information on the Joint Programme on FGM/C see: <a href="http://www.unfpa.org/joint-programme-female-genital-mutilation">http://www.unfpa.org/joint-programme-female-genital-mutilation</a>
mutilationcutting and <a href="http://www.unfpa.org/female-genital-mutilation">http://www.unfpa.org/female-genital-mutilation</a>

<sup>&</sup>lt;sup>24</sup> For more information on the Joint Global Programme on Essential Services for Women and Girls subject to Violence see: http://www.unwomen.org/en/news/stories/2013/12/executive-director-launches-joint-programme-on-essential-services-for-survivors

<sup>&</sup>lt;sup>25</sup> See: http://endvawnow.org/en/initiatives-articles/14-essential-services-package.html

of coordination processes and mechanisms."<sup>26</sup> UNFPA co-leads the Joint Programme and, in this role, is focused on overall coordination and, programmatically, on SRH. <sup>27</sup>

- UNFPA is also involved in the **Multi-Stakeholder Joint Programme on Violence Against Women**. Through the Interagency Task Force (of which UNFPA and UN Women are co-chairs), UNFPA contributes to the implementation of the Joint Programme in 10 pilot countries. <sup>28</sup>
- Since 2014, UNICEF and UNFPA have worked together in 12 countries to end child marriage, though not under a common development results framework. Grounded in historical commitments, and with the view to continuing their ongoing work, a Joint Global Programme to Accelerate Ending Child Marriage between UNFPA and UNICEF was launched in early 2016 with the first phase running to the end of 2019. The programme, focus is on addressing the complex socio-cultural and structural factors underpinning the practice of Child Marriage, is being implemented in countries with high prevalence of child marriage.<sup>29</sup>

## 3.2.2 UNFPA financial support

For the period 2012-2015, UNFPA expenditure on the prevention, response to and elimination of GBV including harmful practices was \$525,875,522.46 while the amount budgeted was \$615,469,790.46.

The significant uptick seen in both the amount budgeted and spent from 2013 to 2014 reflects a sharp increase in both core (un-earmarked) and non-core (earmarked) expenditure. Un-earmarked expenditure more than doubled from 2013 to 2014. Earmarked expenditure increased in large part due to increased expenditure by OCHA, which more than tripled its contribution. The UNFPA-UNICEF Joint Programme on Female Genital Mutilation, a source of consistently high funding, increased expenditure slightly, as well.

Figure 1: Budget and Expenditure (2012-15)

Year	Budget (USD)	Expenditure (USD)	Fund Execution Rate
2012	\$96,560,697.26	\$78,235,351.85	81.0
2013	\$92,343,078.22	\$75,759,127.27	82.0
2014	\$210,588,551.02	\$176,031,310.89	83.6
2015	\$215,977,463.96	\$195,849,732.45	90.7
Total	\$615,469,790.46	\$525,875,522.46	85.4



 $<sup>^{26}\,</sup>See: http://www.unwomen.org/en/digital-library/publications/2015/12/essential-services-package-for-women-and-girls-subject-to-violence$ 

<sup>&</sup>lt;sup>27</sup> Tunisia, Mozambique, Peru and Guatemala are expected to be the pilot countries.

<sup>&</sup>lt;sup>28</sup> Burkina Faso, Chile, Fiji, Jamaica, Jordan, Kyrgyzstan, Paraguay, Philippines, Rwanda and Yemen. See: http://www.un.org/womenwatch/ianwge/taskforces/vaw/joint\_programming\_initiative.pdf

<sup>&</sup>lt;sup>29</sup> Specifically, the programme will focus on: Ethiopia, Mozambique, Uganda and Zambia (in Eastern and Southern Africa); Burkina Faso, Ghana, Niger, Sierra Leone (in Western and Central Africa); in South Asia, the JP will focus on Bangladesh, India, and Nepal; and, in the Arab States, the programme will be implemented in Yemen.

Figure 2: Un-earmarked and earmarked funds (2012-15)

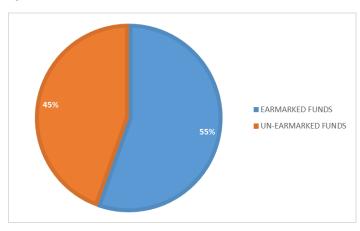


Figure 2 offers a breakdown of funding by type of resource: un-earmarked and earmarked. The majority (55%) of funding for GBV work has come from earmarked funds. Within the earmarked funding, the top three funders are pooled funds – funding sources comprised of multiple donors. The UNFPA/UNICEF Joint Programme on FGM contributed the most non-core funding followed by the UNDP administered Multi Partner Trust Fund Office, and by the UN Office for the Coordination of Humanitarian

Affairs (OCHA). Bilateral contributions were also significant, including from the United States and the European Commission.

Figure 3: Earmarked funds: Top 10 donors by expenditure on work addressing GBV (2012-15)



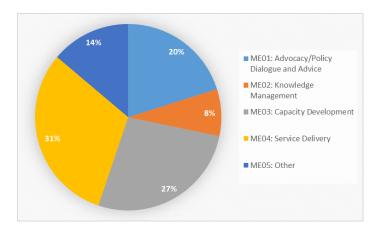
Figure 4 captures the **top 15 country offices** by expenditure. UNFPA Syria spent the most on GBV programming, with \$21,993,206.50 in expenditure. Iraq and Uganda followed closely behind.

Figure 4: Top 15 Country Offices by expenditure on work addressing GBV (2012-15)

Country Office	Region	Quadrant	Earmarked	Un-earmarked	Total Expenditure
Syria	Arab States	Yellow	\$19,450,053.25	\$2,543,153.25	\$21,993,206.50
Iraq	Arab States	Yellow	\$18,703,232.21	\$1,855,660.13	\$20,558,892.34
Uganda	East & South Africa Region	Red	\$14,196,760.08	\$3,058,254.02	\$17,255,014.10
South Sudan	East & South Africa Region	Red	\$11,133,229.41	\$5,277,404.02	\$16,410,633.43
Ethiopia	East & South Africa Region	Red	\$10,448,259.01	\$3,360,740.63	\$13,808,999.64
Sudan	Arab States	Red	\$10,646,350.14	\$2,014,605.93	\$12,660,956.07
Malawi	East & South Africa Region	Red	\$11,109,094.23	\$1,529,025.30	\$12,638,119.53
DRC	East & South Africa Region	Red	\$7,498,553.47	\$4,549,520.34	\$12,048,073.81
Jordan	Arab States	Pink	\$10,517,486.85	\$1,211,701.50	\$11,729,188.35
Afghanistan	Eastern Europe and Central Asia	Red	\$7,243,149.46	\$4,389,605.70	\$11,632,755.16
Philippines	Asia Pacific	Orange	\$6,602,385.49	\$4,620,336.65	\$11,222,722.14
Somalia	Arab States	Red	\$7,533,689.98	\$3,458,336.84	\$10,992,026.82
Bangladesh	Asia Pacific	Red	\$5,401,237.57	\$5,321,149.70	\$10,722,387.27
Nigeria	Western and Central Africa Region	Red	\$5,535,421.06	\$4,511,604.92	\$10,047,025.98
Sierra Leone	Western and Central Africa Region	Red	\$8,646,967.38	\$757,883.44	\$9,404,850.82

The 2014-2017 UNFPA Strategic Plan, formally introduced the modes of engagement and country quadrants (see table 2). A modality of support or mode of engagement is a particular combination of intervention strategies adopted by UNFPA in its programmatic support.

Figure 5: Percentage of expenditure by modes of engagement on work addressing GBV (2014 – 2015)



These include: advocacy and policy dialogue and advice, capacity development and technical assistance, service delivery and procurement, and knowledge management. The mode(s) of engagement are selected based on a country's need and ability to finance.<sup>30</sup> Figure 5 and Table 1 detail information on expenditure on GBV related activities by mode of engagement from 2014 to 2015. As shown in the graph, the majority of expenditure falls under service delivery and capacity development.

<sup>&</sup>lt;sup>30</sup> According to the 2014-2017 Strategic Plan, ability to finance is determined by gross national income per capita (as reported by the World Bank), using an average figure over the preceding three years. The need score is based on the following criteria: Proportion of births attended by skilled health personnel; 2) Contraceptive prevalence rate (modern methods only); Adult HIV prevalence; Adolescent fertility rate; Under-five mortality rate; Maternal mortality ratio; Literacy rate among 15–24 year-old females; Proportion of population aged 10-24 years.

Table 1: Expenditure by mode of engagement on work addressing GBV (2014 –2015)

Mode of Engagement	Expenditure (USD)
ME01: Advocacy/Policy Dialogue and Advice	\$74,851,887.92
ME02: Knowledge Management	\$30,276,820.38
ME03: Capacity Development	\$100,164,139.77
ME04: Service Delivery	\$115,119,673.27
ME05: Other	\$51,468,522.00
Grand Total	\$371,881,043.34

Table 2 shows UNFPA country classification system which categorizes countries based on need and ability to finance.

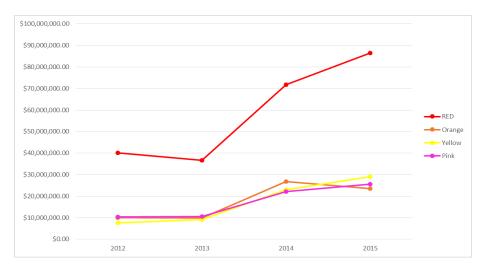
Table 2: UNFPA country quadrants — modes of engagement by setting

		Neea	1	
Ability to finance	Highest	High	Medium	Low
Low	Advocacy and policy dialogue/advice, knowledge management, capacity development, service delivery	Advocacy and policy dialogue/advice, knowledge management, capacity development, service delivery	Advocacy and policy dialogue/advice, knowledge management, capacity development	Advocacy and policy dialogue/advice, knowledge management
Lower-middle	Advocacy and policy dialogue/advice, knowledge management, capacity development, service delivery	Advocacy and policy dialogue/advice, knowledge management, capacity development	Advocacy and policy dialogue/advice, knowledge management	Advocacy and policy dialogue/advice
Upper-middle	Advocacy and policy dialogue/advice, knowledge management, capacity development	Advocacy and policy dialogue/advice, knowledge management	Advocacy and policy dialogue/advice	Advocacy and policy dialogue/advice *
High	Advocacy and policy dialogue/advice *	Advocacy and policy dialogue/advice *	Advocacy and policy dialogue/advice *	Advocacy and policy dialogue/advice *

Note:\* Physical presence only in select countries

Figure 6: Expenditure by country quadrant on work addressing GBV (2012 –2015)

Over time and on the whole, GBV related expenditure was the highest in the red quadrant, with



\$235,040,379.63 spent from 2012 to 2015. This is in line with expectations, as the red quadrant is comprised of countries with high unmet need and low ability to finance, requiring larger UNFPA investment. The orange quadrant registered the second highest expenditure with countries in the yellow following quadrant

behind. The pink quadrant had the lowest level of expenditure, as countries in the pink quadrant have, on the whole, the highest ability to finance and the lowest need (see figure 6).

Figure 7: Total expenditure at country office level grouped by region on work addressing GBV (2012 -2015)

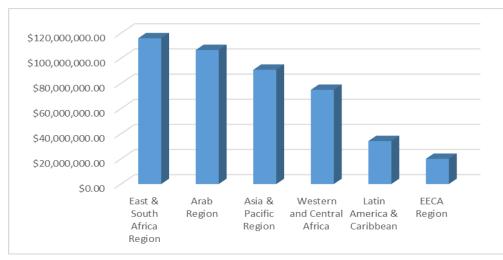


Figure 7 details total expenditure by country offices grouped by region. the On whole, country offices in the Eastern and Southern Africa had region the highest expenditure on GBV related activities, followed by country offices

in the Arab region.

Table 3 details **expenditure at the regional level**, capturing expenditure by both regional offices and subregional offices (where they exist). Total expenditure across all regions equalled \$42,058,177.59, with expenditure varying across regional programmes. On aggregate, regional expenditure was highest in Asia and the Pacific, with the regional and sub-regional offices spending a total of \$12,157,915.25. Latin America and the Caribbean followed behind, with expenditure totalling \$8,803,218.90. The Arab region spent the third highest amount, while the regional office in Eastern Europe and Central Asia spent the fourth largest sum. Finally, Western and Central Africa and Eastern and Southern Africa had the lowest, on the whole, expenditure respectively.

Table 3: Expenditure by Regional Programme on work addressing GBV (2012 – 2015)

	2012	2013	2014	2015	Grand Total
Arab Region	\$452,658.86	\$524,711.47	\$2,526,770.20	\$2,646,249.68	\$6,150,390.21
Arab States Reg. Office/Cairo	\$452,658.86	\$524,711.47	\$2,526,770.20	\$2,646,249.68	\$6,150,390.21
Asia & Pacific Region	\$2,316,982.60	\$2,257,521.79	\$3,525,218.75	\$4,058,192.11	\$12,157,915.25
Regional Office/Bangkok	\$1,158,451.99	\$687,518.12	\$1,222,284.74	\$2,557,044.25	\$5,625,299.10
Sub-Regional Office/Suva	\$1,158,530.61	\$1,570,006.96	\$2,302,934.01	\$1,501,147.86	\$6,532,619.44
East & South Africa Region	\$1,121,872.18	\$533,484.97	\$1,387,918.92	\$1,135,824.74	\$4,179,100.81
Regional Office/E&SA Region	\$719,553.10	\$529,890.28	\$1,387,918.92	\$1,135,824.74	\$3,773,187.04
Sub-Regional Office/Jo'Burg	\$402,319.08	\$3,594.69			\$405,913.77
EECA Region	\$578,834.38	\$603,424.56	\$2,218,296.69	\$2,636,739.02	\$6,037,294.65
EECA Reg. Office/Istanbul	\$578,834.38	\$603,424.56	\$2,218,296.69	\$2,636,739.02	\$6,037,294.65
Latin America & Caribbean	\$2,211,833.67	\$1,387,715.88	\$2,456,009.07	\$2,747,660.28	\$8,803,218.90
Regional Office/Panama City	\$1,752,849.17	\$995,471.38	\$2,232,754.48	\$2,114,412.19	\$7,095,487.22
Sub-Regional Office/Kingston	\$458,984.50	\$392,244.50	\$223,254.59	\$633,248.09	\$1,254,532.75

Western and Central Africa	\$131,511.78	\$367,664.83	\$2,272,194.74	\$1,958,886.42	\$4,730,257.77
Regional Office/W&CA Region	\$131,511.78	\$367,664.83	\$2,272,194.74	\$1,958,886.42	\$4,730,257.77
Grand Total	\$6,813,693.47	\$5,674,523.50	\$14,386,408.37	\$15,183,552.25	\$42,058,177.59

Figure 8: Expenditure on work addressing GBV as percentage of total UNFPA expenditure 2012 to 2015

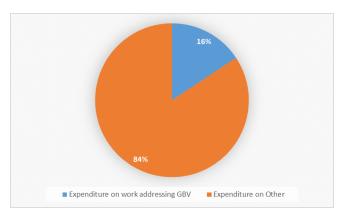


Figure 8 details expenditure on work addressing GBV as a percentage of total UNFPA expenditure. UNFPA expenditure on GBV work comprised 16% of total UNFPA expenditure from 2012 to 2015, with UNFPA expenditure on work addressing GBV totalling \$525,875,522.84 and total UNFPA expenditure (across headquarters, regional and country offices) at \$3,345,111,992.49.

### 4. Evaluation purpose, objectives and scope

## 4.1 Purpose and objectives

The purpose of the evaluation is to assess the UNFPA support to the prevention, response to and elimination of GBV, including harmful practices, within both development and humanitarian settings. The evaluation provides an opportunity to ensure accountability to partner countries, donors and other key stakeholders as well as to the UNFPA Executive Board on performance against the current and past strategic plans.

The evaluation will be forward-looking and strategic in nature and will aim to inform the next strategic planning cycle including the strategic direction, gaps and opportunities for UNFPA work in addressing gender-based violence and harmful practices. Finally, the evaluation will also provide input to inform the strategic positioning of UNFPA in this area of work, reflecting the changing development environment and alignment with the 2030 development agenda.

The primary objectives of the evaluation are:

- 1. To assess the relevance, effectiveness, efficiency, and sustainability of the UNFPA support to the prevention, response to and elimination of GBV and harmful practices including in humanitarian settings;
- 2. To assess the extent to which UNFPA has effectively positioned itself as a key player among national partners, within the UN system and the broader development community in this area of work;
- 3. To identify lessons learned, capture good practices and generate knowledge from past and current cooperation, to inform the implementation of the next Strategic Plan (2018-2021).

#### 4.2 Scope

The evaluation will cover the implementation and the results of the UNFPA support during the **period 2012-2017 June**. With regards to the **thematic scope**, the evaluation will cover all activities planned and/or implemented during the period under evaluation in both development and humanitarian settings, as well as in contexts that move between both (i.e. reflect a development-humanitarian continuum).

The evaluation will assess:

- the relevance of UNFPA support for the period under evaluation;
- the coherence between GBV programming and implementation across settings (humanitarian and development) under each strategic planning cycle;
- the use of a development-humanitarian continuum approach, examining if and how UNFPA has effectively integrated GBV programming across settings.

The evaluation will focus primarily on the contribution to outputs and progress towards outcomes in the respective results frameworks presented below:<sup>31</sup>

Though outside of the temporal scope, the evaluation will also consider the UNFPA Strategy and Framework for Action to Addressing Gender-based Violence 2008-2011, as it is a key framework that shaped UNFPA work and continues to impact current thinking and programming.

The evaluation will cover interventions directly relevant to the scope of this exercise financed from core and non-core resources as well as "in kind" or arrangements of south-south cooperation that did not include any funding from UNFPA. Relevant activities undertaken by other partners (e.g. UN Women, UNICEF and UNDP) active in the field of GBV will be looked at under the angle of coordination and partnerships, but will not be formally assessed.

The geographical scope of the evaluation will include countries in UNFPA six regions of operation: (i) Western and Central Africa; (ii) Eastern and Southern Africa; (iii) Asia and the Pacific; (iv) Arab States; (v) Eastern Europe and Central Asia and (vi) Latin America and the Caribbean.

<sup>&</sup>lt;sup>31</sup> For further information on the strategic plans and frameworks please consult Annex 6.

UNFPA STRATEGIC PLAN DEVELOPMENT RESULTS FRAMEWORK 2012-2013			
Outcome	Output	Indicators	
Outcome 5: Gender equality and reproductive rights advanced particularly through advocacy and implementation of laws and policy	13. Strengthened national capacity for addressing <b>gender-based violence (GBV)</b> and provision of quality services, including in humanitarian settings	13.1 Number (and percentage) of countries supported by UNFPA to develop GBV (including female genital mutilation) policy and programmatic responses.  13.2 Number of persons trained through UNFPA support in programming for GBV in humanitarian settings  13.3 Number of communities supported by UNFPA that declare the abandonment of female genital mutilation/cutting	

UNFPA STRATEGIC PLAN DEVELOPMENT R	NFPA STRATEGIC PLAN DEVELOPMENT RESULTS FRAMEWORK 2014-2017			
Outcome	Output	Indicators		
Outcome 1: Increased availability and use of integrated sexual and reproductive health services (including family planning, maternal health and HIV) that are gender-responsive and meet human rights standards for quality of care and equity in access	Output 5: Increased national capacity to provide sexual and reproductive health services in humanitarian settings	5.2: Number of countries that have humanitarian contingency plans that include elements for addressing sexual and reproductive health needs of women, adolescents and youth including services for survivors of sexual violence in crises		
Outcome 2: Increased priority on adolescents, especially on very young adolescent girls, in national development policies and programmes, particularly increased availability of comprehensive sexuality education and sexual and reproductive health	Output 8: Increased capacity of partners to design and implement comprehensive programmes to reach marginalized adolescent girls including those at risk of child marriage	8.1: Number of countries that have health, social and economic asset-building programmes that reach out adolescent girls at risk of child marriage		
Outcome 3: Advanced gender equality, women's and girls' empowerment, and reproductive rights, including for the most vulnerable and marginalized women, adolescents and youth	Output 9: Strengthened international and national protection systems for advancing reproductive rights, promoting gender equality and non-discrimination and addressing gender-based violence			
	Output 10: Increased capacity to prevent gender-based violence and harmful practices and enable the delivery of multisectoral services, including in humanitarian settings	10.1: Number of countries with <b>gender-based violence prevention</b> , protection and response integrated into national SRH programmes		

UNFPA STRATEGIC PLAN DEVELOPMENT RESULTS FRAMEWORK 2014-2017			
Outcome	Output	Indicators	
		10.2: Percentage of countries affected by a humanitarian crisis that have a functioning inter-agency gender-based violence coordination body as a result of UNFPA guidance and leadership  10.3: Number of communities supported by UNFPA that declare the abandonment of female genital mutilation	
	Output 11: Strengthened engagement of civil society organizations to promote reproductive rights and women's empowerment, and address discrimination, including of marginalized and vulnerable groups, people living with HIV and key populations	11.2: Number of countries in which civil society organizations have supported the institutionalization of programmes to engage men and boys on gender equality (including gender-based violence), sexual and reproductive health and reproductive rights	
Outcome 4: Strengthened national policies and international development agendas through integration of evidence-based analysis on population dynamics and their links to sustainable development, sexual and reproductive health and reproductive rights, HIV and gender equality	Output 13: Increased availability of evidence through cuttingedge in-depth analysis on population dynamics, sexual and reproductive health, HIV and their linkages to poverty eradication and sustainable development	13.3: Number of countries in which the national statistical authorities have institutional capacity to analyse and use disaggregated data on a) adolescents and youth and b) gender-based violence	

# 5. Evaluation criteria and indicative areas for investigation

The evaluation will be informed by criteria endorsed by the OECD-DAC.

Relevance	to national needs, the needs of affected populations, government priorities and UNFPA policies and strategies, and how they address different and changing national contexts
Effectiveness	the extent to which intended results were achieved
Efficiency	in terms of how funding, personnel, administrative arrangements, time and other inputs contributed to, or hindered the achievement of results; how well inputs were combined
Sustainability	the extent to which the benefits from UNFPA support are likely to continue, after it has been completed

The evaluation criteria have been translated into indicative areas for investigation (see table 4). These will be used as a starting point for developing the specific set of evaluation questions, assumptions and respective indicators. The indicative areas for investigation are intended to give a more precise form to the evaluation criteria and to articulate the key areas of interest that have emerged from document review and data analysis as well as from consultations with stakeholders, thereby optimizing utility of the evaluation.

The indicative areas for investigation will be further consolidated and refined within the inception report (when the evaluation team will have a clearer understanding of data availability and methodological feasibility and evaluability). Following broader consultations and detailed documentary review, the final evaluation questions will be agreed upon by the evaluation reference group.

Table 4. Indicative areas for investigation

Areas fo	r investigation	Evaluation criteria
1.	The extent to which UNFPA support is aligned with and responds to partner government priorities, national needs and the needs of affected populations on preventing, responding to and eradicating GBV including harmful practices on the one hand, and UNFPA policies and strategies on the other.	Relevance
2.	The extent to which UNFPA programming on GBV adopts a continuum approach — that is, that programming to prevent, respond to and eliminate GBV is systematically integrated across development, humanitarian and post-conflict settings.	Relevance and Effectiveness
3.	The extent to which available resources (financial, human, time, management and administrative) were adequate, made available in a timely manner and used to achieve planned results; UNFPA has utilized synergies at country, regional and global	Efficiency

	levels, including UNFPA coordination role within the UN system and partners, to support the prevention, response to and elimination of GBV including harmful practices across different settings.	
4.	The extent to which UNFPA has contributed to strengthening national policies and legislative frameworks on the prevention, response to and eradication of GBV through integration of evidence-based analysis on GBV related issues.	Effectiveness
5.	The extent to which UNFPA has contributed to enabling the provision of multisectoral services for addressing GBV including harmful practices in both development and humanitarian settings.	Effectiveness
6.	The extent to which UNFPA has contributed (or is likely to contribute) to sustainably strengthening national capacities for preventing and eradicating GBV and harmful practices, including within humanitarian settings.	Effectiveness and Sustainability
7.	The extent to which UNFPA has partnered with civil society organizations to prevent, respond to and eliminate GBV, including support to the institutionalization of programmes to engage men and boys in addressing GBV related issues.	Effectiveness

## 6. Evaluation methodology and approach

The evaluation will be **transparent**, **inclusive**, **and participatory**, **as well as gender and human rights responsive**. The evaluation will utilize mixed methods and draw on quantitative and qualitative data. These complementary approaches will be deployed to ensure that the evaluation:

- a) responds to the needs of users and their intended use of the evaluation results;
- b) integrates gender and human rights principles throughout the evaluation process including participation and consultation of key stakeholders to the extent possible;<sup>32</sup>
- c) provides credible information about the extent to which UNFPA support targeted and benefited particular groups of stakeholders, especially vulnerable and marginalized groups.

The evaluation will follow the guidance on the integration of gender equality and human rights principles in the evaluation focus and process as established in the UNEG Handbook, Integrating Human Rights and Gender Equality in Evaluation - Towards UNEG Guidance. The evaluation will follow UNEG Norms and Standards for Evaluation in the UN system and abide by UNEG Ethical Guidelines and Code of Conduct and any other relevant ethical codes.

The evaluation will utilise a **theory of change approach** to the evaluation of UNFPA support to the prevention, response to and elimination of gender-based violence, including harmful practices. A theory of

<sup>32</sup> See UNEG Handbook on Integrating Human Rights and Gender Equality in Evaluation - Towards UNEG Guidance.

change will make explicit the intended casual links between observed phenomena and UNFPA contribution toward that end—the inputs, the intended outputs and contribution toward outcomes, and the contextual factors that may have had an effect on UNFPA support and its potential to bring about desired outcomes will be outlined.

The evaluation team will design **evaluation methods and tools that will allow** the evaluation to answer the questions and to come up with an overall assessment backed by clear evidence. The methodological design will include: an analytical framework; a strategy for collecting and analysing data; a series of specifically designed tools; and a detailed work plan.

The evaluation team will propose a provisional methodological approach within the bid (technical offer). The main elements of the methodology will be further developed during inception phase in line with the evaluation questions and related analytical framework. The methodological approach will outline, inter alia, data collection methods.

These should include the following:

**Documentary review and secondary data:** A preliminary **list of relevant documentation** (together with electronic copies) including key documents related to UNFPA activities, reports from other stakeholders and existing literature in the theme has been prepared by the Evaluation Office (see selected bibliography in annex).

A full set of available documents will be shared with the evaluation team during the inception phase. This will include global/regional-level resources that available in headquarters such as strategic documents, annual reports, preliminary portfolio review containing financial information, thematic papers, related studies, evaluations, etc.

Previous thematic, country, or programme evaluations, reviews, audits and assessments carried out by UNFPA and key partners should be used to inform the exercise. The evaluators will also take into account documentation produced by other donors, experts, and international institutions. In addition, evaluators will be responsible for identifying and researching further information (both qualitative and quantitative) at global, regional and country levels. The available documentation will be reviewed and analysed during the inception phase to determine the need for additional information and finalisation of the detailed evaluation methodology.

Interviews with key informants: Interviews will be conducted by the evaluation team. Key staff from programme countries and global/regional advisors/experts will be interviewed during the inception phase. During the data collection phase, interviews will be conducted with international and national experts and staff. Additional interviews will be conducted with policy makers and actors in the field of GBV related work in programme countries as well as with beneficiaries. Interviews will also be held with staff of other agencies that contribute to and partner in UNFPA GBV related interventions at global and/or national levels, such as UNICEF and UN Women, etc.

*Group interviews and focus groups*: with selected UNFPA staff, implementing partners, beneficiaries and decision/policy makers as well as other actors in the field of GBV related work. The specific plans for focus group discussions will be developed during the inception phase. When organising focus group discussions and interviews, attention will be given to ensure gender balance, geographic distribution, cultural sensitivity and representation of the stakeholders at all levels.

**Survey:** An internet-based survey to assess achievements, adequacy of guidance and technical support, challenges and needs, etc. will be designed and implemented to generate additional information from a sample of programme countries for the evaluation. The justification, scope and timing of such a survey will be provided in the inception report.

Country and regional case studies: in addition to the assessment of the global support case studies will be conducted. The prime aim of the case studies is to inform and provide inputs to the thematic evaluation report. Case studies have been selected through a purposive sampling strategy, using a series of criteria that aim to account for contextual factors influencing the contribution of UNFPA to the prevention, response to and eradication of gender-based violence and harmful practices (see annex 8 for the indicators matrix). The illustrative sample, will offer a comprehensive and nuanced picture of UNFPA contribution over time and in different contexts. Moreover, the sample will allow for testing of the theory of change, provide examples of externalities and risks (and, concomitantly, how they can be addressed), and complement information collected through other sources.

Sampling resulted in the selection of:

- **four country case studies** (including 4 missions see table 5)
- eight country desk-based case studies and
- two regional case studies (including 2 missions see table 5)

The field and desk studies will provide a more in-depth view of the type of programming implemented by UNFPA to advance the prevention and eradication of GBV, and highlight successes as well as challenges faced. Regional case studies will aim to shed light on the regionalization process, the range of work implemented by regional offices, as well as the manner in which regional (and where they exist sub-regional offices) support country offices' ability to implement their plans, through technical assistance, capacity building and coordination. The criteria to select the case studies were identified by the UNFPA EO in consultation with other business units. For further details on sampling criteria and rational please see annexes 7 and 8.

Table 5. Results of the sampling: in-country and regional case studies (with field visits):

Regions	In-country case study	Regional Case studies
Western and Central Africa	Central African Republic	
Eastern and Southern Africa	Uganda	
Asia and the Pacific	India	Regional Office Thailand (Bangkok)
Eastern Europe and Central Asia*	No field case study	Regional Office (Istanbul)
Arab States*	No field case study	
Latin America and the Caribbean	Guatemala	

<sup>\*</sup>Eastern Europe and Central Asia and the Arab states will be covered as a desk-based case study

In addition to in-country cases studies the evaluation will also undertake eight country desk-based case

studies. For details on the selection of and rationale for the desk-based case studies see annex 7. The extended desk-based case studies will provide an additional opportunity to further delve into the contribution of UNFPA in particular support settings. The assessment in these eight countries will involve studying documentation and conducting remote semi-structured interviews. The desk reviews will result in the production of eight country evidence tables (internal working documents).

## 7. Evaluation process

The evaluation shall consist of 5 phases, subdivided in subsequent methodological stages and related deliverables:



The stages and deliverables for which the contribution of the team is requested are indicated in bold.

Evaluation Phases	Methodological Stages	Deliverables
1. Preparatory	<ul><li>Drafting of terms of reference</li><li>Setting-up of reference group</li><li>Recruiting the team</li></ul>	- Final terms of reference (UNFPA Evaluation Office)
2. Inception	Structuring of the evaluation	- Inception report
<b>3</b> . Data collection and field	<ul> <li>Data collection, verification of hypotheses</li> </ul>	- Presentation of the results of data collection
<b>4</b> . Reporting	<ul><li>Analysis</li><li>Judgments on findings</li><li>Recommendations</li></ul>	<ul><li>4 country case study notes</li><li>2 regional case study notes</li><li>Thematic evaluation report</li></ul>
5. Dissemination	Dissemination events	<ul> <li>Evaluation briefs (English, French and Spanish)</li> <li>Power Point presentation of the evaluation results</li> </ul>

# 1. Preparatory phase

The EO evaluation manager leads the preparatory work. This phase includes: the initial documentation review; the drafting of terms of reference for the evaluation; the selection and recruitment of the external evaluation team; the constitution of an evaluation reference group.

### 2. Inception phase

The evaluation team will conduct the design of the evaluation in consultation with the EO evaluation manager. This phase includes:

- a **documentary review** of all relevant documents available at UNFPA headquarters, regional office and country office levels
- a stakeholder mapping The evaluation team will prepare a mapping of stakeholders relevant to the evaluation indicating the relationships between different sets of stakeholders;
- a reconstruction of the **intervention logic** of the UNFPA support, i.e. the theory of change meant to lead from planned activities to the intended results of the UNFPA support;
- the development of the list of evaluation questions, the identification of the assumptions to be assessed and the respective indicators, sources of information and methods and tools for the data collection (see annex 5- evaluation matrix);
- the development of a data collection and analysis strategy as well as a concrete workplan for the field and reporting phases.
- the **pilot mission** (max **15 working days)** case study to test and validate core features such as the evaluation matrix (in particular the evaluation questions, assumptions and indicators) and tools in addition to collecting and analysing the data required in order to answer the evaluation questions as agreed upon at the design phase.

The output of this phase is the **inception report**, which will display the results of the above-listed steps and tasks. The evaluation team will present it to the reference group. The inception report shall be considered final upon approval by the evaluation manager.

The inception report will follow the structure set out in Annex 1.1

## 3. Data collection and field phase

The data collection and field phase, will open with an **induction workshop (2.5 working days)** bringing together the evaluation team and the evaluation manager to prepare for the data collection and field phase.

During this phase, the evaluation team will conduct:

- an in-depth documentary review, including the 8 extended desk review country case studies,
- Interviews at global and regional levels,
- a survey,
- field work in 4 countries (including the pilot mission to India),
- missions to 2 regional offices.

With the exception of the pilot mission which will last 15 working days, each in-country mission will last a minimum of 10 working days; missions to each regional office will last 5 working days. At the end of each mission, the evaluation team will provide the country/regional office with a debriefing presentation on the preliminary results of the case study, with a view to validating preliminary findings and testing tentative considerations to feed in the thematic evaluation report

The evaluation team will present the **results of the data collection** including the case study findings, the results of the survey, desk review results as well as interviews at regional and global levels to the evaluation reference group.

For each country/regional case study, the evaluation team will proceed to prepare a **case study note** (six in total). These notes will be annexed to the final report.

The country case study notes will follow the structure set out in Annex 1.II.

## 4. Reporting phase

The reporting phase will open with a **two-day analysis workshop** bringing together the evaluation team and the evaluation manager to discuss the results of the data collection. The purpose of this analysis workshop is to generate substantive and meaningful comparison between the different case studies. The objective is to help the various team members to deepen their analysis with a view to identifying the evaluation's findings, main conclusions and related recommendations. The evaluation team then proceeds with the drafting of the findings of the report. Prior to the submission the first draft final evaluation report, another team workshop will be organized to discuss and agree on the conclusions and recommendations.

This **first draft final report** will be submitted to the evaluation manager for comments. The evaluation manager will control the quality of the submitted draft report. If the quality of the draft report is satisfactory (form and substance), the manager will circulate it to the reference group members. In the event that the quality is unsatisfactory, the evaluators will be required to produce a new version of the draft report.

The report will be presented by the evaluation team during a meeting with the reference group. On the basis of the comments expressed, the evaluation team should make appropriate amendments and submit the final report. For all comments, the evaluation team will indicate how they have responded in writing ("trail of comments").

The **final report** will be drafted shortly after the evaluation reference group taking into account comments made by the participants.

The final report should clearly account for the strength of evidences on which findings are made so as to support the reliability and validity of the evaluation. The report should reflect a rigorous, methodical and thoughtful approach. Conclusions and recommendations should build upon findings.

The report is considered final once it is formally approved by the evaluation manager in consultation with the reference group.

The final report will follow the structure set out in Annex 1.III.

## 5. Dissemination

The **evaluation report** and the **evaluation brief** (in English, French and Spanish) along with the management response, will be published on the UNFPA evaluation webpage.

The evaluation team will assist the evaluation manager in dissemination activities. In coordination with the evaluation manager, they shall present the results and recommendations of the evaluation on a **stakeholder workshop** to be held at UNFPA headquarters in New York.

The thematic evaluation report will also be presented to the June 2018 **UNFPA Executive Board** session and will be widely distributed within and outside the organization.

### 8. Management and governance of the evaluation

The responsibility for the management and supervision of the evaluation will rest with the EO evaluation manager. The EO evaluation manager and team member will have overall responsibility for the management of the evaluation process, including hiring and managing the team of external consultants. The evaluation manager is responsible for ensuring the quality and independence of the evaluation (in line with UNEG Norms and Standards and Ethical Guidelines – see Annex 3). The main responsibilities of the evaluation manager are:

- prepare the terms of reference
- lead the hiring of the team of external consultants, reviewing proposals and approving the selection of the evaluation team
- chair the reference group and convene review meetings with the evaluation team
- supervise and guide the evaluation team all through the evaluation process
- participate in the data collection process (conduct interviews, facilitate group discussions and focus groups) both at inception and data collection phases including in field missions.
- review, provide substantive comments and approve the inception report, including the work plan, analytical framework, methodology, and selection of countries for in-depth case studies
- review and provide substantive feedback on the country notes, as well as draft and final evaluation reports, for quality assurance purposes
- approve the final evaluation report
- disseminate the evaluation results and contribute to learning and knowledge sharing at UNFPA

The evaluation manager will be supported by a **research assistant**. Under the guidance of the evaluation manager, the researcher will carry out selected analytical work on:

- an initial literature review
- the portfolio of UNFPA interventions including a financial analysis
- the preliminary review of the portfolios of the specific countries identified for desk or field case studies

The researcher will also set up, populate and maintain a dedicated google box site to share the collected data with the evaluation team.

The progress of the evaluation will also be followed closely by the **evaluation reference group** consisting of members of UNFPA services who are directly interested in the results of this thematic evaluation. The reference group will support the evaluation at key moments of the evaluation process. Staff from UNFPA relevant units will be represented in the reference group. They will provide substantive technical inputs, will facilitate access to documents and informants, and will ensure the high technical quality of the evaluation products. The main responsibilities of the reference group are to:

- contribute to the preparation and scoping of the evaluation including the finalization of the evaluation questions and the selection of countries for case studies
- provide feedback and comments on the inception report as well as country notes, and on the overall technical quality of the work of the consultants
- provide comments and substantive feedback from a technical expert perspective on the draft and final evaluation reports
- act as the interface between the evaluators and the UNFPA services (in headquarters, regional and country offices), notably to facilitate access to informants and documentation
- assist in identifying external stakeholders to be consulted during the evaluation process

- participate in review meetings with the evaluation team as required
- play a key role in learning and knowledge sharing from the evaluation results, contributing to disseminating the results of the evaluation as well as to the completion and follow-up of the management response

### 9. Quality assurance

Since the evaluation team is expected to be hired through a company, the latter will conduct quality control of all outputs prior to submission to the EO evaluation manager. They will be expected to dedicate specific resources to quality assurance efforts, and must consider all time, resources, and costs related to this function in their technical and financial bid. The bidder must set out the quality assurance mechanisms which will be applied throughout the evaluation process as part of the technical offer.

UNFPA Evaluation Office quality assurance system, based on the UNEG norms and standards and good practices of the international evaluation community, defines the quality standards expected from this evaluation. The Evaluation Office recommends that the evaluation quality assessment checklist (see below) is used as an element of the proposed quality assurance system for the draft and final versions of the thematic evaluation report. The main purpose of this checklist is to ensure that the thematic evaluation report complies with evaluation professional standards.

Evaluation quality assessment checklist:

### 1. Structure and Clarity of the Report

To ensure report is user-friendly, comprehensive, logically structured and drafted in accordance with international standards.

## 2. Executive Summary

To provide an overview of the evaluation, written as a stand-alone section including key elements of the evaluation, such as objectives, methodology and conclusions and recommendations.

#### 3. Design and Methodology

To provide a clear explanation of the methods and tools used including the rationale for the methodological choice justified. To ensure constraints and limitations are made explicit (including limitations applying to interpretations and extrapolations; robustness of data sources, etc.)

#### 4. Reliability of Data

To ensure sources of data are clearly stated for both primary and secondary data. To provide explanation on the credibility of primary (e.g. interviews and focus groups) and secondary (e.g. reports) data established and limitations made explicit.

#### 5. Findings and Analysis

To ensure sound analysis and credible evidence-based findings. To ensure interpretations are based on carefully described assumptions; contextual factors are identified; cause and effect links between an intervention and its end results (including unintended results) are explained.

## 6. Validity of conclusions

To ensure conclusions are based on credible findings and convey evaluators' unbiased judgment of the intervention. Ensure conclusions are prioritised and clustered and include: summary; origin (which evaluation question(s) the conclusion is based on); detailed conclusion.

### 7. Usefulness and clarity of recommendations

To ensure recommendations flow logically from conclusions; are targeted, realistic and operationally-feasible; and are presented in priority order. Recommendations include: Summary; Priority level (very high/high/medium);

Target (administrative unit(s) to which the recommendation is addressed); Origin (which conclusion(s) the recommendation is based on); Operational implications.

#### 8. SWAP - Gender

To ensure the evaluation approach is aligned with the SWAP.

## Levels of quality assurance:

- The first level of quality assurance of all evaluation deliverables will be conducted by the **contractor** prior to submitting the deliverables to the review of the EO evaluation manager.
- The second level of quality assurance of the evaluation deliverables will be conducted by the **EO** evaluation manager.
- The third level of quality assurance will be conducted by an **external evaluation advisory panel**. This panel will provide methodological advice on the draft inception report and draft thematic evaluation report.
- The **Director of the Evaluation Office** maintains an oversight and quality assurance of the final thematic evaluation report.

Finally, the thematic evaluation report will be subject to assessment by **an independent evaluation quality assessment provider** using an evaluation quality assessment grid (see annex 5). The evaluation quality assessment grid will be published along with the evaluation report on the Evaluation Office website.

#### 10. Indicative time schedule

The evaluation will be conducted from January 2017 until June 2018.

Phase	Task	Location	Date
	First Draft Inception Report		Jan 2017
	First Evaluation Reference Group Meeting + followed by meetings/interviews in HQ	New York 3 working days (team leader)	January
Inception	Pilot mission	India 3 weeks – 15 working days)	March 2017
lnce	Submission of Final Inception Report + final India country case study note		April
	Evaluation Team Induction Workshop with Evaluation Manager (preparation for the field phase)	New York (or other location could be proposed by the bidder) 2.5 working days (core evaluation team members)	May
Field Mission	Data collection and extended desk review A. Documentary Review		June - November

Phase	Task	Location	Date
	B. Survey(s) C. Cyber search D. Remote interviews (country, regional and global stakeholders)		
	5 Field missions (2 Regional Offices; 3 Countries)	Istanbul - 5 working days Bangkok - 5 working days Guatemala — 10 working days Central Africa Republic - 10 working days Uganda - 10 working days	July - Nov
	Submission of 3 draft country case study notes Submission of 2 draft regional case study notes		March - December
	Submission of 3 final country case notes Submission of 2 final regional case notes		March - December
	Second Evaluation Reference Group Meeting  Followed by an Evaluation Team Analysis Workshop with  Evaluation Manager (in preparation for the analysis and reporting phase)	New York 4 working days (core evaluation team members)	December
Reporting	First Draft evaluation report (no conclusions or recommendations)		December
	Evaluation Team conclusions and recommendations Workshop with Evaluation Manager	New York (or other location could be proposed by the bidder) 2.5 working days (core evaluation team members)	January 2019
Re	Second Draft Final Evaluation Report		February
	Third Evaluation reference Group Meeting	New York 2 working days (team leader)	April
	Submission of Final Evaluation Report (word/pdf version)		May
Dissemination	Professional copy editing and design of report provided by the company		June –July
	Submission of Final Evaluation Report (copy edited and indesign version)		August
	Evaluation Brief (word/pdf version in en, fr, sp))		Sep
	Professional copy editing and design of brief provided by the company		Sep

Phase	Task	Location	Date
	Submission of Evaluation Brief (copy edited, and in-design version in en, fr, sp)		
	Executive Committee presentation	New York	October
	Executive Board presentation	New York	Jan 2019

### Legend:

Field Missions	Final deliverables to be produced by	Meetings/ evaluation team
	the evaluation team	workshops in New York

#### 11. The evaluation team

The evaluation will be carried out by a highly qualified, multi-disciplinary team with extensive knowledge and experience in evaluation of international development programming on gender, human rights and development. The team will be hired through a company.

Specific experience in evaluating programming to prevent, respond to and eliminate gender based violence, both within and outside of humanitarian/emergency contexts, will be required. The team must also demonstrate a clear understanding of the UN system/ways of working and ensure that the evaluation is conducted in line with the UNEG Norms and Standards for Evaluation in the UN System and abides by UNEG Ethical Guidelines and Code of Conduct as well as any other relevant ethical codes UNEG Guidelines. UNEG guidance on Integrating Human Rights and Gender Equality in Evaluation should also be reflected throughout the evaluation.<sup>33</sup>

The **core team** is expected to be composed **of four members**, including the team leader. National consultants will complement the work of the team for the country case studies.

The evaluation team members will not have been involved in the design, implementation or monitoring of UNFPA GBV interventions during the period under review, nor will they have other conflict of interest or bias on the subject (see annex 3).

<sup>33</sup> See: http://www.unevaluation.org/document/guidance-documents

### Knowledge and Expertise

# The core evaluation team should possess the following competencies:

- Extensive experience in conducting complex global thematic evaluations for international development organizations with a specific focus on gender equality and addressing gender based violence
- Demonstrable experience of ensuring a human rights based approach to evaluation
- In-depth knowledge of evaluation methodology and mix-method approaches
- In-depth knowledge of and expertise in the following areas:
  - o Human rights, including specifically gender equality and the rights of women
  - Gender based violence, including within development and humanitarian/emergency settings
  - o Community based development and movement building for social change
- Strong ability to interact with a wide range of stakeholders, particularly on issues that are quite sensitive (will vary from context to context)
- Understanding of ethical issues and approaches to informed consent with regards to collecting information on GBV.
- Knowledge of the UN system, including reform processes, and UN programming at the country level, will be considered an asset.
- Excellent analytical, communication and drafting writing skills in English.
- Fluency in French and Spanish will be required for the team members leading on the Central Africa Republic and Guatemala case studies, respectively.

## The team leader or principal consultant (senior evaluator: 12 + years)

The team leader must be a senior evaluator and should possess the following:

- Extensive experience in leading complex thematic evaluations and specifically evaluations for international organizations or development agencies.
- Considerable experience in conducting evaluations of similar size and complexity.
- In-depth knowledge of and long-standing experience in developing and implementing evaluation methodologies and methods best able to comprehensively assess complex shifts in power and social, political and economic change.
- Excellent analytical, communication and writing/drafting skills in English. Working knowledge of French and Spanish will be an asset.

## Senior thematic expert in gender equality with focus on GBV (10 + years)

• Extensive experience in women's human rights and gender equality, with a specific focus on GBV. Previous direct experience working with a range of groups and movements to advance gender

- equality and tackle GBV, including specifically community based organizations, non-profit organizations, and social movements will be an asset.
- Experience contributing to and/or exposure to complex evaluations will be considered an asset.
- Excellent analytical, communication and writing/drafting skills in English.

### Senior thematic expert in GBV in humanitarian contexts (10 + years)

- Extensive experience in and in-depth understanding of gender based violence within humanitarian contexts/settings.
- Experience contributing to and/or exposure to complex evaluations will be considered an asset.
- Excellent analytical, communication and writing/drafting skills in English.

## Junior level expert in research, data collection and analysis (2 + years)

- Extensive previous experience in research, data collection and data analysis, including in excel
- Demonstrated experience in human rights and gender equality, including the prevention of, response to and elimination of GBV will be considered an asset.
- Previous experience conducting/contributing to evaluations for the UN is preferred
- Excellent analytical, written and communication/drafting skills in English

Table 6. Core evaluation team: expected level of effort by evaluation phase

	Inception	Field/Data Collection	Analyses and Reporting	Dissemination
Team Leader or principal consultant (senior evaluator)	70%	50%	55%	80%
Senior Thematic Expert: Gender Equality with a focus on GBV	10%	30%	25%	15%
Senior Thematic Expert: GBV in Humanitarian Contexts	10%	15%	10%	-
Junior level staff	10%	5%	10%	5%
Total team level of effort per phase	100%	100%	100%	100%

- Team Leader or principal consultant: The team leader is expected to contribute the large majority of time required to implement the evaluation. Specifically she/he is expected to contribute at least 70% of the effort it takes to complete the inception phase, 50% to the field/data collection phase (he /she should conduct the pilot mission to India), 60% to the analysis and reporting phase and 80% to the dissemination phase.
- Senior Thematic Expert Gender Equality with a focus on GBV: The senior thematic expert is responsible for contributing a significant amount of time to each phase of the evaluation. This thematic expert is expected to contribute at least 10% of the effort required to complete the

inception phase, 30% for the field/data collection phase, 25% of the effort for the reporting phase and 10% for the dissemination phase.

- Senior Thematic Expert GBV in Humanitarian Contexts: The expert is expected to contribute at least 10% of the effort required to complete the inception phase, 15% for the field/data collection phase, and 10% of the effort for the reporting phase.
- Junior level consultant: The junior consultant is expected to contribute at least 10% of the effort required to finalize the inception phase, 5% for the field and data collection phase, 10% for the analyses and reporting and 10% for the dissemination phase.

### Country teams:

- **National consultants** should be selected for the 5 country visits to support the core team on the preparation and conduct of the filed missions.
- Senior members of the core team are expected to conduct the 7 field missions, i.e. at least one senior member of the team should be part of each mission.

## 12. Specification of tender, cost of the evaluation and payment modalities

The bidder should submit a proposal consisting of two separate components: technical and financial. The technical proposal will be assessed by the EO while the financial proposal will be assessed by UNFPA procurement services. In responding to the present terms of reference, the technical proposal should detail the services offered, and should contain at least the following (suggested number of pages is indicated):

- Technical profile of the company (2 pages). Information associated with financial stability should be presented in the annexes
- The bidder's understanding of the terms of reference (2 pages max)
- The approach and methodology (7 pages max)
  - a. Present the approach and methods for the thematic evaluation
  - b. Propose a theory of change
  - c. Further elaborate on the evaluation questions/ rationale proposed in the ToR
  - d. Present how the country case study approach will be combined with desk studies, questionnaires and other methods.
  - e. Comment on any challenges or difficulties which might arise in structuring and conducting the evaluation, suggesting solutions when applicable.
  - f. Quality assurance mechanisms which will be applied throughout the evaluation process.
- The proposed composition of the evaluation team (1 page max). Curriculum vitae (including references to language proficiency) of each team member should be annexed to the offer.
- A detailed time and work plan for fulfilment of the assignment including:
  - a. the roles, functions and responsibilities of the different team members (see section 11 of the ToR)
  - b. estimates of the time required for the different tasks of the assignment
  - c. a staffing schedule that specifies the tasks performed by the team members and the time allocated to each of them (see table 6) (3 pages max)

The budget range for the overall cost of the evaluation is **USD 500,000 - USD 520,000**. The costs of the evaluation include:

- The evaluation as defined in the Terms of Reference (including other expenses as defined in the Terms of Reference associated with the editing, design and translation of the evaluation report and evaluation brief)
- The travel related costs for the participation in the reference group meetings 3 meetings, evaluation team workshops 3 workshops (induction, analysis and conclusion workshops), the stakeholder workshop and the presentation to the executive board as well as all field missions 6 missions (see calendar).

The bidder shall not bear all costs including any related travel associated with the preparation and submission of the bid. These cannot be included as a direct cost of the assignment. UNFPA shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the solicitation process.

## **Travel Expenses**

The Vendor will be responsible for the full cost of all travel, including in-country travel for case study country missions (site visits will be determined during the inception phase), accommodation to/from during the full mission period (s) of the consultants, including for national consultants, and security related costs.

All travel should be costed for economy class based on the most economical and direct route. Standard daily subsistence allowances should not exceed the UN DSA rates/diem. National consultant residing in the destination city will not be entitled to the payment of travel costs and daily subsistence allowance fees. Should travel be required outside of the destination city DSA as quoted in annex E price schedule form will apply.

The maximum cost for travel will be used in the financial evaluation and will be included in the contract. UNFPA reserves the right to request less than the maximum number of visits and/or visits shorter than the indicated number of days, should the project needs change as work progresses. Should this occur, UNFPA will pay only for the actual number of visits and actual duration of visits requested.

#### **Deliverables**

- Inception report
- 4 country case study notes (India and Uganda will be written in English, Central African Republic in French and Guatemala in Spanish)
- 2 regional case study notes (both written in English)
- Thematic evaluation report (written in English) and PowerPoint presentation of the evaluation results (written in English)
- Evaluation briefs (English, French and Spanish)

It is the responsibility of the company that all deliverables meet minimum UN editorial standards in English, French and Spanish. The UNFPA Evaluation Office will reject any deliverables that do not meet these standards.

The final thematic evaluation report and the evaluation brief both should be professionally copy edited; the layout should be professionally designed (using adobe InDesign software) for printing.

## **Payment Modalities**

The payment modalities shall be as follow:

- 30% on acceptance of the draft inception report
- 9% on acceptance of final inception report
- 9% on acceptance of 4 Country case study notes
- 9% on acceptance of 2 Regional case study notes
- 34% on acceptance of the draft final thematic evaluation report
- 9% on acceptance of the final thematic evaluation report and evaluation briefs (English/French/Spanish)

Note that no payment will be processed until the corresponding deliverables are formally approved by the evaluation manager.

### **Bibliography**

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http://www.un.org/ga/search/view\_doc.asp?symbol=A/RES/70/1&Lang=E

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#### **UNFPA Evaluation Reports**

**UNFPA Evaluation Office:** 

Thematic evaluations and Independent Country Programme Evaluations: Bangladesh (2016) Turkey (2015) Lebanon (2014); Madagascar (2012); Cameroon (2012); Bolivia (2011) <a href="https://www.unfpa.org/evaluation">http://www.unfpa.org/evaluation</a>

UNFPA - Evaluations of UNFPA country programmes managed by UNFPA country offices are also available at: <a href="http://web2.unfpa.org/public/about/oversight/evaluations/">http://web2.unfpa.org/public/about/oversight/evaluations/</a>

<u>Note</u>: over 50 country programme evaluations are currently available within UNFPA evaluation database. Each evaluation report is accompanied by a quality assessment (EQA) which evaluators should consult prior to using the information provided in the reports. The overall poor or unsatisfactory quality of a report does not preclude the possibility that some sections of a report could be of good quality and may provide reliable information. Detailed guidance is provided in each EQA.

#### Guidance

UNFPA Evaluation Office, Handbook on How to design and conduct a country programme evaluation at UNFPA, 2013

http://www.unfpa.org/public/home/about/Evaluation/Methodology

<u>Note</u>: this handbook was specifically designed as a guide to help evaluation managers and evaluators apply methodological rigor to evaluation practices in UNFPA country offices. The handbook presents a set of evaluation tools and templates for (i) structuring information; (ii) data collection; and (iii) data analysis. A number of those **tools and templates** can be used for the present thematic evaluation, in particular: Evaluation matrix; Effects diagram; List of Atlas projects by CPAP outputs and Strategic Plan Outcome (notably for country case study notes); Stakeholder map, etc.

UNEG Guidance, Integrating Human Rights and Gender Equality in Evaluation, 2011. http://www.unfpa.org/public/cache/offonce/home/about/Evaluation/Resources;jsessionid=E44261BF2CE 9882101A4928BE7464046.jahia02

#### **Annexes**

#### Annex 1. Structure for evaluation deliverables

#### I. Inception report

Table of Contents List of Acronyms List of Tables (\*) List of Figures

#### 1 Introduction

Should include: objectives of the evaluation; scope of the evaluation; overview of the evaluation process; purpose of the inception report

## 2 The Global Context

Should include: the global response on GBV related work; the analysis of the UNFPA strategic support to the prevention, response to and elimination of gender based violence, including harmful practices based on official documentation.

## 3 UNFPA Strategy and Intervention Logic

Should include: overview of UNFPA programmatic support to the prevention, response to and elimination of gender based violence, including harmful practices. Reconstruction of intervention logic (theory of change) covering the different programming cycles.

# 4 Methodology

Should include: Description and rationale for methodological choice and approach including methodology for data collection, analysis and validation techniques. Recall selection of the country and regional case studies (see ToR). Rationale and final selection of the eight countries for the extended desk-based case studies (drawing on the ToR); harmonization of approaches across country case studies; instruments of data collection such as: interview protocols per type of informant; protocol for focus groups. Identification of programme countries to be surveyed and global survey outline. Description of how the data should be cross-checked and limitations of the exercise and strategies to mitigate them.

#### 5 Proposed Evaluation Questions

Should include: a set of evaluation questions with the explanatory comments associated with each question; overall approach for answering the evaluation questions; detailed proposed evaluation questions (including: rationale; method/chain of reasoning; assumptions to be assessed and corresponding qualitative and/or quantitative indicators); coverage of issues stated in the ToR by each Evaluation Question. The questions should be presented in an evaluation matrix (see annex 4).

## 6 Next Steps

Should include: a detailed work plan for the next phases/stages of the evaluation, including detailed plans for the visits in programme countries, including the list of interventions for in-depth analysis in the field (explanation of the value added for the visits); team composition for the cases studies including national consultants and distribution of tasks; logistics for the field phase; the contractor's approach to ensure quality assurance of all evaluation deliverables.

#### 8 Annexes

Should include: portfolio of UNFPA GBV related interventions; evaluation matrix; stakeholder map; template for survey; bibliography; list of persons met; terms of reference

(\*) Tables, graphs and diagrams should be numbered and have a title.

#### II. Country and regional case study notes

Each country / regional case study should be of a maximum 25 pages length (excluding annexes). The case studies allow the evaluation team to gather and analyse information on the UNPFA support at country and regional level, which together with the inception, desk review, remote interviews and survey findings should feed the global assessment reported in the thematic evaluation report. These case studies should be prepared after the field visits, they should respect the agreed structure. **4 country case study notes** plus **2 regional case study notes** should be prepared and submitted to the Evaluation Office.

Table of Contents

List of Acronyms

List of tables and figures

1 Short description of country/ regional context (1 page)

Should include: Country/regional background; UNFPA response in the country/region

2 Findings of the country or regional case study (18-22 pages)

Should include: evidence based findings corresponding to the responses to the evaluation questions

3 Considerations for the overarching thematic evaluation (1-2 pages)

Observations to inform the synthesis report

#### **Annexes**

Should include: list of documents consulted; list of people interviewed

These country and regional case study notes (4 country and 2 regional) will be included in the annex of the final thematic evaluation report.

#### III. Final report

Number of pages: 70-80 pages without the annexes

Table of Contents

List of Acronyms

List of Tables (\*)

List of Figures

**Executive Summary:** 7-8 pages: objectives, short summary of the methodology and key conclusions and recommendations

#### 1 Introduction

Should include: purpose of the evaluation; mandate and strategy of UNFPA support to the prevention, response to and elimination of gender based violence, including harmful practices

## 2 Methodology

Should include: overview of the evaluation process; methods and tools used in evaluation design; analysis of UNFPA strategic framework; evaluation questions and assumptions to be assessed; methods and tools used for data collection; desk review; survey; case studies; limitations to data collection; methods and tools used for data analysis; methods of judgment; the approach to triangulation and validation

## 3 Main findings and analysis

Should include for each response to evaluation question: evaluation criteria covered; summary of the response; detailed response

#### 4 Conclusions

Should include for each conclusion: summary; origin (which evaluation question(s) the conclusion is based on); detailed conclusion

#### 5 Recommendations

Should include for each recommendation: summary; priority level (very high/high/medium); target (business unit(s) to which the recommendation is addressed); origin (which conclusion(s) the recommendation is based on); operational implications. Recommendations must be: linked to the conclusions; clustered, prioritized; accompanied by timing for implementation; useful and operational

Annexes shall be confined to a separate volume

Should include: country and regional case study notes; evaluation matrix; portfolio of interventions; methodological instruments used (survey, focus groups, interviews etc.); bibliography; list of people interviewed; terms of reference.

(\*) Tables, Graphs, diagrams, maps etc. presented in the final evaluation report must also be provided to the Evaluation Office in their original version (in Excel, PowerPoint or word files, etc.).

The final version of the evaluation report shall be presented in a way that enables publication (professionally designed and copy edited) without need for any further editing (see section below). Please note that, for the final report, the company should share the files in Adobe Indesign CC software, with text presented in two columns with no hyphenation. Further details on design will be provided by UNFPA Evaluation Office in due course.

# **Cover for Inception Report and Final Evaluation Report**

UNFPA log	o (there sl	nould be no	o other logo/	name of	f company)	
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Title of the evaluation:

Title of the report (example: Inception Report)

**Evaluation Office** 

Date

The following information should appear on page 2:

- Name of the evaluation manager
- Names of the evaluation team

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The analysis and recommendations of this report do not necessarily reflect the views of the United Nations Population Fund. This is an independent publication by the Evaluation Office of UNFPA.

Any enquiries about this report should be addressed to:

Evaluation Office, United Nations Population Fund, e-mail: evb@unfpa.org

For further information on the evaluation please consult the Evaluation Office webpage:

http://www.unfpa.org/evaluation

Editing: xxxx Design: XXX

Cover photos provided by: XXXX

See examples of evaluation reports at: <a href="http://unfpa.org/public/home/about/Evaluation">http://unfpa.org/public/home/about/Evaluation</a>

## **Annex 2 - Editing guidelines**

Evaluation reports and notes are formal documents. Therefore they shall be drafted in a language and style which is appropriate and consistent and which follows UN editing rules:

**Acronyms:** In each section of the report, words shall be spelt out followed by the corresponding acronym between parentheses. Acronyms or abbreviations should be used only when mentioned repeatedly throughout the text. The authors must refrain from using too many acronyms. In tables and figures, acronyms should be spelt out in a note below the table/figure.

**Capitalization:** Capitalize high ranking officials' titles even when not followed by a name of a specific individual. Capitalize national, political, social, civil etc. groups — e.g. Conference for Gender Equity, Committee on HIV/AIDS, Commission on Regional Development, Government of South Africa.

- Capitalize common nouns when they are used as a shortened title, for example, the 'Conference' (referring to the Conference on Gender Equity) or the 'Committee' (referring to the Committee on HIV/AIDS). However, do not capitalize when used as common nouns e.g. 'there were several regional conferences.'
- Some titles/names corresponding to acronyms are *not capitalized* e.g. human development index (HDI), country office (CO).
- <u>Use lower case for:</u> UNFPA headquarters; country office; country programme; country programme evaluation; regional office, country programme document; results framework; results-based monitoring framework; monitoring and evaluation system.

**Numbers:** Spell out single-digit whole numbers. Use numerals for numbers greater than *nine*. Always spell out simple fractions and use hyphens with them (e.g. one-half of..., a two-thirds majority). Hyphenate all compound numbers from twenty-one through ninety-nine. Write out a number if it begins a sentence. Use % symbol in tables and "per cent" in the text

**Terminology:** Use "UN organizations" not "sister agencies." Do *not* use possessive for innate objects (UNFPA's, the Government's, the country's, etc.). Instead, use: the UNFPA programme, the government programme, the UNFPA intervention, etc.

#### Bibliography

Author (last name first), *Title of the book*, City: Publisher, Date of publication.

Author (last name first), "Article title," <u>Name of magazine</u> (type of medium). Volume number, (Date): page numbers, date of issue.

URL (Uniform Resource Locator or WWW address), author (or item's name, if mentioned), date.

#### List of people consulted

- should include the full name and title of people interviewed as well as the organization to which they belong
- should be organized in alphabetical order (English version) with last name first
- should be structured by type of organization

### Annex 3. Code of conduct and norms for evaluation in the UN system

Evaluations of UNFPA-supported activities need to be independent, impartial and rigorous and evaluators must demonstrate personal and professional integrity. In particular:

- 1. To avoid **conflict of interest** and undue pressure, evaluators need to be **independent**. The members of the evaluation team must not have been directly responsible for the policy/programming-setting, design, or overall management of the subject under evaluation, nor should they expect to be in the near future. Evaluators must have no vested interest and should have the full freedom to conduct impartially their evaluative work, without potential negative effects on their career development. They must be able to express their opinion in a free manner.
- 2. The evaluators should protect the anonymity and **confidentiality of individual informants**. They should provide maximum notice, minimize demands on time, and respect people's right not to engage. Evaluators must respect people's right to provide information in confidence, and must ensure that sensitive information cannot be traced to its source. Evaluators are **not expected to evaluate individuals**, and must balance an evaluation of management functions with this general principle.
- 3. At times, evaluations uncover **evidence of wrongdoing**. Such cases must be reported discreetly to the appropriate investigative body.
- 4. Evaluators should be **sensitive to beliefs, manners and customs** and act with integrity and honesty in their relations with all stakeholders. In line with the UN Universal Declaration of Human Rights, evaluators must be sensitive to, and **address issues of discrimination and gender equality**. They should avoid offending the dignity and self-respect of those persons with whom they come in contact in the course of the evaluation. Knowing that evaluation might negatively affect the interests of some stakeholders, evaluators should conduct the evaluation and communicate its purpose and results in a way that clearly respects the dignity and self-worth of all stakeholders.
- 5. Evaluators are responsible for the **clear, accurate and fair** written and/or oral presentation of study limitations, evidence based findings, conclusions and recommendations.

A declaration of absence of conflict of interest must be signed by each member of the team and shall be annexed to the offer. No team member should have participated in the preparation, programming or implementation of UNFPA interventions on GBV during the period under evaluation.

[ Please date, sign and write "Read and approved"]

See Code of conduct for evaluation in the United Nations System at:

http://www.unevaluation.org/search/index.jsp?q=UNEG+Ethical+Guidelines

See Norms for evaluation in the United Nations System at: http://www.unevaluation.org/papersandpubs/documentdetail.jsp?doc\_id=21

# Annex 4. Evaluation matrix: outline

EQ1 : To what extent			
Assumptions to be assessed	Indicators	Sources of information	Methods and tools for the data collection
Assumption 1			
Assumption 2			

# Annex 5. Evaluation quality assessment grid of the evaluation report

The final thematic evaluation report will be subject to assessment by an independent evaluation quality assessment provider, using the grid presented bellow:

Organizat	ional unit: aluation report:				Ye	ar of repor	rt:
Overall qu	uality of report:	Good			Date of	assessmen	it:
Overall Co	omments:	[insert text]					
Assessmen	t Levels						
Very good:	strong, above average best practice	Good:	satisfactory, respectable	Fair:	with some weaknesses, still acceptable	Unsatis- factory:	weak, does not meet minimal quality standards

Quality Assessment Criteria	Insert <u>assessment level</u> followed be <u>comments</u> . (use 'shading' function to a corresponding colour)	•	
1. Structure and Clarity of Reporting	Assessment Level: Fai		

To ensure the report is comprehensive and user-friendly

- Is the report easy to read and understand (i.e. written in an accessible non-technical language appropriate for the intended audience)?
- Is the report focused and to the point (e.g. not too lengthy)?
- Is the report structured in a logical way? Is there a clear distinction made between analysis/findings, conclusions, recommendations and lessons learned (where applicable)?
- Do the annexes contain at a minimum the ToRs; a bibliography, a list of interviewees, the evaluation matrix and methodological tools used (e.g. interview guides; focus group notes, outline of surveys)?

Executive summary

- Is an executive summary included in the report, written as a stand-alone section and presenting the main results of the evaluation?
- Is there a clear structure of the executive summary, (i.e. i) Purpose, including intended audience(s); ii) Objectives and brief description of intervention; iii) Methodology; iv) Main conclusions; v) Recommendations)?
- Is the executive summary reasonably concise (e.g. with a maximum length of 5-10 pages)?

Comment:

# 2. Design and Methodology

To ensure that the evaluation is put within its context

- Does the evaluation describe whether the evaluation is for accountability and/or learning purposes?
- Does the evaluation describe the target audience for the evaluation?
- Is the development and institutional context of the evaluation clearly described?
- Does the evaluation report describe the reconstruction of the intervention logic and/or theory of change?
- Does the evaluation explain any constraints and/or general limitations?

To ensure a rigorous design and methodology

Assessment Level:

Very good

Comment:

<ul> <li>Is the evaluation approach and framework clearly described? Does it establish the evaluation questions, assumptions, indicators, data sources and methods for data collection?</li> <li>Were the methods chosen appropriate for addressing the evaluation questions? Are the tools for data collection described and justified?</li> <li>Is the methods for analysis clearly described?</li> <li>Are methodological limitations acknowledged and their impact on the evaluation described? (Does it discuss how any bias has been overcome?)</li> <li>Is the sampling strategy described? Does the design include validation techniques?</li> <li>Is there evidence of involvement of stakeholders in the evaluation design? (Is there a comprehensive/credible stakeholder map?)</li> <li>Does the methodology enable the collection and analysis of disaggregated data?</li> <li>Is the design and methodology appropriate for assessing the cross-cutting issues (equity and vulnerability, gender equality and human rights)?</li> </ul>	
3. Reliability of Data	Assessment Level:
To ensure quality of data and robust data collection processes	Comment:
<ul> <li>Did the evaluation triangulate all data collected?</li> <li>Did the evaluation clearly identify and make use of qualitative and quantitative data sources?</li> <li>Did the evaluation make explicit any possible issues (bias, data gaps etc.) in primary and secondary data sources and if relevant, explained what was done to minimize such issues? I.e. did the evaluation make explicit possible limitations of the data collected?</li> <li>Is there evidence that data has been collected with a sensitivity to issues of discrimination and other ethical considerations?</li> <li>Is there adequate gender disaggregation of data? And if this has not been possible, is it explained?</li> <li>Does the evaluation make explicit the level of involvement of different stakeholders in the different phases of the evaluation process?</li> </ul>	
4. Analysis and Findings	Assessment Level:
To ensure sound analysis	Comment:
<ul> <li>Is information analysed and interpreted systematically and logically?</li> <li>Are the interpretations based on carefully described assumptions?</li> </ul>	

•	Is the analysis presented against the evaluation questions?		
•	Is the analysis transparent about the sources and quality of data?		
•	Are possible cause and effect links between an intervention and its end results explained?		
•	Where possible, is the analysis disaggregated to		
	show different outcomes between different		
	target groups?		
•	Are unintended results identified?		
•	Is the analysis presented against contextual factors?		
•	Does the analysis include reflection of the views		
	of different stakeholders (reflecting diverse interests)? E.g. how were possible divergent		
	opinions treated in the analysis?		
•	Does the analysis elaborate on cross-cutting		
	issues such as equity and vulnerability, gender		
	equality and human rights?		
To ensu	ure credible findings		
•	Can evidence be traced through the analysis into findings? E.g. are the findings substantiated by evidence?		
•	Do findings follow logically from the analysis?		
•	Is the analysis of cross-cutting issues integrated in		
	the findings?		
5. Conc	lusions	Assessment Level:	
To asse	ss the validity of conclusions	Comment:	
	Are constrained and distance of alcoholy related to the	Comment.	
•	Are conclusions credible and clearly related to the findings?		
•	Are the conclusions demonstrating an appropriate		
	level of analytical abstraction?		
•	Are conclusions conveying the evaluators'		
	unbiased judgement of the intervention?		
6. Reco	mmendations	Assessment Level:	
			ĺ

To ensure the usefulness and clarity of recommendations	Comment:
<ul> <li>Do recommendations flow logically from conclusions?</li> <li>Are the recommendations sufficiently clear, targeted at the intended users and operationally-feasible?</li> <li>Do recommendations reflect stakeholders' consultations whilst remaining balanced and impartial?</li> <li>Is the number of recommendations manageable?</li> <li>Are the recommendations prioritised and clearly presented to facilitate appropriate management response and follow up on each specific recommendation?</li> </ul>	
7. Gender	Assessment Level:
To assess the integration of Gender Equality and	
Empowerment of Women (GEEW) <sup>34</sup>	
<ul> <li>Is GEEW integrated in the evaluation scope of analysis and indicators designed in a way that ensures GEEW-related data to be collected?</li> <li>Do evaluation criteria and evaluation questions specifically address how GEEW has been integrated into design, planning, implementation of the intervention and the results achieved?</li> <li>Have gender-responsive evaluation methodology, methods and tools, and data analysis techniques been selected?</li> <li>Do the evaluation findings, conclusions and</li> </ul>	Comment:

<sup>&</sup>lt;sup>34</sup> This assessment criteria is fully based on the UN-SWAP Scoring Tool, see Annex 7. Each sub-criteria shall be equally weighted (in correlation with the calculation in the tool and totalling the scores II-I2 = very good, 8-I0 = good, 4-7 = Fair, 0-3=unsatisfactory). One question is if this criteria should be included in the overall evaluation quality assessment grid, or form a separate column and be assessed on its own.

# **Overall Evaluation Quality Assessment**

	Assessment Levels (*)					
Quality assessment criteria (scoring points*)	Very good	Good	Fair	Unsatisfactor y		
1. Structure and clarity of reporting, including executive summary (7)				7		
2. Design and methodology (13)			13			
3. Reliability of data (11)			11			
4. Analysis and findings (40)			40			
5. Conclusions (11)		11				
6. Recommendations (11)		11				
7. Integration of gender (7)	7					
Total scoring points	7	22	63	7		
Overall assessment level of evaluation report			Fair			
	Very good → very confident to use	Good → confident to use	Fair → use with caution	Unsatisfactor y → not confident to use		

(\*) (a) Insert scoring points associated with criteria in corresponding column (e.g. - if 'finding and analysis' has been assessed as 'good', enter 40 into 'Good' column. (b) Assessment level with highest 'total scoring points' determines 'Overall assessment level of evaluation report'. Write corresponding assessment level in cell (e.g. 'Fair'). (c) Use 'shading' function to give cells corresponding colour.

# If the overall assessment is 'Fair', please explain:<sup>35</sup>

- How it can be used?
- What aspects to be cautious about?

Where relevant, please explain the overall assessment Very good, Good or Unsatisfactory:<sup>36</sup>

<sup>&</sup>lt;sup>35</sup> The purpose here is to clarify in what way the report can be used. This in order to assist the elaboration of a relevant Management Response and the wider use of the evaluation findings back into programming. When a report has been assessed as Fair, it is obligatory to fill this text box in.

<sup>&</sup>lt;sup>36</sup> The purpose is, where relevant, to clarify for example severe unbalances in the report (for example, the report is good overall but recommendations very weak). Is optional to fill in.

harmful practices		J
Consideration of significant constraints <sup>37</sup>		
The quality of this evaluation report has been hampered by exceptionally difficult circumstances:	yes	no
If yes, please explain:		

<sup>&</sup>lt;sup>37</sup> E.g. this should only be used in case of <u>significant</u> events that has severely hampering the evaluation process like natural disasters, evaluators falling sick, unexpected significant travel restrictions, etc. More 'normal' limitations should be mentioned under relevant section above.

# Annex 6. Short overview: UNFPA Strategic Plans and frameworks under the scope of the evaluation

Two UNFPA strategic plans fall under the scope of the evaluation – the 2012-2013 and the 2014-2017. The outcomes, outputs and/or indicators (of the respective development results frameworks) in which gender based violence or a particular form of GBV is explicitly mentioned provide the framework against which UNFPA support will be evaluated.

# A. UNFPA Mid-term review of the Strategic Plan (2012-2013)

From 2008-2011, UNFPA advanced 13 outcomes, falling under three focus areas: population and development, reproductive health and rights, and gender equality. Though the focus areas were interlinked, work on GBV fell primarily under the area of "gender equality" — which emphasized the "advancement of equality and the empowerment of women and adolescent girls to exercise their human rights, particularly their reproductive rights, and live free of discrimination and violence." Indeed, the SP 2008-2011 "had both a gender equality outcome explicitly devoted to addressing the issue [of GBV] in addition to outcomes related to its two other key programme areas: population and development, and reproductive health," reflecting a strong commitment by the organization to eradicate GBV.

In 2011/2012, the Mid-term Review of the Strategic Plan consolidated and further refined the number of outcomes advanced from 13 to 7. The eradication of GBV was primarily captured under outcome 5 (*Gender equality and reproductive rights advanced particularly through advocacy and implementation of laws and policy*). As detailed under outcome 5, UNFPA addressed GBV through the following modes of engagement/activities:

"UNFPA will continue to build national capacity to implement laws and policies that advance gender equality and reproductive rights with specific emphasis on addressing GBV, and will continue work on GBV in humanitarian settings as well as its partnership to eliminate harmful practices, including FGM/C. In addition, UNFPA will promote gender equality in the spirit of 'One UN' commitments made by the entire United Nations system, coordinating with UN Women and other agencies as needed. UNFPA will also continue to advocate for the protection and fulfilment of reproductive rights and will partner actively with civil society groups (including faith-based and community-based organizations) that engage men and boys in promoting gender equality and reproductive rights."

Drilling down, Output 13 of Outcome 5 of the Strategic Plan 2012-2013, further details UNFPA work on GBV. Output 13 states that UNFPA will work to strengthen national capacity to address GBV and provide quality services (toward that end), including within humanitarian settings. In addition to Outcome 5, GBV is also explicitly mentioned under cross-cutting issues (issues that cut across the seven outcomes). Addressing GBV within humanitarian contexts (falling under the cross-cutting issues of humanitarian assistance) is underscored as a UNFPA comparative advantage:

"UNFPA will continue to support the integration of the ICPD Programme of Action into emergency preparedness, humanitarian response and transition and recovery processes. The UNFPA comparative advantage in humanitarian settings is in reproductive health, addressing GBV, and in the area of data."

52

 $<sup>^{38}</sup>$  UNFPA Strategic Plan 2008-2011: Accelerating progress and national ownership of the ICPD Programme of Action - Report of the Executive Director. 27 July 2007.

# B. UNFPA Strategic Plan 2014-2017

The current UNFPA Strategic Plan (2014-2017) features 4 outcomes and fifteen outputs. Women and adolescents and youth are key beneficiaries of UNFPA support and the most vulnerable and marginalized, particularly adolescent girls, are prioritized. The first outcome focuses on SRHR (specifically access to SRH services), while "the second and third outcomes...focus on youth empowerment and non-discrimination respectively, with the fourth outcome [centering on] the linkages between sexual and reproductive health and reproductive rights, population dynamics, poverty and sustainable development....integrating the UNFPA mandate in the broader development and humanitarian agenda."<sup>39</sup>

Addressing GBV falls primarily under outcome 3 (Advanced gender equality, women's and girls' empowerment, and reproductive rights, including for the most vulnerable and marginalized women, adolescents and youth) and specifically output 10. It is also mainstreamed/included in the outputs and/or indicators) of outcome 1 (Increased availability and use of integrated sexual and reproductive health services (including family planning, maternal health and HIV) that are gender-responsive and meet human rights standards for quality of care and equity in access) and outcome 4 (Strengthened national policies and international development agendas through integration of evidence-based analysis on population dynamics and their links to sustainable development, sexual and reproductive health and reproductive rights, HIV and gender equality).<sup>40</sup>

#### Outcome 3

Work on GBV and harmful practices (such as FGM) are central to the achievement of outcome 3 and a "major area of emphasis." Significantly, UNFPA works to prevent and eliminate **three particular forms of harmful practices**, the only UN agency to do so. Child marriage, FGM and sex selective abortions/son preference are addressed within UNFPA programming.

The theory of change for outcome 3 states that "GBV and other harmful practices are...among the most pervasive violations to human rights, [and are] a global health concern of epidemic proportions with serious implications for SRH outcomes." Too, "GBV is significantly exacerbated in conflict and disaster contexts, where the 'peace time' risks of violence are compounded." Potential obstacles/risks to the advancement of outcome 3, such as "socio-cultural barriers to gender equality...and the persistence of vertical, non-coordinated programmes, which do not adequately address underlying structural drivers of GBV" are also detailed in the theory of change.

As a means to advance gender equality, women's human rights and empowerment, UNFPA efforts to eradicate GBV will focus on the integration of gender-based violence programming into broader SRH services, including in the context of humanitarian programming, while work on FGM will be conducted via a joint programme with UNICEF. <sup>41</sup> UNFPA will contribute to the achievement of outcome 3 through the advancement of three outputs: GBV is mentioned explicitly in two outputs (output 9 and output 10) and in an indicator for output 11. Within outcome 3, the prevention of GBV, the provision of services, and the development of protection systems are emphasized, achieved through a combination of advocacy, capacity development and knowledge management.

<sup>&</sup>lt;sup>39</sup> The UNFPA Strategic Plan, 2014-2017, Annex 2 (Outcome Theories of Change): http://www.unfpa.org/adminresource/strategic-plan-2014-2017

 $<sup>^{40}</sup>$  The UNFPA Strategic Plan, 2014-2017, Annex 4 (Integrated Results Framework): http://www.unfpa.org/adminresource/strategic-plan-2014-2017

<sup>&</sup>lt;sup>41</sup> See The UNFPA Strategic Plan, 2014-2017, page 8, paragraph 25: http://www.unfpa.org/adminresource/strategic-plan-2014-2017

Falling under outcome 3, **UNFPA will undertake the following activities to address** GBV (those pulled out here explicitly mention GBV or violence against women):<sup>42</sup>

- UNFPA will develop a comprehensive framework to address the most pervasive forms of violence against women and girls and other harmful practices affecting their SRH and reproductive rights, including in humanitarian settings and fragile contexts. Special attention will be given to addressing sexual violence against women and girls in the most vulnerable contexts.
- UNFPA will partner with UN-Women, WHO, governments and CSOs to develop and disseminate
  essential multisectoral service standards on GBV, with an emphasis on the health sector
  response and SRH/FP services, and on changing public perceptions around the acceptability of
  abuse.
- Integration of GBV into SRH will also be a priority through the promotion of good practices and effective intervention models and the roll-out of technical guidance.
  - o With the African Union, governments, UNICEF and CSOs, UNFPA will support implementation of the GA 2012 resolution on the total elimination of FGM worldwide in 17 sub-Saharan and Arab countries, with emphasis on increasing government accountability, mainstreaming FGM response into SRH programmes and services, and reinforcing capacities of government and CSOs to promote positive norm change. 43
- In humanitarian settings, UNFPA will continue to play a leading role within the humanitarian community in **GBV** prevention and response. Inter-agency coordination efforts and implementation of context-specific programmes will be scaled up and expanded to ensure that the minimum actions for GBV prevention and response are implemented, services are in place and strengthened, and systems are functioning to support GBV data management.
  - o Implementation of UNSCR 1325, 1820 and other resolutions on conflict-related sexual violence, will be promoted in conflict and post-conflict countries through trainings, the development of national action plans, support to development of data management systems, in-country joint programmes, and South-South and triangular cooperation.
- UNFPA will help ensure that the needs and rights of women and girls and marginalized and key populations are met, including through the utilization of social accountability mechanisms and tools to address the link between inequality and reproductive and sexual health and rights. Special attention will be given to new methodologies that estimate the cost of not addressing discrimination, reproductive right violations, GBV and harmful practices.

In addition to outcome 3, GBV (or violence) is mentioned explicitly in the outputs and/or indicators of outcome 1, outcome 2 and outcome 4. Note, too, that in the process of authoring the current SP, the organization developed output theories of change.<sup>44</sup> These further flesh out the rationale for specific strategic interventions and provide operationalization suggestions to produce the desired output.

<sup>&</sup>lt;sup>42</sup> The UNFPA Strategic Plan, 2014-2017, Annex 2 (Outcome Theories of Change): http://www.unfpa.org/adminresource/strategic-plan-2014-2017

<sup>&</sup>lt;sup>43</sup> The UNFPA Strategic Plan, 2014-2017, Annex 2 (Outcome Theories of Change): http://www.unfpa.org/adminresource/strategic-plan-2014-2017

<sup>&</sup>lt;sup>44</sup> The theories of change developed for each output of the UNFPA 2014-2017 Strategic Plan can be found here: ftp://www.unfpa.org.pe/Otros/Armonizacion-y-Plan-Estrategico-Global/Documentos-Armonizacion-Sede-UNFPA/documentos%20Plan%20Estrat%E9gico%20Global%202014-2017/2-Theory-of-Change-Output

# C. The GBV Strategy (2008-2011) – a relevant framework

Though outside the scope of the evaluation and no longer formally in effect, the UNFPA Strategy and Framework for Action to Addressing Gender-based Violence (2008-2011) shaped the work of UNFPA GBV from 2008-2011. Significantly, the Strategy and Framework for Action is the only UNFPA corporate strategic framework *exclusively* focused on gender based violence and, to a degree, continues to impact thinking and programming today. Indeed, several of the eight priority areas for intervention outlined in the Framework are reflected in the 2014-2017 Strategic Plan.

Developed in tandem with the UNFPA SP 2008-2013, the Strategy and Framework for Action captures the centrality of GBV work in the strategy of UNFPA, underscoring that the "elimination of violence against women and girls is the ultimate goal of UNFPA-supported interventions." The Strategy and Framework for Action aims to provide a "common platform and technical guidance for UNFPA at country, regional and global levels and effectively guide capacity-development initiatives, resources and partnerships." The contribution of UNFPA to the elimination of violence against women and girls, as outlined in the Framework, focused specifically on areas "relevant to its mandate of programming on sexual and reproductive health issues, such as domestic and sexual violence and harmful practices, as well as on addressing sexual and other forms of GBV in humanitarian settings."

Based on its "comparative advantages and experience, in the context of United Nations reform and 'One United Nations' processes and in line with the expected outcomes stated in the 2008-2013 Strategic Plan," the framework identifies eight priority areas in which UNFPA should strategically direct its GBV programming:

- Policymaking and legal protection
- Collecting and analysing data
- Addressing GBV through sexual and reproductive health programmes
- Building violence prevention into humanitarian responses in conflict and natural disasters
- Reaching out to adolescents and youth
- Sending messages to men and boys about gender equality and zero tolerance for abuse
- Joining hands with faith-based networks and traditional cultural leaders
- Sharpening the focus on the most vulnerable and marginalized people

The Strategy states that though GBV does not only affect women and girls, it does so disproportionately and overwhelmingly. As such, the focus of UNFPA "remains on tackling violence against women and girls." The Strategy and Framework for Action does not have a corresponding results framework, but, "mechanisms for monitoring the Framework are reflected in the "Strategic Framework on Gender Mainstreaming and Women's Empowerment 2008-2011," Which establishes GBV as a priority area for UNFPA programming on gender equality.

<sup>&</sup>lt;sup>45</sup> See UNFPA Strategy and Framework for Action to Addressing Gender-based Violence 2008-2011, page 7: http://www.unfpa.org/sites/default/files/pub-pdf/2009 add gen vio.pdf

<sup>&</sup>lt;sup>46</sup> See UNFPA Strategy and Framework for Action to Addressing Gender-based Violence 2008-2011, page 7, Box 3: http://www.unfpa.org/sites/default/files/pub-pdf/2009\_add\_gen\_vio.pdf

<sup>&</sup>lt;sup>47</sup> Delivering on the Promise of Equality: UNFPA's Strategic Framework on Gender Mainstreaming and Women's Empowerment 2008-2011: <a href="http://www.unfpa.org/publications/delivering-promise-equality">http://www.unfpa.org/publications/delivering-promise-equality</a>

# Annex 7. Sampling approach: country and regional case study selection

- A. The criteria for the country case studies (including both field and extended desk) are:
  - The **UNFPA** country quadrant classification: the UNFPA country classification system, which categorizes countries based on need and ability to finance. In order to capture various development contexts, the sample will include countries from each of the four quadrants (red, yellow, orange and pink see table 2).
  - UNFPA expenditure (inclusive of both core and non-core funds) in support of GBV work. The sample for the in-country visits, in particular, will include countries in which UNFPA expenditure has been relatively high, in order to ensure that a range of programming can be evaluated. Indeed, it would make little sense to allocate time and resources conducting an in-country case study in contexts where UNFPA has not undertaken robust work on GBV, as learning/good practices would be limited and the ability to assess progress on the advancement of various outcomes / outputs related to GBV would be marginal.
  - **Regional distribution**: The sample will ensure that there are countries selected from all six UNFPA regions.<sup>48</sup>
  - Humanitarian/Development Context: given the specific scope of the evaluation, the sample will include countries within both development and humanitarian settings, as well as countries in which a continuum approach has been utilized.
  - Income inequality: the Gini coefficient is used to group countries into quartiles based on their level of inequality and the evaluation will aim to include countries with high levels of inequality as well as those with lower levels.
  - **Prevalence of harmful practices**: case study country selection include a country or countries in which two or more **harmful practices** (FGM, child marriage, or son preference) are prevalent.

# Consideration is also given to:

- INFORM Score: INFORM the Index for Risk Management is a global, open-source risk assessment for humanitarian crises and disasters. The INFORM score is comprised of three dimensions: vulnerability, hazards and exposure and lack of coping capacity. Each dimension is further disaggregated into components that aim to capture concepts related to the needs of humanitarian and resilience actors. The score combines around 50 different indicators that measure hazards (events that could occur), vulnerability (the susceptibility of communities to those hazards) and capacity (resources available that can alleviate the impact). INFORM covers 191 countries and includes both natural and human hazards. For more information on the INFORM Score, see http://www.inform-index.org/InDepth/Methodology
- Recipient of Funds from Joint programmes on GBV: The sampling includes countries that have received funds from a Joint Programme on GBV (FGM, Essential Services, Violence Against Women). This will reflect a context in which a unique form of dedicated support to the prevention and eradication of GBV was provided.
- Security concerns/ability to travel: If the evaluation team is not able to travel to the location due to security concerns/or if there are significant logistical obstacles, the country will not be considered for inclusion as an in-country case study, but may be considered for an extended desk.

<sup>&</sup>lt;sup>48</sup> (i) Western and Central Africa; (ii) Eastern and Southern Africa; (iii) Asia and the Pacific; (iv) Arab States; (v) Eastern Europe and Central Asia and (vi) Latin America and the Caribbean.

- Country Programme Evaluation conducted (in 2015 or 2016): If a CPE was recently conducted (2015 onward), the country will not be considered for inclusion as an in-country case study, but may be considered for an extended desk review.
- Countries recently selected as case study countries in **other thematic evaluations** will not be considered for inclusion as an in-country case study, but could be considered as an extended desk review.

# Sample frame for country case study selection (field and extended desk)

Region	Inequality Gini Coefficient (0 = perfect equality 100 = perfect inequality); 0-24: lowest level of inequality (1st quartile); 25-49: lower-middle (2nd quartile); 50 -74: upper – middle (3 <sup>rd</sup> quartile); 75 – 100: high inequality (4th quartile)				
	No Data on the Gini Coefficient	1st quarti le	2nd quartile	3rd quartile	4th quartile
Western and Central Africa	Liberia* (CPE 2016) CAR*+ (CPE 2016) Mali*+(CPE 2018) Burkina Faso*+(CPE 2011- 2015)		Nigeria+ (CPE 2009-2012; CPE 2017) Sierra Leone*+ Niger+(CPE 2017) Cote d'ivoire* Guinea*+(CPE 2016) Chad*+		
Eastern and Southern Africa	South Sudan* Zimbabwe* (CPE 2012- 2015) Kenya*(CPE 2017) Mozambique		Uganda* Ethiopia* Malawi Dem Rep Congo*(CPE 2016) Tanzania	South Africa (CPE 2007-2012)	
Asia and the Pacific	Myanmar*(CPE 2016)  Myanmar*(CPE 2016) Pakistan*+ (CPE 2016) Indonesia*(CPE 2019) China		Afghanistan*(CPE 2018) Bangladesh*  Philippines*(CPE 2016) India*+  Vietnam		
Arab States	Somalia*+ ©  Syria*© Palestine* Egypt (CPE 2016)  Yemen*  Lebanon*(CPE 2010- 2014) Oman		Sudan*  Jordan ©  Iraq* ©		
Latin America and the Caribbea n			Bolivia*(CPE 2016) Nicaragua (CPE 2016)  El Salvador*(CPE 2018)  Peru Uruguay (CPE 2011-2015)	Guatamala*(CPE 2018) Honduras Colombia*(CPE 2018) Haiti*	

Eastern Europe and Central	Bosnia & Herzegovina* ( CPE 2010-2013; CPE 2018) Uzbekistan (CPE 2010- 2014) Azerbaijan (CPE 2011- 2015)	Turkey*© Ukraine Belarus Albania (CPE 2012-2016)	
Asia	Tajikistan* (CPE 2010- 2015)	Kyrgyzstan* (CPE 2016)	
		Georgia	

<sup>\*</sup> denotes a country currently experiencing a humanitarian context

#### B. Rationale for the selection: country case studies

<u>India</u>: a country within the **Asian Pacific Region**, falls within the top 5 country offices by expenditure on the prevention and eradication within the region. Categorized as an **orange** quadrant country, India, on the whole, has a higher need and lower ability to finance. Using the Gini coefficient to measure levels of inequality, India falls within the **second quartile**, with lower middle level of inequality. According to an internal UNFPA classification process, India is considered to be experiencing a **humanitarian** context. It is also a country in which two **harmful practices** are prevalent: son preference and child marriage. India has an INFORM score of 5.6 and is ranked 24th out of 190 countries in terms of hazard, vulnerability, and low coping capacity, placing it in the fourth quartile worldwide and the 85<sup>th</sup> percentile within Asia.<sup>49</sup>

<u>Guatemala</u>: a country within Latin America and the Caribbean, had the highest level of expenditure within the region. Like India, Guatemala occupies the **orange** quadrant and is categorized as a country experiencing a **humanitarian context**. Guatemala falls within the **third quartile** using the Gini coefficient, with upper middle levels of inequality in the country. Guatemala has also witnessed GBV against indigenous communities and women human rights defenders. Guatemala has an INFORM score of 5.3 and is ranked 30th out of 190 countries in terms of hazard, vulnerability, and low coping capacity, placing it in the fourth quartile worldwide and above the 90<sup>th</sup> percentile within the Americas.

<u>Uganda</u>: located in Eastern and Southern Africa region, falls within the red quadrant, a quadrant comprised of countries with the highest need and lowest ability to finance on aggregated. The UNFPA country office in Uganda has the highest expenditure on GBV in the region. Falling within the second quartile on the Gini coefficient, Uganda registers lower-middle levels of inequality. Despite being criminalized, FGM continues to occur in Uganda, though prevalence rates are relatively low. Uganda faces a protracted humanitarian context, with internal displacement and a large refugee population, offering the opportunity to assess the contribution of UNFPA to GBV programming within a humanitarian setting. Uganda has an INFORM score of 5.4 and is ranked 29th out of 190 countries in terms of hazard, vulnerability, and low coping capacity, placing it in the fourth quartile worldwide and above the 70<sup>th</sup> percentile within Africa.

<u>Central African Republic:</u> CAR, a country that falls within the <u>red quadrant</u> – presents a context of <u>protracted crisis</u>, offering the opportunity to assess the UNFPA response/contribution in contexts of long-standing/on-going crisis. UNFPA CAR has spent the seventh highest amount the region. No World Bank data is available on the level of income inequality (Gini coefficient). Though earmarked/non-core funding has accounted for the large majority of GBV spend in the country, donor interest in and

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<sup>+</sup> denotes a country in which 2 or more harmful practices are prevalent

<sup>©</sup> denotes a country in which the continuum approach to GBV programming is being implemented/utilized

CPE (Country Programme Evaluation): date range indicates the time period covered by recent evaluation; single date indicates the year of the forthcoming CPE

<sup>&</sup>lt;sup>49</sup> Excluding Western Asia

resources for CAR have, on the whole, been limited (relative to other crisis contexts), impacting the delivery of programming. This provides the opportunity to assess the impact of limited (or sporadic/unpredictable) funding on the response of UNFPA, particularly on service provision. Two harmful practices take place in the country: FGM and child marriage. CAR has an INFORM score of 8.3 and is ranked 3rd out of 190 countries in terms of hazard, vulnerability, and low coping capacity, placing it in the 98<sup>th</sup> percentile worldwide and the 95<sup>th</sup> percentile within Africa.

If travel to CAR is not possible due to security concerns, Nigeria will replace CAR as the country case study.

The four country case studies selected above is final. <sup>50</sup> However, barring Iraq, which is obligatory, the proposal for the eight extended desk review country cases studies can be further discussed.

# Extended Desk Review: eight country desk-based case studies

The extended desk review will provide an additional opportunity to further delve into the contribution of UNFPA in particular support settings. The assessment in these eight countries will involve studying documentation and conducting remote semi-structured interviews.

#### Rationale for the sampling selection:

Nigeria and Niger have the highest and third highest level of expenditure in the region respectively. Both countries experience the practice of two harmful practices – FGM and Child Marriage, offering the evaluation the opportunity to assess the contribution of UNFPA to their eradication. Both are categorized by UNFPA HFCB as experiencing a humanitarian context; Niger is the recipient of a funding from the JP on Child Marriage while Nigeria receives funds from the JP on FGM, allowing the evaluation to assess contexts in which dedicated funding for GBV is being provided. Sierra Leone, a red quadrant country, has the second highest level of expenditure. The country has lower-middle levels of income inequality (second quartile) and is classified as a humanitarian context. Two harmful practices are prevalent in the country: child marriage and FGM.

Ethiopia has the third highest level of GBV expenditure in the region respectively. Similar to Niger and Nigeria, Ethiopia offers a context within ESA where two harmful practices occur — FGM and Child Marriage — and is a recipient of funding from the JP on Child Marriage and the JP on FGM. Through consultations with Gender and Human Rights Branch, Ethiopia was singled out as a country with high levels of investment by UNFPA.

**South Sudan** a country within the Eastern and Southern Africa region falls within the red quadrant – countries within the red quadrant have the highest need and lowest ability to finance. South Sudan has the second highest expenditure on GBV in the region. Designated as an L3 country by OCHA, South Sudan is experiencing a protracted and severe humanitarian crisis. The implementation of a continuum approach to GBV programming can be assessed. Notably, however, the majority of the activities take place outside of Juba, with potential challenges in accessing sites.

The Eastern and Central Asia region will not feature field case studies; instead the region will be covered solely by an extended desk review. Three countries – Turkey, Ukraine and Belarus – are proposed. Turkey has the highest expenditure in the region by a large margin, and offers the opportunity to evaluate UNFPA programming to the Syrian response. The continuum approach has been utilized in Turkey. Additionally, Turkey is part of the roll-out of the guidelines on essential services for women and girls subject to violence, allowing an evaluation of this relatively recent initiative. Ukraine and Belarus

<sup>&</sup>lt;sup>50</sup> The proposal for field case studies is final in order to 1) facilitate a bid that responds well to the needs of the evaluation (with the proposed budget included in the bid), 2) ensure adequate time to reach out to UNFPA country offices, 3) guarantee time for national consultants to prepare the country visits, and 4) accurately reflect potential security concerns.

have the second and third highest expenditure in the region respectively and ought to be considered, as well, though Bosnia and Herzegovina, with the fourth highest expenditure, provides only opportunity to assess humanitarian programming in the region.

Bangladesh or Nepal: Bangladesh has the third highest level of expenditure in the region, is a red quadrant country (highest need and lowest ability to finance) and is a humanitarian context. Child marriage is practiced in Bangladesh, and the country receives funding for the Joint Programme on Child Marriage. While Nepal has the lowest level of expenditure among the top five in the region, it offers a context in which to examine UNFPA programming during and post disaster (earthquake), where the government quickly took over, as well.

**Bolivia** has the second highest level of expenditure in the region and is an orange quadrant country with a humanitarian context.

The Arab States will not feature a field case study; instead the region will be covered by the extended desk review. Two countries are proposed: Jordan and Iraq. Iraq has the second highest expenditure in the region on GBV. Iraq falls within the yellow quadrant, with relatively high ability to finance and medium need. Falling within the second quartile on the Gini coefficient, Iraq registers lower-middle levels of inequality. Iraq is designated as an L3 country by OCHA, experiencing a severe humanitarian crisis. UNFPA utilizes a continuum approach in the country, allowing for GBV programming in both humanitarian (in Erbil, for example) and development (in Baghdad, for example) to be assessed. Iraq has an INFORM score of 6.9 and is ranked 10th out of 190 countries in terms of hazard, vulnerability, and low coping capacity, placing it in the 95<sup>th</sup> percentile worldwide and above the 90<sup>th</sup> percentile in the Western Asia region. Jordan falls within the pink quadrant, a quadrant comprised of countries that, on the whole, have low need and high ability to finance. The Jordan country office has the 4<sup>th</sup> highest level of expenditure on GBV and sits in the **second quartile** of inequality using the Gini coefficient, with lower middle levels seen. As the hub for the Whole of Syria response, Jordan offers a context in which to assess both the country office response and the UNFPA contribution to an acute humanitarian crisis within a broader coalition of organizations. Importantly, the response of UNFPA to cross border needs can be examined (as the Syria hub addresses this as well. Jordan also offers the opportunity to assess the continuum approach to GBV programming, with development, noting too that humanitarian settings/response occur both within and outside refugee camps (the majority of refugees are in urban areas).

# Regional Programme

The evaluation will feature **two** regional case studies. Selection of the regional case studies is based on the following criteria:

- UNFPA expenditure, inclusive of both core and non-core funds, in support of GBV work. As with country case studies, the regional programmes with relatively high expenditure will be selected.
- UNFPA expenditure on GBV work as a percentage of total regional office expenditure: Regional programmes with relatively high expenditure will be selected.
- **Humanitarian context:** the number of countries covered by the regional programme experiencing a humanitarian crisis will be counted, and regional programmes covering the highest percentage of humanitarian contexts will be selected.

The range of GBV programming was also considered. Through a cursory review of annual work plans of regional offices, the diversity of programming on GBV was assessed and those programmes with a wide range of work on GBV were favoured.

Variable / Country or Regional Office	Total GBV Expenditure 2012 - 2015 (\$)	Non-Core (earmarked) Funds 2012 - 2015 (\$)	Core (un-earmarked) Funds 2012 - 2015 (\$)	humanitarian crisis that fall within the region (# / %)	Office Expenditure 2012-2015	GBV expenditure (as % of total RO expenditure)
Arab States (ASRO)	6,150,390.21	\$882,620.10	\$5,267,770.11	8 out of 15 / 53.3%	\$25,923,876.93	24%
Asia & The Pacific (APRO)	12,157,915.25	\$3,772,672.29	\$8,385,246.25	9 out of 24 / 37.5%	\$49,720,748.12	24%
Eastern Europe & Central Asia (EECARO)	\$6,037,294.65	\$381,862.37	\$5,665,432.28	4 out of 17 / 23.53%	\$32,588,800.37	19%
East & Southern Africa (ESARO)	\$4,179,100.81	\$464,160.63	\$3,714,940.18	8 out of 22 / 36.36%	\$50,791,622.64	8%
Latin America & The Caribbean (LACRO)	8,803,218.90	\$2,993,984.02	\$5,809,234.88	4 out of 21 / 19.05 %	\$49,116,329.51	18%
West & Central Africa (WCARO)	4,730,257.77	\$643,681.66	\$4,086,576.11	10 out of 23 / 43.48%	\$24,426,264.94	19%

# Proposal for Regional Case Studies:

Asia and the Pacific (AP): Among regions, Asia Pacific features the highest level of expenditure in support of the prevention and eradication of GBV \$12,157,915.25. Additionally, the regional programme offers the opportunity to assess the regional role of UNFPA in contexts of humanitarian crisis: The region covers includes a significant number of countries experiencing a humanitarian context, including the top 5 countries by expenditure: Afghanistan, the Philippines, Bangladesh, India, and Nepal. The evaluation will have the opportunity to assess UNFPA regional work on harmful practices — including child marriage and sex selection and, to a lesser extent, FGM. As a proxy for robust programming, expenditure on GBV constitutes 24% of total regional programme expenditure for 2012-2015. Though a proxy with limitations, the high percentage suggests/is indicative of strong commitment to and robust programming on GBV prevention and eradication.

**Eastern Europe and Central Asia (EECA)**: The EECA regional programme provides the opportunity to assess UNFPA work on GBV in a region dominated by middle-income countries/contexts. Expenditure on GBV as a percentage of total expenditure is quite high at 19%, the second highest percentage across regional programmes. As the EECA region will not be covered in the country case studies, it is important to include the regional programme as a regional case study to ensure wide geographic coverage of UNFPA programming.

# **Annex 8: Indicators Matrix**

GBV Spend – Top 5 CO by region

Region  Arab States	Level of investment Expenditure (USD) Jan 2012 - September 2015		UN-EARMARKED (Core Funding)	Country Quadrant	Multi- Stakeholder JP Violence against Women (Y/N)	JP Essential Services for W&G subject to Violence (Y/N)	I JP r FGM (Y/N)	JP Child Marriage (launching in 2016)	FGM prevalent? (Only included countries with relatively high rates) Source: http://www.unicef .org/cbsc/files/U NICEF_FGM_rep ort_July_2013_Hi _res.pdf	Child Marriage Prevalent? (Only included countries with relatively high rates) Source: http://www.girls notbrides.org/w here-does-it- happen/	Sex Selection/Son Preference Prevalent? (Only included countries with relatively injoin rates) Source: https://www.pop. org/content/sex- selective- abortion	WB GNI classification (July 2014)	CPIA gender equality rating (1=low to 6=high), 2013	Index (HDI) (ranking), 2014	Gov. Effectiven ss 2012 Rank	INFORM Indicator (the higher/larger the value, the higher the risk); see: http://www.inform- index.org/Countries/C ountry-profiles/	Gini Coefficient (0=perfect equality; 100= perfect inequality) http://data.worldb ank.org/indicator/ SI.POV.GINI?order =wbapi_data_valu e_2012+wbapi_dat a_value&sort=asc	Gini (Year) (2008 onward)	Humanitarian Context (YINO) Determined based on list of 44 crisis affected COs	Possibility to evaluate the continuum approach?	CPEs conducted (rated good/very good) (Y/N)	CPEs (new cycle- add date)
Syrian Arab Rep	\$21,993,206.50	\$19,450,053.25	\$2,543,153.25		No	No	No	No	No	No	No	Lower middle	No data	118 (Medium HD)	10	6.7	No data	N/A	Voc	Voc	No (Conducted but rated	None
Iraq	\$20,558,892.34	\$18,703,232.21	\$1,855,660.13		No	No	No	No	ves	No	No	Upper middle	No data		10	6.9		2012	Voc	Voc	poor)	None
Sudan	\$12,660,956.07	\$10,646,350.14	\$2,014,605.93		No	No	Yes	No	Yes	No	No	Lower middle		166 (Low HD)	13	7.1	35.3	2009	Yes	165	Yes (2015, good)	None
	\$12,000,930.07	\$10,540,330.14	\$1,211,701.50			No		No		No	No				54	3.8		2009		Yes	No	
Jordan					Yes	NO	No		No			Upper middle	No data		54							None
Somalia	\$10,992,026.82	\$7,533,689.98	\$3,458,336.84		No	No	Yes	No	Yes	Yes	No	Low	No data	No data	0	8.9	No data	N/A		Yes	No	None
Yemen	\$8,738,038.79	\$4,433,235.02	\$4,304,803.77		Yes	No	Yes	Yes	Yes	No	No	Lower middle	2	160 (Low HD)	9	7.6			Yes		No	None
Lebanon	\$6,736,673.10	\$5,924,790.74	\$811,882.36		No	No	No	No	No	No	No	Upper middle		67 (High HD)	43	5.5		N/A			Yes (2010-2014, very good)	None
Palestine	\$3,807,260.74	\$1,575,031.42	\$2,232,229.32		No	No	No	No	No	No	No	Lower middle	No data	113 (Medium HD)	26	4.9			Yes		No (Conducted but rated poor)	
Egypt	\$3,678,191.23	\$1,834,233.93	\$1,843,957.30		No	No	Yes	No	Yes	No	No	Lower middle	No data	108 (Medium HD)	25	4.6	No data	N/A	No		No	2016
Oman	\$1,544,970.48	\$1,513,219.20	\$31,751.28		No	No	No	No	No	No	No	High	No data	52 (High HD)	61	3.5	No data	N/A	No		No	None
Asia & Pacific																						
Afghanistan	\$11,632,755.16	\$7,243,149.46	\$4,389,605.70		No	No	No	No	No	No	No	Low	1.5	169 (Low HD)	7	7.9	27.8	2008	Yes		No (Conducted but rated poor)	2018
Philippines	\$11,222,722.14	\$6,602,385.49	\$4,620,336.65		Yes	No	No	No	No	No	No	Lower middle	No data	117 (Medium HD)	58	5.5	43	2012	Yes		No	2016
Bangladesh	\$10,722,387.27	\$5,401,237.57	\$5,321,149.70		No	No	No	Yes	No	Yes	No	Low	3.5	142 (Medium HD)	22	5.8	32	2010	Yes		Conducted in 2015 - No rating available yet	None
India	\$8,205,927.19	\$0.00	\$8,205,927.19		No	No	No	Yes	No	Yes	Yes	Lower middle	3	135 (Medium HD)	47	5.6	33.9	2009	Yes		No (Conducted but rated poor)	None
Nepal	\$7,323,924.26	\$3,762,431.58	\$3,561,492.68		No	No	No	Yes	No	Yes	No	Low	4	145 (Low HD)	16.75	5.1	No data	N/A	Yes		No No	2016
Myanmar	\$6,619,702.74	\$2,768,467.52	\$3,851,235.22		No	No	No	No	No	No	No	Low	2.5	148 (Low HD)	4	6.7	No data		Yes		No	2016
Indonesia	\$6,282,390.98	\$1,021,868.23	\$5,260,522.75		No	No	No	No	No	Yes	No	Lower middle	No data	110 (Medium HD)	44	4.5	No data		Yes		No (Conducted but rated poor)	2019
Vietnam	\$4,672,867.72	\$1,059,263.46	\$3,613,604.26		No	No	No	No	No	No	Yes	Lower middle	3.5	116 (Medium HD)	44	3.6	38.7		No		Conducted in 2015 - No rating available yet	None
Pakistan	\$4,352,727.92	\$2,259,797.69	\$2,092,930.23		No	No	No	No	No	Yes	Yes	Lower middle	3.5	147 (Low HD)	23	6.6	No data		Yes		No	2016
China	\$2,691,059.16	\$148,056.65	\$2,543,002.51		No	No	No	No	No	No	Yes	Upper middle	No data	90 (High HD)	56	4.6	No data		No		No (Conducted but rated poor)	2019
East & South Afric	a																				,	
Uganda	\$17,255,014.10	\$14,196,760.08	\$3,058,254.02		No	No	Yes	Yes	Yes*	No	No	Low	3.5	164 (Low HD)	33	5.4	42.4	2012	Yes		No	2019
South Sudan	\$16,410,633.43	\$11,133,229.41	\$5,277,404.02		No	No	No	No	No	Yes	No	Lower middle	2.5	169 (Low HD)	3	8.7	No data		Yes	Yes	No	None
Ethiopia	\$13,808,999.64	\$10,448,259.01	\$3,360,740.63		No	No	Yes	Yes	Yes	Yes	No	Low	3	173 (Low HD)	40	6.5	No data	2010	Yes		No	None
Malawi	\$12,638,119.53	\$11,109,094.23	\$1,529,025.30		No	No	No	No	No	Yes	No	Low	3.5	174 (Low HD)	38	4.3	No data	2010	No		No	2019
Dem Rep Congo	\$12,048,073.81	\$7,498,553.47	\$4,549,520.34		No	No	No	No	No	Yes	No	Low	2.5	186 (Low HD)	1	7.2	42.1	2012	Yes		No (Conducted but rated poor)	2016

Region	Level of investment Expenditure (USD) Jan 2012 - September 2015	EARMARKED (Non-Core Funding)	UN-EARMARKED (Core Funding)	Country	Multi- Stakeholder JP Violence against Women (Y/N)	JP Essential Services for W&G subject to Violence (Y/N)	JP	JP Child Marriage (launching in 2016)	FGM prevalent? (Only included countries with relatively high rates) Source: http://www.unicef.org/cbsc/files/U NICEF_FGM_rep ort_July_2013_Hires.pdf		Sex Selection/Son Preference Prevalent? (Only included countries with relatively high rates) Source: https://www.pop. org/content/sex- selective- abortion No	WB GNI classification (July 2014)	CPIA gender equality rating (1=low to 6=high), 2013	Index (HDI) (ranking), 2014	Gov. Effectiven ss 2012 Rank	INFORM Indicator (the higher/larger the value, the higher the risk); see: http://www.inform- index.org/Countries/C ountry-profiles/	(0-perfect equality; 100= perfect inequality) http://data.worldb ank.org/indicator/ SI.POV.GINI?order =wbapi_data_valu e_2012+wbapi_dat a_value&sort=asc	Gini (Year) (2008 onward)	Humanitarian Context (YINO) Determined based on list of 44 crisis affected COs	Possibility to evaluate the continuum approach?	CPEs conducted (rated good/very good) (Y/N)	CPEs (new cycle- add date)
Tanzania	\$5,643,051.03	\$889,138.72	\$4,753,912.31		No	No	No	No	No	No	No	Low	2	151 (Low HD)	28			2011			N-	None
Kenya	\$4,954,519.25	\$2,673,342.29	\$2,281,176.96		No	No	Yes	No	Yes	No	No	Low	3.5	145 (Low HD)	35			2011	Yes		No (Conducted but rated	
Mozambique	\$4,320,093.68	\$1,698,227.39	\$2,621,866.29		No	Yes	No	Yes	No	Yes	No	Low		180 (Low HD)	30	5.8			No		poor)	None
South Africa	\$3,171,530.32	\$1,638,072.80	\$1,533,457.52		No	No.	No	No	No	No	No	Upper middle		116 (Medium HD)	64	3.8		2011			Yes (2007 - 2012, good)	
Western & Central		\$1,030,072.00	\$1,000,407.02		NO	INO	INO	NU	NO	NO	INO	Opper middle	NO uata	TTO (Wedium HD)	04	5.0	03.4	2011	140		1es (2007 - 2012, good)	None
Western & Central																						
Nigeria	\$10,047,025.98	\$5,535,421.06	\$4,511,604.92		No	No	Yes	No	Yes	Yes	No	Lower middle	3	152 (Low HD)	16	6.3	43	2009	Yes		Yes (2012, Good) (Note: convering the period 2009-2012)	2017
Sierra Leone	\$9,404,850.82	\$8,646,967.38	\$757,883.44		No	No	No	Yes	Yes	Yes	No	Low	3	183 (Low HD)	11	4.3	34	2011	Yes		No (Conducted but rated poor)	2019
Niger	\$8,498,391.13	\$5,037,290.30	\$3,461,100.83		No	No	No	Yes	Yes*	Yes	No	Low	2.5	187 (Low HD)	28	7.4	31.5	2011	Yes		No (Conducted but rated poor)	2017
Cote D'Ivoire	\$5,092,540.15	\$2,171,362.93	\$2,921,177.22		No	No	No	No	Yes	No	No	Lower middle	3	171 (Low HD)	14	4.5	43.2	2008	Yes		No (Conducted but rated poor)	None
Guinea	\$5,074,168.06	\$3,624,814.61	\$1,449,353.45		No	No	Yes	No	Yes	Yes	No	Low	3	182 (Low HD)	9.09	5	33.7	2012	Yes		No	2016
Liberia	\$4,967,742.71	\$3,284,186.45	\$1,683,556.26		No	No	No	No	Yes	No	No	Low	2.5	177 (Low HD)	12	3.8	No data	N/A	Yes		No	2016
Central African Republic	\$4,661,363.68	\$2,866,314.83	\$1,795,048.85		No	No	No	No	Yes	Yes	No	Low		187 (Low HD)	6	8.3			Yes		No	2016
Mali	\$3,464,807.98	\$1,043,240.77	\$2,421,567.21		No	No	Yes	No	Yes	Yes	No	Low		179 (Low HD)	16	6.2			Yes		No	2018
Burkina Faso	\$3,388,019.74	\$1,859,372.35	\$1,528,647.39		Yes	No	Yes	Yes	Yes	Yes	No	Low		183 (Low HD)	30	4.8			Yes		Yes (2011 - 2015, good)	
Chad	\$3,056,988.22	\$1,556,416.14	\$1,500,572.08		No	No	No	No	Yes	Yes	No	Low	2.5	185 (Low HD)	5	7.7	43.3	2011	Yes		Conducted in 2015 - No rating available yet	None
Latin America & C	aribbean																					
Guatemala	\$7,888,443.88	\$5,602,202.78	\$2,286,241.10		No	Yes - Pilot Country	No	No	No	No	No	Lower middle	No data	125 (Medium HD)	26	5.3	52.4	2011	Yes		No	2018
Bolivia	\$3,598,557.11	\$2,454,429.53	\$1,144,127.58		No	No	No	No	No	No	No	Lower middle	4	112 (Medium HD)	43	3.2	46.3	2011	Yes		No	2016
Colombia	\$2,877,265.68	\$1,626,798.98	\$1,250,466.70		No	No	No	No	No	No	No	Upper middle	No data	98 (High HD)	57	5.6	53.5	2013	Yes		Yes (2013, Good)	2018
El Salvador	\$2,794,307.44	\$1,745,531.52	\$1,048,775.92		No	No	No	No	No	No	No	Lower middle	No data	115 (Medium HD)	49	4.7	43.5	2013	Yes		Yes (2014, Good)	2018
Nicaragua	\$2,717,697.31	\$1,587,552.91	\$1,130,144.40		No	No	No	No	No	Yes	No	Lower middle	4	132 (Medium HD)	21	4.1	45.7	2009	No		No (Conducted but rated unsatisfactory)	2016
Haiti	\$2,420,478.17	\$1,507,910.80	\$912,567.37		No	No	No	No	No	No	No	Low	2.5	163 (Low HD)	2	6.1	60.8	2012	Yes		No	None
Honduras	\$2,392,740.16	\$743,310.86	\$1,649,429.30		No	No	No	No	No	No	No	Lower middle	2.5	131 (Medium HD)	27	4.7	53.7	2013	No		Conducted in 2015 - No rating available yet	None
Peru	\$1,779,761.30	\$244,946.04	\$1,534,815.26		No	Yes	No	No	No	No	No	Upper middle	No data	84 (High HD)	49	4.4		2013			Conducted in 2015 - No rating available yet	
Uruguay	\$1,094,077.98	\$325,391.26	\$768,686.72		No	No	No	No	No	No	No	High	No data	52 (High HD)	70	1.7	41.9	2013	No		Yes (2011 - 2015, good)	None
Panama	\$1,025,455.04	\$561,081.56	\$464,373.48		No	No	No	No	No	No	No	Upper middle	No data	60 (High HD)	63	3.7	51.7	2013	No		No (Conducted but rated poor)	None
Eastern Europe &																						
Turkey	\$7,265,390.91	\$5,360,861.04	\$1,904,529.87		No	No	No	No	No	No	No	Upper middle	No data	69 (High HD)	65	5.1	40.2	2012	Yes	Yes	Yes (2014, very good	None

Region	Level of investment Expenditure (USD) Jan 2012 - September 2015	EARMARKED (Non-Core Funding)	UN-EARMARKED (Core Funding)	Quadrant	Multi- Stakeholder JP Violence against Women (Y/N)	JP Essential Services for W&G subject to Violence (Y/N)	JP FGM (Y/N)	JP Child Marriage (launching in 2016)	FGM prevalent? (Only included countries with relatively high rates) Source: http://www.unicef .org/cbsc/files/U NICEF_FGM_rep ort_July_2013_Hi _res.pdf		Sex Selection/Son Preference Prevalent? (Only included countries with relatively high rates) Source: https://www.pop. org/content/sex- selective- abortion	WB GNI classification (July 2014)	CPIA gender equality rating (1=low to 6=high), 2013	Index (HDI) (ranking), 2014	Gov. Effectiven ss 2012 Rank	INFORM Indicator (the higher/larger the value, the higher the risk); see: http://www.inform- index.org/Countries/C ountry-profiles/	Gini Coefficient (0=perfect equality; 100= perfect inequality) http://data.worldb ank.org/indicator/ SI.POV.GINI?order =wbapi_data_valu e_2012+wbapi_dat a_value&sort=asc		Humanitarian Context (Y/NO) Determined based on list of 44 crisis affected COs	Possibility to evaluate the continuum approach?	CPEs conducted (rated good/very good) (Y/N)	CPEs (new cycle- add date)
Ukraine	\$2,056,130.05	\$1,113,321.76	\$942,808.29		No	No	No	No	No	No	No	Lower middle	No data	83 (High HD)	32	5.3	24.6	2013	No		No	None
Belarus	\$1,689,647.36	\$1,553,455.46	\$136,191.90		No	No	No	No	No	No	No	Upper middle	No data	53 (High HD)	18	3 1.8	26	2012	No		No	None
Bosnia & Herzegovina	\$1,591,852.21	\$1,074,874.85	\$516,977.36		No	No	No	No	No	No	Yes	Upper middle	4	86 (High HD)	39	4	No Data	N/A	Yes		Yes (2013, Good)	2018
Kyrgyzstan	\$1,295,098.08	\$1,034,644.80	\$260,453.28		Yes	No	No	No	No	No	No	Lower middle	4.5	163 (Low HD)	29	3.3	27.4	2012	Yes		No	2016
Uzbekistan	\$1,068,699.01	\$312,460.37	\$756,238.64		No	No	No	No	No	No	No	Lower middle	4	114 (Medium HD)	17	3.3	No Data	N/A	No		Yes (2014, Good)	None
Georgia	\$910,479.32	\$872,733.10	\$37,746.22		No	No	No	No	No	No	Yes	Lower middle	4.5	76 (High HD)	70	3.9	40	2013	No		No	None
Azerbaijan	\$762,229.02	\$0.00	\$762,229.02		No	No	No	No	No	No	Yes	Upper middle	No data	78 (High HD)	24	3.7	No data	N/A	No		Yes (2011 - 2015, good)	None
Albania	\$659,742.73	\$396,077.54	\$263,665.19		No	No	No	No	No	No	Yes	Upper middle	No data	85 (High HD)	45	5 2.9	29	2012	No		Yes (2012 - 2016, good)	None
Tajikistan	\$455,446.57	\$42,306.93	\$413,139.64		No	No	No	No	No	No	No	Low	4	129 (Medium HD)	18	3.9	No data	N/A	Yes		Yes (2010 - 2015, good)	None

Designed as L3 2 or more harmf practices severe, large-scale humanitarian crisis) by OCHA	II *Included in list of countries engaging in harmful practice, but rate is quite low.
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# Spend by regional programme

	2012	2013	2014	2015	Total
Arab Region	\$452,658.86	\$524,711.47	\$2,526,770.20	\$2,646,249.68	\$6,150,390.21
Arab States Reg. Office/Cairo	\$452,658.86	\$524,711.47	\$2,526,770.20	\$2,646,249.68	\$6,150,390.21
Asia & Pacific Region	\$2,316,982.60	\$2,257,521.79	\$3,525,218.75	\$4,058,192.11	\$12,157,915.25
Regional Office/Bangkok	\$1,158,451.99	\$687,518.12	\$1,222,284.74	\$2,557,044.25	\$5,625,299.10
Sub-Regional Office/Kathmandu		(\$3.29)			(\$3.29)
Sub-Regional Office/Suva	\$1,158,530.61	\$1,570,006.96	\$2,302,934.01	\$1,501,147.86	\$6,532,619.44
East & South Africa Region	\$1,121,872.18	\$533,484.97	\$1,387,918.92	\$1,135,824.74	\$4,179,100.81
Regional Office/E&SA Region	\$719,553.10	\$529,890.28	\$1,387,918.92	\$1,135,824.74	\$3,773,187.04
Sub-Regional Office/Jo'Burg	\$402,319.08	\$3,594.69			\$405,913.77
EECA Region	\$578,834.38	\$603,424.56	\$2,218,296.69	\$2,636,739.02	\$6,037,294.65
EECA Reg. Office/Istanbul	\$578,834.38	\$603,424.56	\$2,218,296.69	\$2,636,739.02	\$6,037,294.65
Latin America & Caribbean	\$2,211,833.67	\$1,387,715.88	\$2,456,009.07	\$2,747,660.28	\$8,803,218.90
Eng Speak Caribb Countrys B	\$453,222.54	(\$23.61)			\$453,198.93
Regional Office/Panama City	\$1,752,849.17	\$995,471.38	\$2,232,754.48	\$2,114,412.19	\$7,095,487.22
Sub-Regional Office/Kingston	\$5,761.96	\$392,268.11	\$223,254.59	\$633,248.09	\$1,254,532.75
Western and Central Africa	\$131,511.78	\$367,664.83	\$2,272,194.74	\$1,958,886.42	\$4,730,257.77
Regional Office/W&CA Region	\$131,511.78	\$367,664.83	\$2,272,194.74	\$1,958,886.42	\$4,730,257.77
<b>Grand Total</b>	\$6,813,693.47	\$5,674,523.50	\$14,386,408.37	\$15,183,552.25	\$42,058,177.59

# **Annex 2 – Minutes of Evaluation Reference Group meetings**

Evaluation of UNFPA support to the prevention, response to and elimination of GBV and Harmful practices (2012-2017)

First Meeting of the Evaluation Reference Group (ERG)

# January 25 2017

Present:

Alexandra Chambel, UNFPA, Evaluation Office, Evaluation Adviser, chair of the ERG

Natalie Raaber, UNFPA, Evaluation Office, Evaluation Analyst

Rosalie Fransen, UNFPA, Evaluation Office, Research Assistant (minutes taker)

Aynabat Annamuhamedova, UNFPA, Programme Division, Programme Specialist

Fabrizia Falcione, UNFPA, HFCB, Gender-Based Violence Capacity Development Specialist

Upala Devi, UNFPA, Gender, Human Rights and Culture Branch, Gender-Based Violence Advisor

Olugbema Adelakin, UNFPA, APRO, Regional Monitoring and Evaluation Advisor

Sujata Tuladhar, UNFPA, APRO, Gender-Based Violence Specialist

Seynabou Tall, UNFPA, ESARO, Advisor and Key Focal Point on Gender-Based Violence

Satvika Chalasani, UNFPA, Technical Division, Sexual and Reproductive Health Branch, Technical Specialist

Jovanna Yiouselli, UNFPA, Evaluation Office, Intern

External team:

Joseph Barnes, Impact Ready, Evaluation Team Leader

Corinne Whitaker, ITAD, Senior Expert on Gender and Gender-Based Violence

## I. Opening/Introduction

The meeting opened with a welcome from **Alexandra Chambel**. Alexandra shared information on the role of the Evaluation Reference Group: provide technical input and guidance throughout the evaluation process; commenting on the evaluation deliverables as well as advising the team identifying key stakeholders, documentation and data sources including information about the programmes and strategies of UNFPA in addressing gender-based violence and harmful practices.

Team has already produced a draft Inception Report which has been shared with the ERG for comments.

Today's meeting serves to present the inception report, evaluation questions, rationale behind case study selection, timeline and next steps.

The meeting continued with a brief round of introductions, with each Reference Group member sharing their expectation of the evaluation exercise:

 Adelakin: In the Arab region, we would like to see what the approach to GBV/HP in a humanitarian context has been and how we can use evidence from the evaluation to move forward.

- **Sujata:** What are the areas on which we should focus our energy and resources? How can we strengthen the multi-sectoral approach, and strengthen the prevention angle of GBV?
- **Fabrizia**: The coordination aspect of GBV UNFPA has the sole leadership and responsibility of GBV at the global level. Hope the evaluation can surface 1) what our role has been thus far and 2) how we've contributed globally, including any areas for further improvement. What is the intersection with sexual and reproductive health, and are there good practices that can be replicated?
- Upala: As the fund is progressing towards a scenario where there are limited resources, and
  a political bind with new government in the US, what are our core competencies and how do
  we move between humanitarian, emergency, and development settings? Need to receive
  some inputs and guidance from you as to how you see us working and progressing in this core
  area of work.
- Aynabat: Echoing Upala and Fabrizia's comments. One addition: Importance of looking at the
  monitoring component of GBV/HP and how these issues can be monitored and linked to the
  Strategic Plan.
- **Satvika:** Child Marriage programme was evaluated through the evaluation on A&Y and it will undergo a direct evaluation as well likely because child marriage fits under so many different portfolios. Importance of the lens through which the evaluation views child marriage hope it can be a broader lens: child marriage not just as a GBV programme, but as a multi-dimensional issue. Child marriage is a more nascent programme, need to be flexible in evaluation criteria and hold it to different standards. Programme only in effect in select countries and affected by donor interest and various conditions. Not really present in humanitarian settings.

**Alexandra**: clarified child marriage is included in the thematic scope of this evaluation has one of the 3 harmful practices and that the joint programme on child marriage is one source of evidence but certainly not the only one; as the scope covers all work UNFPA work on child marriage as well as FGM and son preference.

#### II. Presentation of Slides

A PowerPoint presentation covering the purpose and objective of the evaluation, the scope, financial information and modes of engagement, the methodological approach used, (Theory of Change, intervening/external factors), data collection methods, sampling criteria, and the proposed calendar was shared.

Key points on methodological approach:

- Development of a reconstructed, comprehensive, global theory of change for further use and development by UNFPA, to test and better understand assumptions.
- Analysis will look at outcome level: not an impact evaluation, which requires a different design.
- **Broad scope**: looking at UNFPA's contribution in the broader context, and within partnerships and coordination mechanisms (one actor among many)
- **Systems approach**: which combinations of interventions and responses (in particular contexts, at particular times) are associated with moving a complex system in a more positive direction, and which are associated with regressing it? This approach differs from conducting a project evaluation, which uses a more linear approach.

- Evaluation questions: What has UNFPA contributed to? Under what conditions was the contribution the greatest? To what extent do those conditions exist? What does it take to replicate those conditions?
- **Evaluation criteria:** Relevance, Organizational Efficiency, Effectiveness, Sustainability, looking at coverage and coherence in humanitarian contexts.

#### III. Discussion

Comments were made throughout the presentation – see below for discussion points.

#### Framing/language concerns

 Distinction between natural disasters, humanitarian, and national disaster response: suggestion to use a different terminology for "natural disaster" vs. "conflict-related" – sometimes you do not have a national disaster response because you may not have a national government capable of responding to the crisis (i.e. in Palestine).

Also: the broad goal of UNFPA in the theory of change should not be empowered women and girls – gives the perception that if you are a survivor of GBV you are not empowered.

 Response: In agreement with language issue, need to change empowered women and girls to "gender equality", need to find a better wording than "disaster response" to increase relevance to UNFPA's work. Alexandra and Corinne also agree.

# Approaching different country contexts

- You may receive micro-level data from countries like India, but may be more difficult to obtain it from/access it in other countries.
- Have to be mindful of UNFPA's business model in our approach. In Pink countries UNFPA is
  not actually supporting service delivery but does more policy and advocacy work need to
  take this into account in the evaluation.
- On regional/cross-border work, would be interesting to look at how much UNFPA is actually taking into consideration the changing context that necessitates greater cross-border work (i.e. from Middle East/Africa to Europe). Response: cross-border work is an important point to understand social norms and social norms change the evaluation will look at this issue too Action: include it as an assumption in the evaluation matrix.
  - How is UNFPA addressing the migration wave? What do we need to do, what do we need to reinforce, including in cases where it is not possible to work with the government?
- Urging not to make the evaluation a de facto competition between countries/COs and their performance, there are many ground realities that cause countries to deliver greater (or fewer) results.
  - Response: Need to not compare country-by-country since conditions are different, yet clear expectation of this exercise to provide guidance and lessons regarding which factors jointly facilitate and hinder programming.
- What if replicating is not the right answer, but instead UNFPA needs to adapt to completely new conditions/challenges? I.e. in Europe, where UNFPA is more familiar with working in vulnerable contexts, how can UNFPA adapt to countries with more stable conditions (working on issues of migration/refugees in for example Germany, Italy, Greece).
  - Danger of replicating what we think we know is working, in a context that is completely different than what we are used to.

- **Response:** Can we look at where entities have moved into a new space, and can we learn from that transition?
- **Response:** the capacity of UNFPA to adapt and respond to a changing context. Action: consider including it as an assumption in the evaluation matrix

#### Evaluation criteria

UNFPA at both global/field level has an important role in GBV information management.
 Suggestion to add (under Effectiveness criteria) "information management" in addition to knowledge management.

## Global vs. country/regional level analysis

- Need to look at the missing link between the global level and the field: see this as two different
  parts that need a distinct approach. How can our work here, at HQ, be better informed by the
  field, and how can we better inform the field? Need to consider HQ (Geneva and NYC) as a
  specific group within data collection efforts. The evaluation needs to look at the work we are
  doing at the global level information-sharing, guidance, support, policy development, etc.
- Agree with above comment, since what happens at the country/regional level is, in part, largely influenced by what happens at the global level. The Joint Programmes UNFPA has reflect work at HQ – not in the field or in the regions. Not all the budget goes to the field and much of the work is undertaken from HQ. This needs to be better reflected in the evaluation.
- Response: Point of clarification the evaluation beyond conducting country and regional cases studies; covers all levels including the work conducted at global level (e.g joint programmes and initiatives managed at global level; coordination, policy work and advocacy, etc). Importance of mapping out key stakeholders, both at global, regional and country level. Keep in mind we also have two regional case studies. Natalie's presentation of the sample will touch also on this issue.
- Response: Can organize an expanded reference group meeting, or a focus group discussion
  inviting colleagues and other key partners at the global level. Need to identify key people who
  should be invited and will need your help on that.

# Sampling and criteria for case study selection

- Does Turkey mean the country office, or the response to the Syria crisis (Response: both).
   Would be good to consider the cross-border work conducted in Jordan and Turkey.
  - Need to find a plan B for CAR, travel access might be excessively difficult, security conditions may not allow. CAR CO is also facing challenges within CO itself which go beyond the programme, they are not in a good position to receive an evaluation that would be useful for them.
    - Response: Suggestion to keep CAR as an extended desk study and have a country in the Arab region (i.e. Jordan which is one of the countries already selected for extended desk study) as a field visit. As a result we would maintain the set of countries sampled.
- Is the expenditure ranking using nominal values or a percentage of country programme?
   Response: nominal. Perhaps important to include countries where there is a high prevalence of HP/GBV and low expenditure country, to see why they are not doing something about it.
  - **Response:** Yes, that is a good point. India country office falls within the middle of top 10 (not the highest in thee region), as do several of the extended desk studies.

# IV. Next steps

- See **timeline** in inception report for detailed overview
- Reference Group members to send comments within the next week (by Friday, Feb 3) on the
   Inception report
- Reference Group members to share with EO all relevant documentation with evaluation team (to be uploaded the Google Drive) important to ensure documentation from global/HQ level is fully included such as: AWPs (2012-2017); minutes/ reports any other reporting in humanitarian and at coordination level, monitoring reports; other reports and studies, etc.
- Reference Group members to be interviewed by the evaluation team as part of data/information collection.
- Selection of new case study: agreed that, due to security concerns in CAR, CAR is replaced with a country in the Arab region (i.e. Jordan) pending confirmation with the regional office (and CAR will be an extended desk review).

Meeting closed	
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# Evaluation of UNFPA support to the prevention, response to and elimination of GBV and Harmful practices (2012-2017)

#### Second Meeting of the Evaluation Reference Group (ERG)

#### December 7, 2017

Present: Alexandra Chambel, chairperson of the ERG, Evaluation Office

Natalie Raaber, Evaluation Office (minutes taker)

Aynabat Annamuhamedova, Programme Division

Branka Djurkovic, Programme Division

Noemi Espinoza, Multilateral Affairs Branch

Fabrizia Falcione, Humanitarian and Fragile Context Branch

Erin Kenny, Humanitarian and Fragile Context Branch

Isatu Sesay-Bayoh, Gender Human rights & Culture Branch

Sana Asi, Palestine CO

Francis Boogere, ESARO

Satvika Chalasani, Sexual & Reproductive Health Branch

Ingrid Fitzgerald, APRO

Sujata Tuladhar, APRO

Priya Marwah, APRO

Doreen Komuhangi, Uganda CO

Roselidah Ondeko, Uganda CO

Upala Devi, Luis Moura, Ugochi Daniels, Nigina Abaszade

**External Evaluation Team** 

Joseph Barnes, Team leader

Katie Tong, Humanitarian expert

Corinne Whitaker, GBV expert

#### I. Opening/Introduction and PowerPoint Presented

The meeting opened with a welcome from Alexandra Chambel, Evaluation Manager, and then continued with a brief round of introductions.

The agenda for the meeting was discussed and Alexandra shared that this Evaluation Reference Group (ERG) meeting – the second ERG meeting – would focus on preliminary findings and emerging issues. Toward this end, the evaluation team presented a Presi highlighting emerging findings - see link: <a href="http://prezi.com/sbj2s2vu-7zq/?utm-campaign=share&utm-medium=copy&rc=ex0share">http://prezi.com/sbj2s2vu-7zq/?utm-campaign=share&utm-medium=copy&rc=ex0share</a>

See below for the discussion's key points (organized thematically).

## II. Key Themes: Points/Discussion

Definition of GBV and Implications on who UNFPA works with / responds to

- Would be helpful to clarify (in the report) the definition of GBV and VAWG, how UNFPA frames it formally, in documents, as well as how it is understood in practice; both have implications for how UNFPA works programmatically and with partners.
- UNFPA's work on/engagement with men and boys is linked to this (definitional issue), as well as is the question around the groups with whom we *ought* to be working (NB: see below section humanitarian for additional information); how are we working with men/boys as agents of change (primarily), but there is a different dynamic which requires a different skill set to work with them as survivors of GBV.
  - Additionally, should we be working on SGBV faced by men? Is this within our mandate? And if so, do we have technical capacity to do so, and if not, and there is a need (which there is), how to ensure that we have the human resources to sustainability respond (without doing harm).
- This discussion speaks to what we have seen in the field, as well; I think it is important to be
  very clear on the different meanings; in the field, for example, there is a different definition
  and connotation attached to GBV, SGVB, sexual violence, VAW, the minutiae matter.
- Response: Noted that a lack of a clear definition might actually open up potential
  opportunities (as well as pose challenges); perhaps the "ambiguity" allows UNFPA at country
  level, for example, to advance work, perhaps quietly, with a range of marginalized
  groups/rights holders (in other words, there might be a "bureaucratic opening" that might
  not be quite as palatable/possible at the political / intergovernmental to engage broadly).
- Response: Clarify definitions around GBV: is there a problem that there is ambiguity or this
  an opportunity, allowing colleagues situated in different contexts to respond flexibly,
  recognizing varied contextual realities? Further reflection is needed on whether a lack of a
  clear definition on GBV is necessarily a problem for UNFPA
- Regarding prevention of GBV, were there any strategies highlighted in the report? This is particularly important when reflecting on the root causes, are we using the right strategies?

#### GBV IMS vs. information management systems for GBV

- Point on terminology/: GBV IMS is both a project that UNFPA has funded (in, for example, Palestine) and a category/area of work generally; please ensure that, in the report, you are clear on the separation; we also see this "terminology confusion" with the Minimum Standards for GBVIE (which refers to a specific guideline/guidance) vs. "lower case" international minimum standards.
  - Colleagues echoed his point and agreed.

#### **Intergovernmental processes**

- From a normative perspective, there are a lot of issues with language (and specifically around GBV); in the 3<sup>rd</sup> committee, even nomenclature around child/early marriage has become problematic; intersecting identities is another one when we speak about this, some member states push back/ask what we mean (and what they are pointing to is gender and sexual orientation/LGBTI community this is where the resistance is)
- Glad to see that the work on disability is moving forward; this is an important topic
- Reaffirm the importance of the emerging finding on work that UNFPA is doing at country/regional level, not being wholly reflected at global/intergovernmental level; we are, for example, working with OCHA in the inter-governmental space on humanitarian issues

- and UNFPA's work is not recognized as much as we should be (perhaps because we are often working "quietly")
- As the evaluation report is going to the Board, then we certainly need to be conscious of language; this battle (around gender, GBV, etc.) needs to be fought at a different level.

### **Harmful Practices and differentiation from GBV**

- Important to tease out the differences between the work being done on GBV and that on harmful practices; in the Asia Pacific region, for example, we'll see work on GBV in every country but the work on harmful practices is concentrated in specific countries.
   Additionally, the work on harmful practices constellates around joint (global) programmes and, as a result, is very visible and readily "package-able", but, in our region, much of what we do is outside of these; ensure that the evaluation reflects this.
- The work on harmful practices (e.g. joint programme on FGM) do have a focus on behavioural change / shifting social norms which is work that supports *elimination of GBV and HP*; when reflecting on the balance of support to the three areas of work (prevention, response and elimination), it would be helpful to separate GBV from harmful practices (to ensure that the work on social norms/behavioural change in the latter is not obscured).

### **Humanitarian Dimension (GBV AoR, GBV Sub-Clusters)**

- UNFPA has operational legitimacy (this is where we differ from UN Women); we are able to
  respond and have the expertise precisely because we are on the ground / we have presence
  in countries/over time and this is at the core of our legitimacy in doing this work.
- Concur on issues of operational legitimacy this is an added value; we should use this as an
  argument for positioning UNFPA generally (not just within humanitarian contexts): our
  presence and technical expertise is what gives us legitimacy and is valued by partners; UNFPA
  is seen as a long term and committed player because of this way of working.
- Response: Importance of UNFPA not "doing everything everywhere"
  - The Minimum Standards for Prevention and Response to Gender-Based Violence in Emergencies responds to this – looks at where UNFPA should come in operationally vs where, on the other hand, UNFPA should provide coordination, referrals etc.
  - Would be important to reflect on why UNFPA is asked to do "everything everywhere"

     does the evaluation explore the contributing factors/what underpins this? Does it have to do with our way of working/approach? For example, we are seen as particularly collaborative/participatory/flexible with partners, promoting national leadership / ownership and capacities? Is it our expertise/capacity?
- On dedicated GBV sub-cluster coordinators this would be ideal, but in the field, struggling with time/human resources etc. and wondered if perhaps there could be flexibility here? Perhaps we can think more about the level of commitment/passion of the person there (perhaps this is more important than having a dedicated individual)? And we could also think about investing in local capacities and co-chairing. In Somalia, the sub-cluster is co-chaired with a local NGO and it is working well, and at the same time providing a space to strengthen local capacity, mentorship etc.

# **Humanitarian Development Continuum**

Would be helpful to have recommendations come out of the report on this nexus (i.e. the
humanitarian development continuum); this work/working in this way is being done in the
field – which is significantly ahead of HQ; at HQ we've had quite a difficult time articulating
what this actually is and how it's implemented operationally, but it has been/is clearly
happening (as we have seen in Palestine); it'd be helpful if the evaluation could draw out
specific operational examples of this.

### Coordination (with other UN agencies/UN Women specifically)

- Relationship with UN Women we are sisters organizations and we need to work together on GBV and harmful practices – we need everyone in this game but we have to be sure we get it right:
  - When it comes to coordination, we have been heavily criticised; do we coordinate for the purpose of coordinating, or is it to advance joint outcomes? Response in the field is key, but we are also supporting services and partners rely on UNFPA; we are quite transparent – this is strength of UNFPA but how do we manage expectations of partners?
  - Previously, I believe there was joint programme on access to justice with UNIFEM –
    and then when UN Women came into being, we were asked to pass this on to UN
    Women fully, but UN women did not have the capacity/on the ground capacity to
    carry it out, difficult to get results and then UNFPA is blamed. Response: the same
    happened in Guatemala.
  - Seeing that engagement between the two executive directors (UN Women and UNFPA); perhaps the Spotlight Initiative will be a good opportunity to [reignite, strengthen the relationship/coordination between us and UN Women]
- On the humanitarian side, I don't see this kind of tension between UN Women and UNFPA at
  HQ –perhaps at global level our role(s) are better defined. But we do see it's different at
  country level [NB: tensions between UN Women and UNFPA at global level are there on the
  development side); this is a shame/there is room for everyone there is so much to do in
  country and it may be important to review this MoU/division as perhaps the roles may have
  changed.
- At UNFPA, the discussion around gender mainstreaming often focuses on mainstreaming GBV; helpful if the evaluation further reflected on this how do we understand gender mainstreaming at UNFPA and our role /internal capacity to do so. I think a lack of clarity on this leads/is linked to confusion in the division of labor between agencies at different levels; this is also linked to technical capacity (or a lack thereof) within UNFPA many colleagues are gender specialists without being GBV specialists (barring those sent through SURGE); with the creation of UN Women, UNFPA gender specialists role in the field has been watered down, which raises questions on where the gender mainstreaming competencies and capacities are at field level?
- On the point of UNFPA able to generate political will for policy but not budget perhaps UNPFA is not as strong at gender responsive budgeting, and this could be an area for cooperation with UN Women?

### <u>Capacity/Human Resources + Funding/Sustainability</u>

- Internal capacity on GBV is an issue across the board (in both GBViE and development contexts); it's the first thing to be cut and is funded by non-core funds; we need to underscore the challenges with internal capacity in the report.
- Short project based funding may cause more harm than good need long term funding for this type of work. For example, we received funding in the field to address a rape case; she was placed in a short term project with economic support but then funding ended and she became even more depressed / worse than she was previously; this is harmful we need long term funding for this work. We see it with services as well: once donors pull out, providing services (even if channelled through local CSOs) becomes a significant sustainability challenge.
- We are often asked to respond to the needs of particular populations (example of men and boys in refugee camp in Jordan needing psychosocial support due to sexual violence/torture); if UNFPA was not there, this would not have been provided. Is this all GBV? Maybe not. But is there a need, yes. I had a similar feeling in Greece where I was not in favour of working with men and boys for one reason: I worried we did not have the technical expertise. Principle of doing no harm, do we have the technical expertise to address this? Need to be flexible, but at the same time need flexibility requires the ability to build the capacity of our colleagues in the various areas of work we are asked to do.
  - Echoing this, we have to ensure that UNFPA's core mandate on women and girls is well-funded and sustainable (not simply one-off programming); then we can recognize potential responsibility to fill a gap in the field/reflect on what that means for UNFPA.
- Mentioned challenges around annual work plans and wanted to inform that PD is in final stages of developing output document template which will eventually become part of ToC guidance to help long-term planning and hopefully help securing funding

## III. Next steps: Integration of Comments in Report

- **Response:** The evaluation team will ensure that the suggestions raised in the ERG are reflected in the draft report (as appropriate). The following will be addressed:
  - Internal capacity and operational legitimacy: Levels of staffing/HR working on GBV generally and GBViE in particular and operational legitimacy; issue of dedicated subcluster coordinator
  - Reference to SDGs/global development framework and their impact on UNFPA's work at country level
  - Differentiate between GBV and harmful practices vis a vis response, prevention and elimination balance, with work on social norms/behavior change (at the heart of elimination) happening in HP
  - Harmful practices work concentrated in certain regions to specific countries, where GBV programming found in all countries
  - Global and regional programmes more visible than other GBV work done (and perhaps reflected more in HQ intergovernmental processes)
  - GBV IMS (referring to specific project) vs. generally GBV IMS

Meeting closed
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# Evaluation of UNFPA support to the prevention, response to and elimination of GBV and Harmful practices (2012-2017)

#### 3<sup>rd</sup> Meeting of the Evaluation Reference Group (ERG)

# April 11, 2018

In attendance : Alexandra Chambel, Chair of the ERG, Evaluation Manager, Evaluation Office

Marco Segone, Director, Evaluation Office

Natalie Raaber, Evaluation research associate, Evaluation Office (minutes taker)

Karen Cadondon, Evaluation research associate, Evaluation Office

Luis Mora, Chief, Gender, Human Rights and Culture Branch

Upala Devi, GBV Advisor, Gender, Human Rights and Culture Branch

Akiko Sakaue, Programme Analyst, Gender, Human Rights and Culture Branch

Satvika Chalasani, Technical Specialist, Sexual & Reproductive Health Branch

Fabrizia Falcione, GBV Capacity Development Specialist, Humanitarian and Fragile Contexts Branch

Sara Tognetti, Programme Analyst, Humanitarian and Fragile Contexts Branch

Emily Krasnor, GBV Specialist, Humanitarian and Fragile Contexts Branch

Karly Bennett, GBViE Consultant, Humanitarian and Fragile Contexts Branch

Noemi Espinoza, Multilateral Affairs Advisor, Division for Governance and Multilateral Affairs

Nigina Abaszade, GBV Advisor, UNFPA Eastern Europe and Central Asia Regional Office

Enshrah Ahmed, Gender Advisor, UNFPA Arab States Regional Office

Neus Bernabeu, GBV Advisor, UNFPA Latin America and the Caribbean Regional Office

Branka Djurkovic, Research Associate, Strategic Information and Knowledge Management Branch

Ingrid Fitzgerald, Gender and Human Rights Adviser, Asia and Pacific Regional Office

Stefanie Kathaier

Sulaf Mustafa, Gender Specialist, Afghanistan Country Office

Adelakin Olugbemiga, M&E Regional Advisor, Arab States Regional Office

Seynabou Tall, GBV Advisor, UNFPA East and Southern Africa Regional Office

**External Evaluation Team** 

Joseph Barnes, Team leader

Corinne Whitaker, gender based violence expert

# I. Opening/Introduction and PowerPoint Presented

The meeting opened with a welcome from Marco Segone, Director of the Evaluation Office, followed by Alexandra Chambel, Evaluation Manager and chair of the evaluation reference group.

The agenda for the meeting was discussed and Alexandra shared that this Evaluation Reference Group (ERG) meeting – the third ERG meeting – would focus primarily on the conclusions and emerging recommendations. Alexandra briefly presented the methodological approach of the evaluations, Joseph Barnes, team leader, shared the findings, Corinne, GBV expert, presented the conclusions and Alexandra the preliminary recommendations.

The recording and presentation are available here: https://drive.google.com/drive/folders/1G0OtV3DPk5AbWESmncbHZvVthv6NpykP?usp=sharing

See below for the discussion's key points (organized thematically):

### II. Key Themes: Points/Discussion

#### **Definition of GBV**

- There is definition of GBV at UNFPA (use the common UN definition); the definition is clear/there
  should be no flexibility around it; if there are differences in understanding and implementation,
  these need to be addressed
- GBV areas of responsibility (AoR) strategy is currently being finalized and even within the strategy/and among the membership of the AoR, there are different interpretations of GBV (even given the same definition we use within the AoR)
  - Evaluation Team: Noted. Will address the issue. There are differences in understanding and an operational dimension; in Uganda, for example, while GBV is used at the government level, there are also political/cultural normative dynamics and concerns that GBV might touch on non-binary (i.e. LGBTWI), strong focus now on GBV including men's experiences (engaging men as agents of change and survivors)
  - Evaluation Team: When we speak of the definition of GBV it also has to do with an effort to speak to prevention and elimination and the broader mainstreamed portfolio of GBV work; looking at an expanded portfolio: A&Y programming, action on adolescent girls, CSE programming.
- The problems we see at regional and country level vis a vis GBV comes from differing understandings of the definition (not about the definition as such). At UNFPA, we speak of GBV, but we end up working on VAW in most cases; we speak of child marriage, but in most cases we work on girl brides. Would also like to see the mainstreaming of GBV come out strongly in the recommendations; really important at regional and country level think how GBV can be mainstreamed across the spectrum (when review CPDs, find that GBV is generally standalone)

# Resourcing (financial and human) for work on GBV

- Evaluation report seems to conflate/confuse GBV and gender expertise at times; please be careful: one can have gender expertise, but not specifically be a GBV expert
- Even though there is political/programmatic commitment to GBV and HP in the new strategic plan, in the proposed GRI budget, there are no resources for the output on harmful practices, there is \$0.00 (there is a nominal amount for human rights); this is impacting our negotiations with donors, who are interested in seeing an institutional (financial) investment from UNFPA's side (asking us "how much is UNFPA allocating/investing"); we're expected to contribute with our own resources, demonstrating commitment and ownership (seeing this play out in the Spotlight Initiative, where we are expected to contribute with some of our own resources). This dynamic also applies to human resources: at global level, we have one gender advisor at and the other post is frozen. There is a serious gap here and we need to address this systematic contradiction increasingly

important area of work (in the plan, political commitment) but the resources available to deliver / respond to this are not there

- Positive to see the report validates in a systematic and independent manner what many of us have been seeing. Many stand out findings, but the finding on the need to invest properly on the humanitarian work if we want to take on the leadership role is critical and playing out in the AP region (Cox's Bazaar context a lot of great work, but really need investment in capacity). But also seeing that investment/capacity issues are not only related to humanitarian: cuts to core funding (and shift to non-core funding) is impacting investment in gender and gender expertise more broadly (gender first to be cut and / or put on non-core funding); funding gender with non-core funds is impacting sustainability of the gender expertise available in the organization which is a key value add/how we're able to fulfill our mandate; "when we give away our capacity, we're giving away our mandate"; investment in humanitarian is important, but it's also investment in gender work per se that is needed
  - On recommendation 1.2 (corporate guarantee of funding): Think about the unintended consequences of that recommendation (linked to what was previously mentioned): we absolutely want more humanitarian capacity, but we also want it for development. Focusing on humanitarian could end up weakening development work and resulting skewing our relationship with development partners (UN Women even more) (colleague concurred)
    - **Evaluation Team**: yes, we acknowledge the risk here and will ensure that the recommendation is balanced/further refined to reflect the work along the continuum/full portfolio of GBV work.
- Would be helpful if the evaluation could explore/add some analysis on how resource mobilization and cuts to core funding/funding across the board is playing out differently across regions; in our region (LAC), we have less core resources and few(er) opportunities to generate non-core/donor funding, but at the same time a need to continue to work on GBV (in a context too with a growing backlash to SRHRR by fundamentalist groups in some countries in the LAC ); perhaps the evaluation could consider a specific recommendation reflecting the varied resourcing environments across the organization and the impact of this heterogeneity on work on GBV. Would also like to underscore the continued need to resource civil society and focus more efforts on GBV prevention (requiring core resources)
- Two points on budget analysis: 2012-2017, expenditure are lower than the budget (but the analysis should state whether there are resources are already mobilized or a wish list (this would speak to whether we are over/under budgeting and spending at country level); would also like to see the amount for humanitarian vs. development budget disaggregated if possible; mobilizing for humanitarian and emergency response, but this should not overshadow the development work/the achievement on the development work obscured; we need to bridge this gap/between the two (i.e. implement the continuum in a better way) in order to better sustain projects.
  - Evaluation Team: Atlas does not disaggregate at the level of granularity needed to clearly make this distinction (between funding for humanitarian and funding for development vis a vis GBV work); expenditure is captured at output and outcome level (in 2014 onward) and outcome alone prior to 2014, while GBV work is mainstreamed and captured across multiple outputs; expenditure is not tagged development or humanitarian. We can further draw on the case studies, though, and further explore/further nuance our analysis here, so where we can see an influx of funding for emergencies,
- In terms of resources for GBV, we've recently finalized a study on GBV coordination in humanitarian contexts; revealed that less than 25% of funding for coordinators in Arab States

region for L3 emergencies is from the core budget; demonstrating that, as an organization, we are not really investing enough. We had raised a recommendation to Board and senior management to take this seriously and invest more from the core budget. Additionally, in terms of underexpenditure of country offices, as there is quite a lot of resistance on GBV by national partners/national governments in some places. Advocacy could play a role here, i.e, facilitating the actual implementation.

## Theory of Change on GBV and HP

 We need an integrated approach to undermine the GBV and HP work; a common theory ofWchange underpinning both

## Humanitarian Dimension and the Continuum Approach (to address the nexus)

- Emerging work on GBV at UNFPA is focused on the continuum approach; we have tools/guidelines to do so (i.e. Minimum Standards and Essential Services Package); this approach is being rolled out in 25-30 countries and we're continuing to deepen this work; this is an important value add/no other UN agency is doing this work and would like to see this come out more strongly in the report (apologies if it's already there though)
- Underscore that we think about the continuum in terms of the two opposing arrows that this approach contributes to better development and humanitarian outcomes. Important that the continuum be considered in both directions not just from development to humanitarian (building in systems of resiliency, contingency planning, preparedness), but also humanitarian to development (building back better/social norm change even in times of crisis); this should come out stronger in the report. Note that we use the continuum approach to address the nexus; nexus is the term that resonates more system wide
  - Evaluation Team: Note this and will reflect it in the report; ensure that the continuum goes both ways, reflecting that the continuum results in strengthening humanitarian and development outcomes, though we've seen a more direct relationship strengthened humanitarian outcomes; also note one of the key learning from continuum is that psychosocial counseling can be applied for prevention and in post-conflict (peace work done in northern Uganda)
- At the corporate level, we have a lot to do on the continuum approach/need to digest the approach further. For example, issues of resilience, at regional level (in ASRO) came up with a framework for resilience (with focus on GBV); has yet to be rolled out, we have seen that colleagues need more time/space to digest this. In terms of contingency planning and risk mitigation, we need to do more particularly in our region (improve preparedness/integration of continuum needed to improve readiness for crisis). We need improved communication between those working on development and those on humanitarian, hope this comes out more strongly in the recommendation.
- Inter-agency coordination on GBV in humanitarian setting should be resourced more fully, UNFPA does have enough advisors on GBV (insufficient resourcing compared to the demands of the role); we cannot sustain our role, globally, regionally or at country level on GBV and fulfill our mandate if this is not addressed (noting too that our "value add" as UNFPA may be at risk/other organizations able to fill this role). Recommendations should call for resourcing of the GBV AoR global coordination team to fulfill inter-agency function. On human resources for GBV and humanitarian, I'm not sure if we necessarily need a P4/P5 as a GBV sub-cluster coordinator (note that we often have a P4 as a coordinator of the overall protection cluster); P3 may be sufficient.
- Important to ensure recommendations are balanced: GBV in humanitarian and development (focusing currently on humanitarian/implications for the work on the development side) to

reflect the range of work UNFPA is doing. For example invest more in Surge to inform the response and prevention, as well. Have to work on all the work on HP: measurement, social norm approach, also how to further integrate GBV in SRH

- Evaluation Team: Point taken. The team will go back to the report and refine the recommendations. Will ensure that the report reflects both GBV in humanitarian and development work in a balanced manner.
- Evaluation Team: helpful to also reflect on how different pots of money relate to continuum development – it's not just that there are resources for humanitarian and development, it's also that the methodologies to address GBV in each are different; while doing SASA! methodology in camps in Uganda, its difficult and points to areas for further are possibilities

# Partnerships (including with other UN agencies)/Coordination

- Noted strong partnerships with UNICEF and UNFPA at the same time, there are challenges in coordination/working with other UN agencies (specifically UN Women) related to overlap on mandate? Or jostling for power, space, resources? A lack of clarity/commitment to working together? We've see some of these concerns at HQ level. Could you clarify? We are working with UN women on Essential Services rollout, Spotlight Initiative, on the humanitarian side we need to address this. It's important to address this challenge to, as counterparts are also confused on who to go to for what: had a meeting in Latin America recently with ministries and they asked "where do we go / who do we work with on this"; we've asked senior management to develop an agreement/arrangement (as there had been previously), but it has not been done (it's critical now) perhaps should have a recommendation on this.
- Echoing the point just above, very important to have more clarity on this area of GBV/work together on UN Women, as we've been hearing this consistently and at different levels. Additionally, wondering about the challenges with coordination with UNHCR at least in some of the refugees contexts, we have strong partnership with them in refugee contexts but perhaps the cases did not specifically look at refugee contexts or looked at where OCHA had overarching coordination (or did something else come out?)
  - Evaluation Team: UN Women issue came across all case studies, including the 4 country and regional case studies; challenges linked to overlap of mandate (in LAC, all the work UNFPA is doing on access to justice, traditionally an area in which UN Women has worked) as well as a challenge with handing over work to UN women (as they expand their presence); and there is also a competition in resources.
  - Evaluation Team: the relationship with UNHCR is variable in different places, due to coordination function, which relies on negotiation at country level (and tensions on definitional issues GBV vs. SGBV issues); challenges with UN Women have been much broader, coordination relationship, part of the challenge is handover in the development space has to be negotiated locally and therefore quite dependent on personalities; secondly, relates to funding (not unique to UNFPA and UN Women, but exacerbated because looking at the same underfunded issues and the other dynamics); some tensions around programming areas, as well; and the definition issue GBV or VAW (in some countries its fine (Uganda GBV is used, in Guatemala, ending VAW is fine) but in other contexts, people are using different terms to mean fundamentally different things
- Discussion on partnerships is critical and welcome; UNFPA value add is convening and collectivizing and this comes from our ability to partner for movement building rather than implementation (seen as "part of the movement")

- In findings, should adjust the text it seems the work with faith based organizations and men, but would suggest to ensure that the findings reflect UNFPA's longstanding work with human rights organizations and women's rights organizations (historically) as actors in advancing work in the GBV in the LAC region; it seems the emphasis is on faith-based organizing and men; important to underscore civil society partnerships with women's rights organizations, as well
- Have a long-standing relationship with WHO, for example; UNFPA has often implemented WHOs norms and standards; would suggest that the recommendations highlight the role of UNFPA vis a vis other agencies more broadly (not just challenges with UN Women)
- We see challenges with UN Women and WHO but important to make a distinction in terms
  of the challenges vis a vis partnerships at country, regional, and global level. In Arab Region,
  for example, we have a 30 million joint programme with UN Women and WHO (as well as
  UNICEF and UNDP) at regional level. So the distinction should where the challenges to
  partnerships are playing out and in what way.

# **UNFPA Value** Add

#### General

### **Integrating GBV and SRH**

- At the different levels we can still do more to integrate GBV in SRH, but I believe field colleagues are ahead of us on a number of issues including on this. Would be interested in learning of examples where this is working/models to replicate. At the same time, the evaluation notes that there needs to be better integration (of GBV) in thematic teams—this seems to contradict earlier statement: on the one hand, you are saying that there is strong integration, and here you are saying that integration needs to be strengthened. The report should clarify this.
- How to integrate GBV into SRH is essential and a value add of UNFPA; focus on research is good too (as a recommendation), but would suggest to unpack this further; we are also wellpositioned to expand the work on social norms (which is something we've been doing in the harmful practices)
- We have a life cycle approach (UN Women, focus on adults, UNICEF on children); underscore
  that only agency that approaches the work from a life cycle perspective (linked to population
  dynamics).

#### **Harmful Practices**

- Would like the evaluation to highlight (more clearly) UNFPA's comparative advantage in working on harmful practices; we are the only agency that works on the 3 harmful practices affecting women and girls (FGM, Son preference, child marriage) worldwide.
  - Evaluation Team: Noted and will reflect. UNFPA not only has a longevity and depth of these issues – brining issue of son preference to global level for example – but also UNFPA works on 3 harmful practices with a nuanced balance between normative and structural approach, a strength
- Would like the evaluation to draw out/underscore more clearly UNFPA's comparative
  advantage relative to some of the other organizations working on GBV/HP; strong
  partnerships and integration with SRH are key, but UNICEF for example is doing child
  protection work (same partners, and similar models, to our GBV work, but named differently);
  what is UNFPA doing better?

### **Connector Role/ Recommendations**

- Connector role is very important/value add of UNFPA and would like to see this operationalized in the recommendations further.
- Recommendations are a bit narrow the scope of the evaluation report is quite broad; would like to see that reflected.

# III. Next steps: Integration of Comments in Report

Alexandra acknowledged all the comments and ensured that the comments/suggestions raised in the ERG as well as the written comments (deadline April 16) are reflected in the revision and finalization of the evaluation report (as appropriate). A revised version of the report will be shared along with the audit trail containing the responses of the evaluation team to the ERG comments.

Meeting closed
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# Annex 3 – List of people interviewed

# Stakeholders consulted at global level

Name	Position	Organisation	Gender
UNFPA			
Andrea Cook	Former Director and UNEG Vice Chair,	UNFPA	F
	Evaluation Function		
Aynabat	Programme Specialist, Strategic	UNFPA	F
Annamuhamedova	Information and Knowledge Management		
	Branch		
Astrid Haaland	REGA Manager	UNFPA	F
Benoit Kalasa	Director, Technical Division	UNFPA	М
Charles Katende	Chief, Strategic Information and	UNFPA	М
	Knowledge Management Branch		
Elizabeth Benovar	Global HIV/AIDS Coordinator	UNFPA	М
Erin Kenny	Gender-based Violence Team Leader,	UNFPA	F
	Humanitarian & Fragile Contexts Branch		
Fabriza Falcione	Gender-based Violence Capacity	UNFPA	М
	Development Specialist		
Francesca Rivelli	Gender-based Violence Information	UNFPA	М
	Management Specialist		
Kwabena Osei-Danquah	Director, Governance and Multilateral	UNFPA	М
	Affairs		
Jennifer Chase	Coordinator, Gender-based Violence Area	UNFPA	F
	of Responsibility		
Luis Mora	Head, Tech, Gender, Human Rights and	UNFPA	М
	Culture Division		
Mira Cuturilo	Surge Manager	UNFPA	F
Nafissatou Jocelyn Diop	Coordinator, UNFPA-UNICEF Joint	UNFPA	F
	Programme on FGM/C		
Natalia Kanem	Executive Director	UNFPA	F
Noemi Espinoza	Multilateral Affairs Advisor, Division for	UNFPA	F
	Governance and Multilateral Affairs		
Ramiz Alakbarov	Director, Programme Division and Head of	UNFPA	М
	Humanitarian		
Salma Hamid	Senior Adviser, Political and Multilateral	UNFPA	F
	Affairs		
Satvika Chalasani	Technical Specialist, Sexual and	UNFPA	F
	Reproductive Health branch		
Tim Sladden	Senior Advisor, HIV & Key Populations	UNFPA	М
Upala Devi	Gender-based Violence Specialist	UNFPA	F
Ugochi Daniels	Chief, Humanitarian and Fragile Contexts	UNFPA	F
	Branch		
Other UN agencies			
Cornelius Williams	Associate Director, Child Protection	UNICEF	М
Helen Belachew	Gender and Development Specialist	UNICEF	F
Kerida McDonald	Communication for Development	UNICEF	F
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Mar Jubero	Child Protection Specialist	UNICEF	F
Nankali Maksud	Coordinator, Global Programme to	UNICEF	М
	Accelerate Action to End Child Marriage		
Stephanie Baric	Consultant, Child Protection	UNICEF	F
Mendy Marsh	Specialist, Gender-Based Violence in	UNICEF	F
	Emergencies		
Pablo Castillo-Diaz	Protection Specialist	UN Women	М
Caroline Meenagh	Policy Specialist, Eliminating Violence	UN Women	F
	Against Women		
Juncal Plazaola Castano	Data Specialist, Policy Division,	UN Women	М
	Eliminating Violence Against Women		
Kalliopi Mingeirou	Acting Chief and Policy Specialist,	UN Women	F
	Eliminating Violence Against Women		
Diego Antoni	Policy Specialist, Gender, Governance and	UNDP	М
	Crisis Prevention		_
Claudia Garcia Moreno	Co-chair FIGO Working Group What	WHO	F
	Works		
Donors  Vice Considerate	Design of Advisor Frederica of Country	Sweden	l e
Kim Sundstrom	Regional Adviser, Embassy of Sweden		F
Eun Ha Chang	Director	Korean Women's	F
Holon McDormott	Condor Equality Policy Advisor	Development Institute  Australia	F
Helen McDermott	Gender Equality Policy Advisor  Population Policy	Officer Bureau of	F
Amanada van Dort	Population Policy	Population, Refugees, and	F
		Migration	
Eva Charlotte Roos	Health Specialist	Swedish International	F
Lva Charlotte 1003	Treatti Specialist	Development	'
		Cooperation Agency	
		(SIDA)	
Jane Van Vliet	Assistant Director, Humanitarian Policy	Department of Affairs and	F
	and Partnerships	Trade, Australia	
Juliet Whitley	Policy Advisor, Promoting Sexual and	Department for	F
	Reproductive Health and Rights Team	International	
		Development, UK	
Lara Quarterman	Humanitarian Adviser, Women and Girls	Department for	F
	in Crises	International	
		Development, UK	
Lene Aggernaes	Head of Section, Department of	Ministry of Foreign	F
	Humanitarian Action	Affairs, Denmark	
Leora S Ward	Gender Equality Program Officer	Bureau of Population,	F
		Refugees, and Migration,	
		USA	_
Lisa Kim	Programme Manager, Unit for Syria and	Swedish International	F
	Iraq	Development	
Suran Ol	Contan Donaleti D. I. Off	Cooperation Agency	-
Susan Olsen	Senior Population Policy Officer	Bureau of Population,	F
		Refugees, and Migration, USA	
		USA	

Civil Society (INGO, NGO, Foundation)			
Aslihan Kes	Senior Economist	ICRW	F
Lori Michau	Co-founder and Co-director	Raising Voices	F
Liuska Sanna	Secretary General	Missing Children Europe	F
Neetu John	Sexual and Reproductive Health Specialist	ICRW	F
Zainab Ibrahim	Researcher and Consultant, Gender-based Violence	CARE International	F
Jayanthi Kuru	Author, Gender-based Violence. Affiliate Member of CARE International	CARE International	F
Dale Buscher	Senior Director of Programs	Women's Refugee Commission	М
Jessica Lenz	Senior Programme Manager	Protection InterAction	F
Erin Patrick	Gender-based Violence Specialist	International Rescue Committee	F
Kristy Crabtree	Gender-based Violence Information  Management Specialist	International Rescue Committee	F
Jeanne Ward	Gender-based Violence Consultant		F
Martine van de Velde	Gender-based Violence Consultant		F

# Stakeholders consulted at regional level

Name	Position	Organisation	Gender
<b>UNFPA Regional Offices</b>			
Luay Shabaneh	Regional Director	UNFPA, Arab States	М
Enshrah Ahmed	Regional Advisor, Gender, Culture &	UNFPA, Arab States	F
	Human Rights		
Bjorn Andersson	Regional Director	UNFPA, Asia & the Pacific	М
Bruce Campbell	Director	UNFPA, Pacific Sub-	М
		regional Office	
Henriette Jansen	Technical Advisor, Violence Against	UNFPA, Asia & the Pacific	F
	Women Data and Research		
Jennifer Butler	Deputy Director	UNFPA, Asia & the Pacific	F
Josephine Sauvarin	Technical Advisor, HIV/Adolescent	UNFPA, Asia & the Pacific	F
	Sexual and Reproductive Health		
Vinit Sharma	APRO Technical Advisor, Reproductive	UNFPA, Asia & the Pacific	М
	Health and Reproductive Health		
	Commodity Security		
Galanne Deressa	Programme Specialist	UNFPA, Asia & the Pacific	F
Ingrid Tuladhar	APRO Gender and Human Rights Advisor	UNFPA, Asia & the Pacific	F
Priya Marwah	Humanitarian Response Coordinator	UNFPA, Asia & the Pacific	F
Roy Wadia	Regional Communications Advisor	UNFPA, Asia & the Pacific	М
Sae-Ryo Kim	Regional Partnerships Advisor (based in	UNFPA, Asia & the Pacific	М
	Beijing, China)		
Salli Davidson	Programme Advisor	UNFPA, Asia & the Pacific	F
Sandra Paredez	Population Development Adviser	UNFPA, Pacific Sub-	F
		regional Office	

Rania Alahmer	GBViE specialist	UNFPA, Pacific Sub-	F
	·	regional Office	
Ziyad Qamar	Regional Resource Mobilization and	UNFPA, Asia & the Pacific	М
	Partnerships Advisor	,	
Alanna Armitage	Regional Director	UNFPA, Eastern Europe &	F
		Central Asia	
Eduard Jongstra	Population and Development Advisor	UNFPA, Eastern Europe &	М
		Central Asia	
Emmanuel Roussier	Humanitarian Response Specialist	UNFPA, Eastern Europe &	М
		Central Asia	
lan McFarlane	Deputy Director	UNFPA, Eastern Europe &	М
		Central Asia	
Jens-Hagen	Communications Advisor	UNFPA, Eastern Europe &	М
Eschenbaecher		Central Asia	
Louise Dann	Resource Mobilisation and Partnerships	UNFPA, Eastern Europe &	F
	Advisor	Central Asia	
Mahbub Alam	Regional Monitoring & Evaluation	UNFPA, Eastern Europe &	М
	Advisor	Central Asia	
Nigina Abaszade	Technical Advisor on Gender	UNFPA, Eastern Europe &	F
		Central Asia	
Nurgul Kinderbaeva	Gender Programme Specialist	UNFPA, Eastern Europe &	F
		Central Asia	
Tamar Khomasuridze	Sexual and Reproductive Health Advisor	UNFPA, Eastern Europe &	F
		Central Asia	
Seynabou Tall	Gender Technical Advisor	UNFPA, East & Southern	F
		Africa	
Neus Bernabeu	Gender Technical Advisor	UNFPA, Latin America &	F
		the Caribbean	
Idrissa Ouedraogo	Gender Technical Advisor	UNFPA, West & Central	F
		Africa	
Jennifer Miquel	Regional Gender-based Violence	UNFPA, Arab States	F
	Specialist		
Jonathan Budzi Ndzi	Humanitarian Programme Specialist	UNFPA, Eastern and	M
		Southern Africa	
Catherine Andela	Regional Emergency Gender-based	UNFPA, West and Central	F
	Violence Advisor	Africa	
UNFPA country offices		1	
Catherine Breen-	Deputy Representative	UNFPA, Cambodia	F
Kamkong			_
Hua Wen	Gender Programme Officer	UNFPA, China	F
Lela Bakradze	Assistant Representative	UNFPA, Georgia	F
Doina Bologa	Representative for Bosnia and	UNFPA, Bosnia and	F
	Herzegovina, Country Director for	Herzegovina and Serbia	
	Serbia, Director of Kosova, Senior		
D 4 11 5 1	Emergency Coordinator for Greece	LINEDA L. I.	-
Dr. Annette Sachs	Representative	UNFPA, Indonesia	F
Robertson		LINEDA L. I.	-
Martha Santoso Ismail	Assistant Representative	UNFPA, Indonesia	F

Risya Ariyani Kori	National Professional Officer, Gender	UNFPA, Indonesia	F
Pamela Marie Godoy	National Programme Officer, Gender	UNFPA, Philippines	F
	and Gender-Based Violence		
Lubna Baqi	Representative and Former Deputy	UNFPA, Nepal	F
	Regional Director		
Jayan Abeywickrama	National Programme Analyst	UNFPA, Sri Lanka	М
Ritsu Nacken	Representative	UNFPA, Sri Lanka	F
Sharika Cooray	National Programme and Policy Analyst,	UNFPA, Sri Lanka	F
	Gender and Women's Rights		
Wassana Im-em	Assistant Representative	UNFPA, Sri Lanka	F
Duygu Ariğ	Programme Manager, Humanitarian	UNFPA, Turkey	F
	Programme		
Fatma Hacıoğlu	Programme Manager, Humanitarian	UNFPA, Turkey	F
	Programme		
Maria Margherita	Programme Specialist, Gender-based	UNFPA, Turkey	F
Maglietti	Violence		
Meltem Agduk	Gender Programme Coordinator,	UNFPA, Turkey	F
	Development Programme		
Zeynep Başarankut Kan	Assistant Representative	UNFPA, Turkey	F
Pavlo Zamostian	Assistant Representative	UNFPA, Ukraine	М
Astrid Bant	Representative	UNFPA, Viet Nam	F
Phan Hien	Gender Specialist	UNFPA, Viet Nam	М
Janet Jackson	Representative	UNFPA, Myanmar	F
Alexandra Robinson	Programme Specialist (GBV)	UNFPA, Myanmar	F
Mollie Fair	Humanitarian Response Specialist	UNFPA, Myanmar	F
Other UN Regional Office	25		
Alia El-Yassir	Regional Director. Representative to	UN Women, Europe and	F
	Turkey	Central Asia	
Barbora Galvankova	Gender Equality and Women's	UNDP	F
	Empowerment Programme Specialist for		
	Europe and CIS		
Bharati Sadasivam	Gender Practice Team Leader	UNDP	F
Dr. Avni Amin	Technical Officer	WHO, Geneva	F
Gerda Binder	Regional Advisor	UNICEF, East Asia and	F
		Pacific	
Heike Alefsen	•	1	1
	Senior Regional Human Rights Advisor	UN Development Group,	F
	Senior Regional Human Rights Advisor	UN Development Group, Asia-Pacific	F
Kathy Taylor	Senior Regional Human Rights Advisor  Programme Manager		F
Kathy Taylor Kendra Gregson		Asia-Pacific	
	Programme Manager	Asia-Pacific Partners for Prevention	F
Kendra Gregson	Programme Manager Regional Advisor, Child Protection	Asia-Pacific Partners for Prevention UNICEF, South Asia	F F
Kendra Gregson	Programme Manager Regional Advisor, Child Protection	Asia-Pacific Partners for Prevention UNICEF, South Asia UN Development Group,	F F
Kendra Gregson Koh Miyaoi	Programme Manager Regional Advisor, Child Protection Gender Advisor	Asia-Pacific Partners for Prevention UNICEF, South Asia UN Development Group, Asia-Pacific	F F
Kendra Gregson Koh Miyaoi Maha Muna	Programme Manager Regional Advisor, Child Protection Gender Advisor Gender Adviser	Asia-Pacific Partners for Prevention UNICEF, South Asia UN Development Group, Asia-Pacific UNICEF	F F F
Kendra Gregson  Koh Miyaoi  Maha Muna	Programme Manager Regional Advisor, Child Protection Gender Advisor  Gender Adviser Ending Violence against Women	Asia-Pacific Partners for Prevention UNICEF, South Asia UN Development Group, Asia-Pacific UNICEF	F F F

Regional Implementing Partners			
Ionela Horga	Senior Advisor	East European Institute	F
		for Reproductive Health	
Jane Kato	Director of Programmes	Promundo	F
Maria Holtsberg	Gender and Inclusion Advisor	International Planned	F
		Parenthood Federation	
Mika Marumoto	Executive Director	Asia Forum of	F
		Parliamentarians of	
		Population and	
		Development	
Prof. Kristin Diemer	Senior Research Fellow and Monitoring	kNOwVAWdata Project	F
	Evaluation and Learning, University of		
	Melbourne		
Robert Thomson	Consultant	N/A	М

# Stakeholders consulted for country case studies

# Guatemala

Name	Position	Organisation	Gender
Reference Group			
Institutions			
Alejandra Estrada	Unidad de la mujer	Ministerio de Salud Pública (MSPAS)	F
Anabella de la Cruz	Encargada de VIF /VCM	Instituto Nacional de Estadistica (INE)	F
Azucena Socoy	Lawyer	Defensoría de la Mujer Indígena (DEMI)	F
Bertha Falla	Directora de Cooperación	Secretaría Presidencial de la Mujer	F
Claudia Flores	Sub directora de Gestión Integral de Riesgo	Coordinadora Nacional para la Reducción de Desastres (CONRED)	F
Dora Analia Taracena	Unidad de Monitoreo y seguimiento de los Organos especializada de femicidios y otras formas de VCM	Organismo Judicial	F
Evelyn Marcos	Directora Ejecutiva	Defensoría de la Mujer Indígena (DEMI)	F
Jaqueline Orellana	Unidad de Genero	Ministerio de Gobernación	F
Karla Zantizo	Coordinadora de Albergues	Secretaría Contra la Violencia Sexual,Explotación y Trata de Personas (SVET)	F
Kely Argueta	N/A	Coordinadora Nacional para la Reducción de Desastres (CONRED)	F
Maria Amalia Cuj	Tecnica de DIGECADE	Ministerio de Educación	F
Maria Guadalupe Orellana	Enlace Tecnica PBF I y II	Ministerio Público	F

	E L CONTABBENT	C	-
Monica Romero	Enlace CONAPREVI	Secretaría Presidencial de la Mujer (SEPREM)	F
Telma Miranda	Programa COEPSIDA	Ministerio de Educación	F
Vilma Rojas	Jefa del Departamento de	Ministerio Público	F
	coordinación de atención a la victima		
Wendy Zambrano	Programa Salud Reproductiva	Ministerio de Salud Pública	F
		(MSPAS)	
Civil Society			
Alma Odette	Organización de Mujeres Tierra Viva y	Latin America Bureau	F
Chacon de Leon	Grupo Impulsor CAIRO + 20	Edili / Microa Barcaa	'
Ana Victoria Garcia	Directora Ejecutiva	Asociación de Mujeres de	F
And Victoria Garcia	Birectora Ejecutiva	Occidente IXQUIC	'
Andrea Barrios	N/A	Colectivo Artesana	F
	N/A	Centro de Investigación,	F
Angelica	N/A	•	F
Valenzuela Claverie	Deferents National Controls	Capacitación y Apoyo a la Mujer	-
Bertha Chete	Referente National, Capítulo	Red Mujeres Positivas en Acción	F
	Guatemala de la ICW Latina	(Red MPA)	
Carmen Cáceres	Lawyer	Convergencia Cívico Política de	F
		Mujeres	
Claudia Hernandez	Co-founder	Fundación Red de	F
		Sobrevivientes de la Violencia	
		Doméstica	
Esmeralda Alfaro	N/A	Plataforma Tejedoras de güipil	F
Giovanna Lemus	Coordinador	Grupo Guatemalteco de	F
		Mujeres	
Judith Erazo	Director	Equipo de Estudios	F
		Comunitarios y Acción	
		Psicosocial (ECAP)	
Julia Tzic	Grantee Contact	Asociación Femenina para el	F
		Desarrollo de Occidente de	
		Guatemala	
Ma. Eugenia Diaz	Directora de Proyecto	Convergencia Cívico Política de	F
		Mujeres	
Maria Riquiac	Director Ejecutivo	Asociación por Nosotras	F
Morales			
Maya Alvarado	Director	Unión Nacional de Mujeres	F
		Guatemaltecas	
Paula Barrios	Director	Mujeres Transformando el	F
		Mundo	
Interviews			
Adriana Quiñones	Country Representative	UN-Women	F
Dosia Calderon	OACNUDH Representativa	Office of the United Nations	F
		High Commissioner for Human	
		Rights (OHCHR)	
Eduardo González	Head of AECID	Spanish Agency for	М
Cauhapé		International Development	
		Cooperation (AECID)	

Gloria Chang	Director General	"Guatevisión	F
J		Medio de comunidacion "	
Gretel Guerra	Former Head of Gender	Spanish Agency for	F
		International Development	
		Cooperation (AECID)	
Guadalupe Portillo	N/A	Consejo de Ministras de la	F
		Mujer de Centroamérica y	
		República Dominicana	
		(COMMCA)	
Ileana Melendreras	N/A	Programa Fortalecer para	F
		Empoderar	
Jose Cortez	Secretary	Secretaría Contra la Violencia	M
		Sexual, Explotación y Trata de	
		Personas (SVET)	
Lili Caravantes	Consultant	N/A	F
Magda Medina	Office of Child Protection	UNICEF	F
Mirna Montenegro	Head of Guatemala's Sexual and	Observatorio de Salud Sexual y	F
	Reproductive Health Observatory	Reproductiva, Argentina	
		(OSSyR)	
Myrna Ponce	Former Congress official	Organismos Judicial y del	F
		Ministerio Publico	
Norma Cruz	Director consultiva	Fundación sobrevivientes	F
Paola Broll	Senior Program Officer at Population	Population Council	F
	Council		
Roberto Molina	N/A	Ministerio de Salud Pública	M
		(MSPAS)	
Roberto Samayoa	Team Leader, Services, Procurement	Pan-American Social Marketing	M
	at Pan American Health Organization	Organization (PASMO)	
Stacy Velazquez	N/A	Organización Trans Reinas de la	TS
		Noche, (OTRANS)	
Andrea González	N/A	Organización Trans Reinas de la	TS
		Noche, (OTRANS)	
Adriana Astolfy	N/A	Organización Trans Reinas de la	TS
		Noche, (OTRANS)	
Thelma Aldana	Judge. Attorney General.	Ministerio Público	F
Vinicio del Valle	Higher Education Project	United States Agency for	М
		International Development	
Walda Barrios- Klee	Former Director	Facultad Latinoamericana de	F
		Ciencias Sociales (FLACSO)	
Yolanda Jochola	Ex Coordinadora de PROFOREM.	Programa Fortalecer Para	F
		Empoderar	

### Visitas de campo

# Alta Verapaz

Espacio de intercambio con funcionarios/as a cargo de la Justicia Especializada y Fiscalía de la Mujer (OJ).

Conocer el modelo de organización para la atención de las emergencias (CONRED).

Espacio de intercambio con el personal a cargo del MAI-Cobán (MP).

Dialogo con mentoras de Abriendo Oportunidades en Cobán.

Reunión personal de la DEMI de Salamá participantes del proceso de prevención de la VCM, proceso de implementación de la estrategia de prevención comunitaria (DEMI).

#### Quiche

Reunión con el personal a cargo de la Justicia Especializada (OJ).

Reunión con el personal de la Fiscalía de la Mujer, de la Oficina de atención a Víctimas (MP).

Entrevista con el personal de la DEMI, sobre sus roles, modelo de atención de casos de VC Mujeres indígenas (DEMI).

Dialogo con mujeres indígenas, lideresas comunitarias, promotoras legales para el seguimiento a los casos de violencia contra las mujeres durante y después del conflicto armado (IXMUCANE).

#### San Marcos

Entrevista con Margarita Tomás, una de las promotoras a cargo de los procesos de acompañamiento a grupos afectados por el terremoto (2012).

Dialogo y entrevista con la representante de SEPREM en San Marcos, directora de la DMM y una lideresa de una de las comunidades atendidas durante el 2012-2013 posterior al terremoto (DMM).

Visita a la aldea nueva concepción de San Juan Ostuncalco.

### Quetzaltenango

Grupo focal: Nuevos Horizontes CAIMOS intercambio con el personal especializado y dialogo con mujeres sobrevivientes de VCM.

Reunión con jueces del Tribunal Especializado. Se brindó apoyo durante su apertura, campaña, capacitación especializada, monitoreo e informes de avance. (el personal inicial ha cambiado).

Reunión con jóvenes (AFEDOJ) Asociación de Mujeres indígenas de la Sociedad civil, trabajan con jóvenes y fomentan su liderazgo haciendo uso de la metodología de oportunidades.

Reunión con el personal de la Fiscalía de la Mujer, personal de la Oficina de atención a la víctima. El UNFPA ha apoyado con los procesos de capacitación del personal desde la sede, insumos para la OAV, análisis de conectividad de casos y fortaleciendo las redes de derivación.

Reunión lideresas de AMOIXQUIC, organización de mujeres de la sociedad civil, fueron socias del UNFPA durante la implementación del programa Fortalecer para empoderar.

Summit Workshop			
Alejandro Silva	Oficial de salud sexual y reproductiva	UNFPA	F
Ana Luisa Rivas	Representante auxilar	UNFPA	F
Claudia Lopez	Oficial de población de desarrollo	UNFPA	F
Dora Amalia Taracena	Unidad de Monitoreo y seguimiento de los Organos especializada de femicidios	Organismo Judicial	F
	y otras formas de VCM		
Frank Rivera	N/A	Ministerio de Salud Pública (MSPAS)	М
Ines Camas	Redhum Information Assistant	OCHA	F
José Roberto Luna	Official de juventud	UNFPA	М
Karelia Ramos	HIV/AIDS Specialist	UNFPA	F
Marisol Trujillo	Program Assistant	UNFPA	F
Paola Broll	Population Council		F
Sabrina Morales	Communications Consultant	UNFPA	F
Sergio Martinez	N/A	Ministerio de Salud Pública (MSPAS)	М
Tanhia Leonardo	Program Assistant	UNFPA	F
Thelma Miranda	Programa COEPSIDA	Ministerio de Educación	F
Veronica Siman	Country Representative	UNFPA	F
Vilma Rejas	Coordinadora de la Dirección de atención a la victima	Ministerio Público	F
Walda Barrios-Klee	Former Director	Facultad Latinoamericana de	F

		Ciencias Sociales	
		(FLACSO)	
Irma Yolanda Ávila	Oficial de Programa de género y	UNFPA	F
Argueta	derechos humanos		

# India

Name	Position	Organisation	Gender
Reference Groups			
ERG: Inception worksho	op		
Anju Pandey	Program Officer, Ending Violence	UN Women	F
	Against Women		
Antara Ganguli	Gender Specialist	UNICEF	F
Dr. Abhijit Das	Core Team, Founder Alliance on	Centre for Health and Social	М
	Men and Gender Equality	Justice	
Dr. Ravi Verma	Regional Director, Asia	International Centre for	М
		Research on Women	
N.B. Sarojini	Founder and Managing Trustee	Sama	F
Saroj Yadav	Dean (Academic) and Project	National Council of Educational	F
	Coordinator	Research and Training	
Suruchi Pant	Consultant, BBBP	Ministry of Women and Child	F
		Development	
ERG: Summit workshop			
Dr. Kasonde Mwinga	Team Leader, Reproductive,	World Health Organization	М
	Maternal, Newborn, Child and		
	Adolescent Health		
Firoza Mehrota	Independent expert	N/A	F
Dr. Ravinder Kaur	Professor of Sociology and Social	Indian Institute of Technology	F
	Anthropology		
Dr. Abhijit Das	Director	Centre for Health and Social	М
		Justice	
N.B. Sarojini	Director	Sama	F
Suruchi Pant	Consultant, BBBP	Ministry of Women and Child	F
		Development	
UNFPA (other staff wer	e part of team and country office discu	ussions)	
Dr. Deepa Prasad	State Programme Coordinator	UNFPA, Odisha	F
Mr. Diego Palacios	Representative	UNFPA	М
Mr. Kumar Manish	State Programme Officer	UNFPA, Odisha	М
Mr. Rajat Ray	Senior Advocacy & Communication	UNFPA	М
	Officer		
Mr. Sanjay Kumar	National Programme Officer,	UNFPA	М
	Monitoring and Evaluation		
Ms. Anuja Gulati	National Gender Specialist and	UNFPA, Mumbai	F
Dhanashri Brahme	State Programme Coordinator		
Ms. Ena Singh	Assistant Representative	UNFPA	F
Ms. J Jaya	National Programme Officer,	UNFPA	F
	Adolescent Reproductive and		
	Sexual Health		

Ms. Trisha Pareek	Consultant, BBBP	Rajasthan	F
UN Country Team			
Anju Pandey	Programme Officer	UN Women, Deli	F
Dhuwarakha Sriram	Child Protection/Adolescent	UNICEF, Deli	F
	Specialist		
Dr. Rakesh Kumar	Chief-Policy, Planning and Field	UNDP, Deli	М
	Services		
Mr. Yuri Afanasiev	UN Resident Coordinator	UNCT, Deli	М
Government			
Ms. Bindu Sharma	Director, Ministry of Health and	Government of India	F
	Family Welfare		
Ms Nisha Meena	Additional Director, Directorate of	Government of Rajasthan	F
	Women Empowerment		
Ms Renu Khandelwal	Additional Director, Directorate of	Government of Rajasthan	F
	Women Empowerment		
Dr. Archana Patil	Additional Director SFWB and	Government of Maharashtra	F
	Executive Director, SHSRC,		
	Government of Maharashtra		
Dr. Asaram Khade	Nodal Officer and Consultant, Government of Maharashtra, Pre-	Government of Maharashtra	M
	Conception Pre-Natal Diagnostic		
	Techniques.		
Dr. Madhukar Sangle	District Health Officer, Beed	Government of Maharashtra	М
Dr. N.S. Chavan	District Civil Surgeon, Beed	Government of Maharashtra	М
Dr. Rajesh Tandale	Health Officer, Shirur, Beed District	Government of Maharashtra	М
Taluka			
Group of Master	Supervisor, ANW, ANM, ASHA,	Government of Maharashtra	
Trainers	ICDS, and Doctors		
Group of Sarpanch and	Shirur, Beed	Government of Maharashtra	
Gram Sevaks			
Mr. Jayant Banthia	Former Chief Secretary	Government of Maharashtra	М
Ms. Shobha Waghulkar	Shirur, Beed District	Government of Maharashtra	F
Ms. Vijaya Rahatkar	Chair, State Women's Commission	Government of Maharashtra	F
Dr. K. C. Das	Director of Public Health,	Government of Odisha	М
	Department of Health and Family		
	Welfare Department		
Ms. Arti Ahuja	Former Principal Secretary of	Government of Odisha	F
	Health, Department of Health and		
	Family Welfare		
Development Partners, I	Donors, Universities, NGOs and Other	'S	
Firoza Mehrotra	Independent Expert, Author	Deli	F
Mamta Kohli	Senior Advisor, Violence Against	DFID, Deli	F
	Women		
Masooma Ranalvi	Trainer, Gender Issues	WeSpeakOut, Deli	F
Mr. Amitabh Beher	Convete viet Civie Count	National Foundation of India,	М
	Secretariat, Girls Count	Tracional Foundation of Infala,	
Rizwan Pervez	Secretariat, Giris Count	Deli	
Rizwan Pervez Murali Kunduru	Emergency Response Manager		M

Nell Druce	Senior Health Advisor	DFID, Deli	М
Niranjan Saggurti	Country Director	Population Council, Deli	М
Prof. Saroj Yadav	Dean (Academic), Project	National Council of Educational	F
	Coordinator	Research and Training, Deli	
Ravinder Kaur	Professor, Department of	Indian Institute of Technology,	F
	Humanities and Social Sciences	Deli	
Satish Singh	Additional Director, Men and	Centre for Health and Social	М
	Gender Equality	Justice, Deli	
Dr. Savita Bakhry	Joint Director, Policy and Research	National Human Rights Council,	F
		Deli	
Sharmila Neogi	Advisor, Adolescent Health and	USAID, Deli	F
	Gender		
Vinoj Manning	Executive Director	Ipas Development Foundation,	M
		Deli	
Mr Ankur Kachhwaha	Programme Manager	Jatan Sanshan, Rajasthan	М
Mr Sanjay Nirala	Child Protection Officer	UNICEF , Rajasthan	М
Rama Rao		American Jewish World Service,	М
		Rajasthan	
Advocate Varsha	Secretary	Dalit Mahila Vikas Mandal,	F
Deshpande		Maharashtra	
Amruta Bawdekar	Mentor of the GME Project,	Centre for Enquiry Into Health	F
	Mumbai	and Allied Themes,	
		Maharashtra	
Dr. A. L. Sharada	Director, Mumbai	Population First, Maharashtra	F
Dr. Hrishikesh	Mentor of the GME Project	Mahatma Gandhi Mission	М
		Hospital, Maharashtra	
Dr. Kamakshi Bhate	Mentor of the GME Project	King Edward Memorial	F
		Hospital, Maharashtra	
Dr. Naireen Daruwalla	Director, Prevention of Violence	Society for Nutrition, Education	4F
– and	Against Women and Children,	& Health Action, Maharashtra	
Ms. Neeta Karandikar,	Mumbai		
Ms Anjali Pore, Ms			
Kanchan			
Dr. Padmaja Samant	Mentor of the GME Project	King Edward Memorial	
		Hospital, Maharashtra	
Dr. Shashikant	President	Halo Medical Foundation,	9
Ahankari – and Dr.		Maharashtra	
Baig, 2 facilitators, 3			
animators and 2 group			
members			
Dr. Shrinivas Gadappa	Obstetic and Gynecology	Aurangabad Medical College,	1M+
<ul> <li>and faculty and post</li> </ul>	Department	Maharashtra	
graduate students			
Justice Shalini	Hon. Judge	High Court of Bombay,	F
Phansalkar Joshi		Maharashtra	
Mr. Ambekar	Joint Director	Maharashtra Judicial Academy	М
Mr. S V Sista	Executive Trustee, Mumbai	Population First, Maharashtra	М
Mr. Yarlagadda	Additional Director	Maharashtra Judicial Academy	M

Ms Ujwala Kadrekar	Independent Consultant and	Maharashtra	F
•	Trainer, Health Sector Response to		
	Violence		
Ms. Padma Deosthali	Mentor of the GME Project,	Centre for Enquiry Into Health	F
	Mumbai	and Allied Themes,	
		Maharashtra	
Ms. Sangeeta Rege	Mentor of the GME Project,	Centre for Enquiry Into Health	F
	Mumbai	and Allied Themes,	
		Maharashtra	
Ranjana Jyoti Ram	Elected-head of Shivni Village	Maharashtra	F
Hurkude			
Resource persons for	Shirur Kasar Block, Beed District	Maharashtra	
adolescent girls	Simul Rusul Block, Beed Bistrict	IVIATIOI ASTICI A	
program			
Women Sarpanches			
Sanjukta Tripathy	Drogrammo Officer Cajanati	Doonlo's Bural Education	F
Sanjukta iripatny	Programme Officer, Gajapati District	People's Rural Education	F
	District	Movement, Odisha	
Group Interviews		· · · · · · · · · · · · · · · · · · ·	1
Mr Sunil Thomas Jacob	State Programme Coordinator	UNFPA, Rajasthan	3M, 1F
Mr Rajnish Ranjan	State Programme Officer	UNFPA, Rajasthan	
Prasad	State Frogramme Officer	ONI FA, Najastilali	
Mr Sachin Kothari	State RMNCH+A Coordinator	LINEDA Paiasthan	
		UNFPA, Rajasthan	
Ms Divya Santhanam	Youth Consultant	UNFPA, Rajasthan	
Dr Shobita Rajgopal	Professor	Institute of Development	5F
D	5 (	Studies	
Dr Kanchan Mathur	Professor	Institute of Development	
5 44 4 5 144		Studies	
Dr Neetu Purohit	Professor	Indian Institute of	
		Health Management Research	
Ms Kirti Garg		DNA Newspaper	
Ms Radhika Sharma	Director	Jeevan Ashram (NGO)	
Dr. Binod Kumar	Director	Directorate of Family Wolfers	3M, 2F
Mishra	Director	Directorate of Family Welfare	3IVI, 2F
Dr. Ajit Kumar	Joint Director	Directorate of Family Welfare	
Mohanty		,,,	
•			
Ms. Shrabani Das	State Facilitator, Pre-Conception		
	and Prenatal Diagnostic		
	Techniques		
Mr. Manoranjan	Cell Legal Advisor, Pre-Conception		
Pradhan	and Prenatal Diagnostic Techniques		
Ms Bonani Samal	Cell Equity Advocacy Manager		
	ers, Counsellors, Consulting Ob/Gyns	One Stop Centre, Capital	
of One Stop Centre	2, 22 2 2, 20 2, 3, 13	Hospital, Bhubaneswar	
o. one stop centre		, , ,	
Self Defense Skills Class	of girls aged 15-19	Action for Adolescent Girls,	4F
Jen Derende Jimi Class	5. 55 ugca 13 13	Gajapati District, Odisha	"
		- Cajapati Bistrict, Caisila	

 $\label{thm:continuous} \mbox{Evaluation of UNFPA support to the prevention, response to and elimination of gender based violence, including harmful practices$ 

Panchayat leaders, parents, religious leaders		5
Girl participants and Peer Educators aged 15-19	Action for Adolescent Girls, Gumma Block, Gajapati District, Odisha	6F
ED and staff of Centre for Community Economics and Development Consultants Society (CECOEDECON)	Beti Bachao, Beti Padhao Programme, Sawai Modhupur and Tonk	
Panchayatt leaders, Accredited Social Health Activists, Anganwadi center worker, Satchis, Gram Satchi	Beti Bachao, Beti Padhao Programme, Sawai Modhupur and Tonk	4
Girl Participants (Group aged 10-19) in Beti Bachao, Beti Padhao (BBBP)	Beti Bachao, Beti Padhao Programme, Sawai Modhupur and Tonk	4G

# **Palestine**

Name	Position	Organisation	Gender
Reference Groups			
ERG: Summit workshop	the Gaza Strip		
Dr Sawsan Hamad	Director of Women's Health Department, the Gaza Strip	Ministry of Health	F
Mona Sami	Project Manager	Union of Health Work Committees	F
Zainab al Gonami	Director	Centre for Women's Legal Research & Counselling and Protection	F
ERG: Summit workshop	Ramallah		
Connie Pedersen	Protection Cluster Coordinator	Office of United Nations the High Commissioner for Human Rights	F
Davide Tundo	Gaza Protection Cluster Coordinator	Office of United Nations the High Commissioner for Human Rights	M
Dr Khadijeh Jarrar	Women's Health Programme Director	Palestinian Medical Relief Society	F
Hanan Kaoud	Programme Manager for Women Political Participation	UN Women	F
Ilham Hamad	Head of Complaints Unit	Ministry of Women's Affairs	М
Inas Margieh	Programme Coordinator	UN Women	F
Luna Saddeh	Consultant for Gender-based Violence SC Strategy, and Sub- Cluster Consultant	UNFPA	F
Najwa Sandouka Yaghi	Project Manager	MIFTAH, Palestinian Initiative for Promotion of Global Dialogue and Democracy	F
Shatha Odeh	General Director	Health Works Committee	F
UNFPA			
Amira Mohana	Gender Programme Associate, Gaza	UNFPA	F
Laura Bawalsa	Personal Assistant to the Representative	UNFPA	F

Nishan Prasana	Programme Analyst (JPO)	UNFPA	М
Krishnapalan			
Osama Abuelta	National Programme Officer, Gaza	UNFPA	М
Sana Asi	Gender Programme Officer	UNFPA	F
Sawsan Kanaan	Gender Programme Associate, West Bank	UNFPA	F
Ziad Yaish	Assistant Representative	UNFPA	М
UN Agencies			
Connie Pedersen	Protection Cluster Coordinator	OHCHR	F
Davide Tundo	Gaza Protection Cluster	OHCHR	М
Hanan Kaoud	Programme Manager for Women Political Participation	UN Women	F
Heba Zayyan	Programme Officer, Gaza	UN Women	F
Inas Margieh	Programme Coordinator	UN Women	F
Jamileh Sahlieh	Project Manager, Women Human	UN Women	F
sammen sammen	Rights Programme	on women	•
Said Almadhoun	Human Rights Officer/Protection	OHCHR	М
	Cluster Focal Point, Gaza		
Suhair Sawalha	Women Programme Officer	UNRWA	F
Government			
Dr Haifaa F ElAgha	Minister of Women's Affairs	Ministry of Women's Affairs	М
Fatima Radaydah	Director of Advocacy and Media	Ministry of Women's Affairs	F
Ilham Hamad	Head of Complaints Unit	Ministry of Women's Affairs	М
Iteadal Qenita	Media Officer	Ministry of Women's Affairs	M
Mona Jamal Shaik	Acting Manager, Director of Influence Communication and Information, Gaza	Ministry of Women's Affairs	F
Omar el Halaseh	Translator	Ministry of Women's Affairs	М
Sumood Yasien	Director of Projects Department	Ministry of Women's Affairs	F
Dr Sawsan Hammad	Director of Women's Health	Women's Health and	F
	Department	Development Directorate	
Huda Safadi	Programme Manager	Women's Health and	F
		Development Directorate	
Maha Awad	Director	Women's Health and	F
		Development Directorate	
Development Partners,	NGOs and Others		
Amal Syam	Director	Women's Affairs Centre, Gaza	F
Amina Stavridis	Executive Director	Palestinian Family Planning and Protection Association	F
Daoud Al-Deek	Assistant Deputy Minister	Ministry of Social Development	M
Dr Adnan A Al-Wahaidi	Executive Director	Ard el Insan Health Organisation	M
Dr Basem Hashem	Director	Qalqilia Medical Centre	M
Dr Khadijeh Jarrar	Director	Women's Health Programme,	F
Di Kilauljeli Jailai	Director	Palestinian Medical Relief	'
		Society	
		Jociety	

Firyal Thabet	Director	Al-Bureij Women's Health	F
		Center, Culture and Free	
	0 11 1 6 1111554 5 1	Thought Association	_
Hana Zant	Coordinator for UNFPA Project	Women's Affairs Centre, Gaza	F
Issam Younis	Director General	Al Mezan Centre for Human	M
		Rights	
Jenn Bloom	First Secretary	Humanitarian Assistance and	F
		UNRWA. Representative Office	
		of Canada	
Jordi Galbe López	Senior Programme Manager,	Spanish Agency for	M
	Jerusalem Area, Israel	International Development	
		Cooperation	
Khaled Mansour	Programme Manager	Royal Danish Representative	М
		Office	
Layali Sawalmeh	Project Coordinator	Health Work Committees,	F
		Medical Centre ISHRAQA,	
		Qalqilya	
Mariam Shaqura	Director	Jabilya Clinic, Red Crescent	F
		Society Centre, Jabilya, Gaza	
Naela Shawar	Development Officer	Representative Office of Canada	F
Najwa Sandouka Yaghi	Project Manager	MIFTAH, Palestinian Initiative	F
		for Promotion of Global	
		Dialogue and Democracy	
Nihaya Afana	Consultative Committee & Head of	Health Work Committees,	F
	Gender Unit	Medical Centre ISHRAQA,	
		Qalqilya	
Reem Franah	Executive Director	AISHA Association for Women	F
		and Child	
Riham Faqih	Director of Development and	Health Work Committees,	F
	Outreach	Medical Centre ISHRAQA,	
		Qalqilya	_
Sara Dominoni	Gender Programme Officer	Italian Agency for Development	F
		Cooperation	_
Shatha Odeh	General Director	Health Work Committees	F
Soraida A. Hussein	General Director	Women's Affairs Technical	F
		Committee	

# Uganda

Name	Position	Organisation	Gender
Reference Group			
Alice Komuhangi	Head of Gender	Children and Sexual Offences,	F
		Directorate of Public	
		Prosecutions	
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Darlson Kusasira	Community Services Officer	Office of the Prime Minister	М
Delphine Pinault	Country Director	Care International	F
Demeta Namuyobo	Medical Coordinator	Reproductive Health Uganda	F

	roject Officer	Gender-based Violence Special	M
		Courts, Action Aid Uganda	
Esla Bokhre C	community Services Officer	UNHCR	F
Jackson Chekweko Ex	xecutive Director	Reproductive Health Uganda	М
John Ampeire Kaijuko N	lational Programme Officer	National Population Council	М
Mercy Munduru P	rogramme Manager	FIDA-Uganda (Association of	F
		Women Lawyers)	
Miriam Namagere P	rogramme National Officer	Ministry of Health	F
Mirian Akumu Ti	ransitional Justice & Gender	Association for Cooperative	F
		Operations Research and	
		Development	
Mubaraka Mubuya To	eam Leader	Support to Uganda's Response	F
		to GE	
Nabwire Joyce Baker P	roject Coordinator	Women Protection	F
		Shelters, Action Aid, Uganda	
Priscilla Nyarugoye H	lead of Vulnerable Persons Unit	Uganda Human Rights	F
		Commission	
Richard Mukhe C	hild Protection Specialist	Child Fund International	М
Rita Aciro Ex	xecutive Director	Uganda Women's Network	F
Sacha Manov D	Deputy Director of Programmes	International Rescue Committee	F
Susan Oregede P	rogramme Specialist	UN Women	F
Tina Musuya Ex	xecutive Director	Centre for Domestic Violence	F
		Prevention	
UNFPA			
Alain Sibenaler R	epresentative	UNFPA	M
9	lead of Moroto Sub-Office	UNFPA	М
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Esther Cherop Properties Florence Auma-Apuri G	rogramme Officer, FGM Gender and Human Rights		
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Esther Cherop Professional Prof	rogramme Officer, FGM Gender and Human Rights	UNFPA UNFPA UNFPA	F F
Esther Cherop Programmer Programm	rogramme Officer, FGM Sender and Human Rights opulation and Development rogramme Officer rogramme Assistant	UNFPA UNFPA	F
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Ogwang Denise	Programme Assistant	UNFPA	M
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Repecca Naturnalisi	National Flogramme Analyst	Social Development, UNFPA	
Rosemary	Condom Programming Officer,	UNFPA	F
Kindyomunda	HIV/AIDS	UNIFA	
UN Agencies	111471123		
Akullu Harriet	Chief of Protection	UNICEF	F
Anna Mutavati	Deputy Country Representative	UN Women	F
Dr. Olive Sentumbwe-	Family Health & Population Advisor	World Health Organization	F
Mugisa			
Elsa Bohkre	Senior Community Services Officer	UNHCR	F
Kemlin Furley	Deputy Representative	UNHCR	М
M Pachoe	Senior Field Coordinator	UNHCR-Adjumani	
Rose Malango	Resident Representative and Head	UNDP, Uganda	F
Yoon Kyung Shin	Programme Analyst, Gender	UNDP, Uganda	F
	Equality and Women's		
	Empowerment		
Government			,
Ida Kigonya	Principal Women in Development	Ministry of Gender, Labour &	F
	Officer	Social Development	-
Jane Mpagi	Director, Gender and Women Affairs	Ministry of Gender, Labour & Social Development	F
Kenneth Ayebazibwe	E-Resource Centre Manager, Head	Ministry of Gender, Labour &	М
	of IT	Social Development	
Other Government Entit	ties	·	
Alice Komuhangi	Head of Gender, Children and	Directorate of Public	F
	Sexual Offences	Prosecutions	
Darlson Kusasira	Office of the Prime Minister	Disaster Preparedness	М
Maureen Atuhaire	SSP Child and Family Protection	Police Headquarters	F
	Department	·	
Dr. Miriam Namugere	Principal Nursing Officer	Ministry of Health	F
Dr. Betty Nakazzi	Director Family Health	National Population Council	F
Naguru	,		
Patricia Nduru	Director, Monitoring & Inspections	Uganda Human Rights	F
	, 5	Commission	
Wilberforce	Reproductive Health Divison	Ministry of Health	М
Mugwanya	·		
Development Partners			
Betty Kasiko Ikanza	Social Development Advisor	DFID	F
Grace Namata	Programme Manager	DFID	F
Mubaraka Mabuya	Team Leader	Support for Uganda's Response	F
		to Gender Equality	
Nadia Elouargui	Senior Advisor	Embassy of Norway	F
Implementing Partners		,	
INGOs			

	1	I	1
Angela Rugambwa	Executive Director	International Rescue Committee	F
Delphine Penault	Country Director	Care International	F
Ellen Bajenja	Country Director	Association for Cooperative	F
		Operations Research and	
		Development	
Harriet Gombo	Director of Programmes	Action Aid	F
Jackson Chekweko	Executive Director	Reproductive Health	М
Laureen Karayi	Programme Coordinator	Uganda Women's Network	F
Nabimanya	1 rogramme econamacon	ogania tromen sittemork	
Richard Mukhe	Child Protection Specialist	Child Fund International	М
National NGOs	erina i rotection specialist	cina i ana international	141
	T	500 11 1 10 111 5	
Irene Ovonji-Odida	Executive Director	FIDA, Uganda (Association of	F
		Women Lawyers)	
Joshua Kitakule	Executive Director	Inter-Religious Council	М
Lori Michau	Executive	Director Raising Voices	F
Tina Musuya	Executive Director	Centre for Domestic Violence	F
		Prevention	
Field Visits			
Adjumani			
DHO, Ministry of Health			
District Local Governme			10
Gender-based Violence			8
IRC Staff	Survivors, Acond Starr		0
	/Anti- Gender-based Violence Group (IF	RC)	21
Gender-based Violence		(C)	15
SRH, Ministry of Health	Working Group		13
Gulu			
Alice Kipwola	Psychosocial Support Officer	ActionAid Shelter	F
Angwech Pamela	Executive Director	Women Economic Development	F
Judith		Globalisation	
Christopher Ayella	Team Leader	Straight Talk, Gulu Office	М
Emmanuel Rachkara	Project Coordinator	Straight Talk, Gulu Office	М
Jennifer Ayot	Project Officer, Legal	ActionAid	F
Rose Jane Okilangole	Assistant District Health Officer &	District Government	F
J	Gender Focal Person		
Gender-based Violence	Working Group		14
Women's Household Gr	roup		10
Peer Educators			16
Role Model Men			10
Cultural Leaders			9
Moroto			
Andrew Rewes	District Medical Officer		М
David Korang		MOZIDEP	М
Grace Amaigiro,	Programme Officer	Building Resilience Across Communities	F
Joseph Oumo	Assistant Inspector of Police, Child &	Uganda Police	М
	Family Protection Unit		
Loru Moses King	Community Development Officer	Topac Sub-county	F
Martin Jacan Gwokto	Chief Administrative Office	Uganda Local Governments'	М
		Association	
Martin Jacan Gwokto	Chief Administrative Office	_	M

Building Resilience Across Communities – Out-of-school	20F
Adolescent Girls Group	
Male Action Group	15M

# Stakeholders consulted for extended desk reviews

Name	Position	Organisation	Gender
Bolivia			
Anna Crivellato	UN Volunteer	UN	F
Cecilia Enríquez	Programme Official	UN Women	F
Consuelo Torrico	Leader	Older TLGBTI Adults	F
Alaiza			
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		Mortality Observatory	
Martín Viduarre	Director	Capacitación y Derechos	M
Mánica Davá	Tachnical Corretory	Ciudadanos (NGO)	F
Mónica Bayá	Technical Secretary	Human Rights Community	
Mónica Yaksic	National Gender, Interculturality and Rights Official	UNFPA	F
Neus Bernabeu	Regional Technical Advisor on Gender and Youth	UNFPA	F
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		Office of the Municipal	
Central African Republ	ic	Government of Viacha	
UNFPA			
Dr. Marc Derveeuw	Representative	UNFPA	М
Madame Marie	Consultant/Gender Advisor	UNFPA	F
Justine Mamba	,		
Maria Scicchitano	Consultant	UNFPA	F
UNOCHA			
Daniel Ladouceur	Programme Coordinator, UN	UNOCHA	М
	Coordination Unit		
UNICEF			<u> </u>
Christine Mugigana	Representative	UNICEF	F
Jules Hans Beauvoir	Child Protection Specialist and Gender Based Violence	UNICEF	F
NGOs & Other	Gender Based Violence		
Mr Janusz	Director	Cooperazione Internazionale	М
Czerniejwski		(NGO)	
Mr Mohamadou	Consultant	Groupement pour le	М
Dahirou		Développement Agro-Pastoral (NGO)	
Bosnia and Herzegovin	ia		_
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Powell			
Gabrijela Jurela	Assistant Representative	UNFPA	F
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Sejdefa Basic Catic	Manager	Partnership for Public Health Association	F
Iraq			

Ali Zedan	Program Analyst, Gender-based	UNFPA	F
	Violence		
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Dr Mohanad Ali-Ani	Programme Manager Assistant	United Iraqi Medical Society	М
Florence Mahia	Consultant, Gender-based Violence	Harikar (NGO)	F
Francoise Ghorayeb	Senior Programme Coordinator	UNFPA	F
Gemma Sanmartin	Head of Coordination Unit	ОСНА	F
Harriet Omino	Gender-based Violence Sub-Cluster	IMC	F
Oyombe	Co-Coordinator		
Svetlana Karapandzic	Protection Working Group Coordinator	UNHCR, Central-South	F
From previous Protecti	on Cluster Evaluation, March 2017:		
Annie Keel	Field Coordinator, Duhok	International Medical Corps	1M, 2F
Nicia Dannawi	Sub-Cluster Coordinator, Gender- based Violence	UNFPA	
John Drollinger	Sub-Cluster Co-Coordinator, Gender- based Violence	International Medical Corps	
Turkey			
Turkey Programme			
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	Expert		
Emine Kaya	Project Coordinator	TOG Community Volunteers	F
		Foundation	
Kadir Beyaztas	Deputy General Coordinator	Association for Solidarity with	M
		Asylum Seekers and Migrants	
Mustafa Çadir	Department Head	Ministry of Family and Social	M
		Policies, General Directorate on	
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Cross-Border Programn	ne		
Jennifer Miquel	Regional Gender-based Violence	UNFPA	F
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Maria Margherita	Gender-based Violence Specialist,	UNFPA	F
Maglietti	Gaziantep and Syria		
Pamela Di Camillo	Gender-based Violence Sub-Cluster	UNFPA	F
	Co-lead, Gaziantep and Global		
	Communities		
Rebecca Sonntag	Information Management and	UNFPA	F
	Monitoring and Evaluation Specialist,		
	Syria and Jordan		
Victoria Shepard	Protection Cluster Coordinator,	UNHCR	F
	Gaziantep		
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	Development Programme		
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	Programme (Western Turkey)		
Fatma Hacıoğlu	Manager of Humanitarian	UNFPA	
	Programme (Eastern Turkey)		
Nepal			
Lubna Baqi	Country Representative?	UNFPA	F
Kristine Blokhus	Deputy Representative	UNFPA	F
Pratibha Rijal	GBV Project Coordinator	UNFPA	F
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	Officer		
Sudan			
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Dalia Hassaballa	Gender Based Violence Officer	UNFPA	F
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Ephraim Kimani	GBV Coordinator	UNFPA	М
Elke Mayrhofer	Sub-sector Coordinator	UNFPA	F
Sierra Leone – (it was not possible to secure remote interviews)			

# Annex 4 – List of documents consulted

#### Global/HQ level documents

#### **UNFPA Strategic documents**

UNFPA, Strategic Plan2012 - 2013 Strategic Plan (Following Mid-term review of 2008 - 2013 SP)

UNFPA Strategic Plan 2014-2017

Strategic Plan (SP) 2014-2017 - Annex 1 (integrated results framework)

Strategic Plan (SP) 2014-2017 - Annex 2 (outcome theories of change)

Strategic Plan (SP) 2014-2017 - Annex 4 (funding arrangements)

Strategic Plan (SP) 2014-2017 - Annex 5 (implementation of the Quadrennial Comprehensive Policy Review (QCPR) in the Strategic Plan)

2030 Agenda for Sustainable Development - Final Resolution

Ensuring Universal Access to Sexual and Reproductive Health and Rights (SRHR) (Incl. A\_Y and Family Planning (FP))

External Environment and implications for UNFPA Strategic Plan 2014-2017

Framework of Actions for the follow-up to the Programme of Action of the International Conference on Population and Development (ICPD) Beyond 2014

HIV - The Changing Landscape and Implications for UNFPA Programmes

Human Rights and Gender in UNFPA's 2014-2017 Strategic Plan - Gender, Human Rights and Culture Branch, Technical Division

ICPD Beyond 2014 - Linkages with the Strategic Framework

Implementing the International Conference on Population and Development (ICPD) - Istanbul Statement of Commitment - May 2012

Mission reports from 2016, 2015, 2014

New Technologies - Opportunities for increasing UNFPA effectiveness in support of SRH Programmes (Strategic Plan discussion paper)

New Ways of Engagement in Resource Mobilization (Analytical Input for the development of Strategic Plan (SP) 2014-2017)

Oppositions and Supporters – UNFPA (Analytical Input for the development of Strategic Plan (SP) 2014-2017)

Population Dynamics as a Key Component of the new Strategic Plan - Population and Development Branch, Technical Division, 2012 (Analytical Input for the development of Strategic Plan (SP) 2014-2017)

Population Issues in the Post-2015 Development Agenda (Analytical Input for the development of Strategic Plan (SP) 2014-2017)

Practical Orientation of new Information and Technologies (ICTs) Opportunities for Advocacy (Analytical Input for the development of Strategic Plan (SP) 2014-2017)

Programming in Middle Income Countries (MICs) - Implications for UNFPA Strategic Plan (SP) 2014-2017

Quadrennial Comprehensive Policy Review (QCPR), UNFPA Position and Implications for the new Strategic Plan

Regional Analysis: UNFPA in the Asia-Pacific Region Beyond 2014

Report of the International Conference on Population and Development, Cairo, 5-13 September 1994

UNFPA Engagement in Rio+20 and Follow-Up (Analytical Input for the development of Strategic Plan (SP) 2014-2017)

UNFPA Engagement with Least Developed Countries (LDCs) - LDC IV and Follow-up (Analytical Input for the development of Strategic Plan (SP) 2014-2017)

UNFPA Report of the Executive Director on Strategic Plan (SP) 2014-2017

UNFPA Strategic Plan 2012-2013 Updated Development and Management Results Framework

UNFPA, Cote d'Ivoire - Suggested Strategic Orientations for 2014-2017 Strategic Plan

UNITED NATIONS POPULATION FUND REVIEW OF THE ORGANIZATIONAL STRUCTURE OF UNFPA Report of the Executive Director United Nations DP/FPA/2007/16 Second regular session 2007 10 to 14 September 2007, New York Item 9 of the provisional agenda

#### **UNFPA Annual Reports**

Integrated midterm review and progress report on implementation of UNFPA strategic plan, 2014-2017

UNFPA Annual Report 2012: Promises to Keep

UNFPA Annual Report 2013: Realizing the Potential

UNFPA Annual Report 2014: A Year of Renewal

UNFPA Annual Report 2015: For People, Planet & Prosperity

Annual Work Plan 2012, 2013 and 2016; Gender Branch

Annual Work Plan 2014-2015, Humanitarian Branch

#### **UNFPA General Financial documents**

Funding the UNFPA Strategic Plan 2014 - 2017

Integrated budget estimates, 2014-2017 United Nations DP/FPA/2014/5/ (Part I)/Add.1Annual session 2014

Integrated budget estimates for Strategic Plan (SP) 2014-2017

Report on Contributions by Member States and others to UNFPA and Revenue Projections for 2014 and future years, 2014

Statistical and Financial Review for 2012

Statistical and Financial Review for 2013

Statistical and Financial Review for 2014

Statistical and Financial Review for 2015

### **Gender-Based Violence (GBV) specific documents**

UNFPA Strategy and Framework for Action to Addressing Gender-based Violence 2008-2011, 2007 Gender, Culture and Human Rights Branch

UNFPA, Gender, Culture, Human Rights Branch, The Role of Data in Addressing Violence Against Women and Girls, 2013, NY

Core Competencies for GBV Programme Managers and Coordinators in Humanitarian Settings – Gender-based Violence Area of Responsibility, 2014

Dignity Kit Programming Guidelines, Humanitarian and Fragile Contexts Branch, 2013

ESA35 – Joint Global Programme on Essential Services for Women and Girls Subject to Violence, Annual Work Plan (AWP) 2016

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Evolution of Gender-based Violence (GBV) Programmes in Emergencies (undated)

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International Center for Research on Women: Work Plan 2015

Managing Gender-based Violence Programmes in Emergencies: E-Learning Companion Guide

Min Standards for Prevention and Response to Gender-Based Violence in Emergencies

The Interagency Task Force on Violence Against Women (VAW), Initiating the Multi-Stakeholder Joint Programme on Violence Against Women: A Review of the Process and Some Key Interim Lessons Learned, 2011

UN SG's Campaign to End Violence against Women Working Group

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# **Annex 5 – Evaluation matrix**

# RELEVANCE

Evaluation question 1: To what extent is UNFPA's work on preventing, responding to and eradicating GBV/HPs – including UNFPA's internal policies and operational methodologies – aligned with international human rights norms and standards, implemented with a human-rights-based approach, and addressing the priorities of stakeholders?

and addressing the priorities of stakeholders?			
Assumption	Indicators	Source of information	Data collection methods and tools
Alignment of UNFPA interventions at global, regional and country level with international, regional and national policy frameworks including strategic plan outcomes	<ul> <li>Alignment of UNFPA's work (in both process and substance) with the guidance of international human rights conventions, instruments and reports;<sup>83</sup> and National Plans of Action, and national genderequality strategies</li> <li>Alignment of humanitarian programmes with relevant IASC, GPC and GBV AoR guidance and best practice and with UNFPA Minimum Standards</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Extended desk review</li> <li>Key informant interviews</li> <li>Realist synthesis</li> </ul>	
UNFPA interventions based on comprehensive situation analyses of affected populations in development and humanitarian contexts	<ul> <li>Inclusion of GBV/HPs in common country assessments, and consolidated humanitarian appeals drawing on diverse data sources including from affected populations and their representatives</li> <li>Proportion of countries in which partners, beneficiaries and/or community representatives are part of the processes of identifying, prioritizing and planning to address GBV/HPs issues</li> <li>UNFPA complements established data gathering mechanisms with actively supporting ongoing consultative processes in programme planning and monitoring to anticipate shifts particularly in humanitarian contexts not monitored by other agencies</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Extended desk review</li> </ul>	

<sup>83</sup> SDG5, CEDAW CRC concluding observations, ICPD and Istanbul articles, UNGA resolutions and joint and multi-stakeholder programmes guidance on violence against women, FGM and child marriage, Essential Services for Women and Girls Subject to Violence (with UN Women), The UN System Wide Action Plan on Gender Equality and the Empowerment of Women, the region-specific declarations (e.g. the Maputo Declaration).

UNFPA interventions are based on gender analysis and address underlying causes of GBV and HPs through non-discrimination, participation, and accountability.

- Proportion of sampled interventions with specific design features intended to reduce discriminatory barriers, increase participation of rights holders, and to ensure downward accountability to affected populations
- Proportion of sampled interventions that include a comprehensive gender analysis in the design phase, and specifically target the underlying causes of gender inequality (including through synergies with the UN system and other partners)
- Country case studies
- Regional case studies
- Extended desk review
- Internet survey





Evaluation question 2: To what extent is UNFPA programming on GBV/HPs systematically using the best available evidence to design the most effective combination of interventions to address the greatest need and leverage the greatest change?

Assumption	Indicators	Source of information	Data collection methods and tools
UNFPA interventions are aligned with its comparative strengths across settings informed by a robust mapping of other in- country stakeholders and support including at subnational level or in areas/populations at risk	<ul> <li>Proportion of countries in which UNFPA interventions achieve strong synergies, address gaps and avoid duplication with other actors, especially UN entities and civil society</li> <li>Proportion of countries in which UNFPA is regularly involved in country-wide/multisectoral assessments and reviews of need for country program planning</li> <li>Proportion of countries in which technical capacity on GBVIE (GBV in emergencies) within UNFPA and among partners is being expanded</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Extended desk review</li> </ul>	
UNFPA interventions based on coherent and robust theories of change which can adapt to rapidly shifting situations and contexts	<ul> <li>Proportion of UNFPA GBV/HPs interventions clearly based on an explicit and relevant theory of change, and the proportion of these linked to either the 2008 Framework for Action or global theories of change embedded in GVB-related joint programmes</li> <li>Alignment of UNFPA's global theory of change for GBV/HPs with ToCs of relevant global leadership (UN Women, UNITE, Girls Not Brides), global good practice and critical theory</li> <li>Proportion of countries in which UNFPA GBV/HPs interventions achieve practical linkages, are mutually supportive, and connect with wider SRH and GE work</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Extended desk review</li> </ul>	

#### **ORGANIZATIONAL EFFICIENCY** Evaluation guestion 3: To what extent did UNFPA's international leadership, coordination, and systems enable sufficient resources to be made available in a timely manner to achieve planned results? Source of Data **Assumption** Indicators information collection methods and tools UNFPA support is sustained to Evidence of inclusion of GBV in UNFPA Kev **GBV** and specific HPs across informant strategic priorities strategic plan periods at the interviews Number (and percentage) of countries global, regional and country level supported by UNFPA to develop GBV/HPs Realist synthesis policy and programmatic responses (disaggregated by context – humanitarian and post-conflict settings Level of resources allocated to GBV/HPs in UNFPA strategic plans by core support, program support, special and joint projects Number, responsibilities, and follow-up of persons trained through UNFPA support in programming for GBV and gender equality in both development and humanitarian settings UNFPA provides leadership on Inclusion of GBV in international, regional, Country sexual and reproductive rights, and national development and case health and gender equality within humanitarian frameworks, especially studies international, regional and Agenda 2030/FFD, GBV AoR Regional national fora (including UN Use of UNFPA-supported or produced case coordination) materials and engagement of UNFPA or studies country partners as technical experts to Kev inform work of other development and informant humanitarian agencies interviews Proportion of stakeholders attributing Realist increased awareness, understanding, and synthesis engagement regarding GBV/HPs to UNFPA or UNFPA-supported activities or outputs GBV/HPs integrated into CCAs, UNDAFs and humanitarian appeals · RCs, HCs, and SRSGs advocate for coordinated and sufficient support to present and respond to GBV/HPs Number of countries with UNFPA playing an active leadership or coleadership role within the UNCT GTG and/or GBV sub-cluster **UNFPA** systems and structures Extent/frequency with which UNFPA's Country support economy, efficiency, systems support teams to procure the right case timeliness and cost effectiveness services/goods at the right price at the right studies Regional Intervention implementation rates case studies Achievement of outputs vis-à-vis funds

raised and spent

Availability of surge support for GBViE

Internet

survey

Evaluation question 4: To what extent has UNFPA leveraged strategic partnerships to prevent, respond to and eliminate GBV, including support to the institutionalization of programmes to engage men and boys in addressing GBV-related issues?

Assumption	Indicators	Source of information	Data collection methods and tools
Diverse and inclusive partnerships engaged through well well-governed and accountable partnerships that offer mutual benefits, including with civil society and men and boys	<ul> <li>Proportion of UNFPA's strategic partnerships demonstrating inclusiveness, transparency, trust, mutual accountability, shared long-term commitment and responsiveness</li> <li>Proportion of countries in which civil society organizations have supported the institutionalization of programmes with non-traditional audiences, including to engage men and boys on gender equality (including GBV), sexual and reproductive health and reproductive rights</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Internet survey</li> </ul>	
Strategic partnerships catalyse and accelerate positive changes	<ul> <li>Proportion of UNFPA's strategic partnerships for GBV/HPs with evidence of positive expected and unexpected results that UNFPA could not have achieved directly or within the same time</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Internet survey</li> <li>Key informant interviews</li> </ul>	

EFFECTIVENESS		interviews	
development, information and know	nt has UNFPA contributed to strengthened nation when the service delivery, and the service delivery, and the service delivery, and the services across different services.		
Assumption	Indicators	Source of information	Data collection methods and tools
Strengthened national and civil society capacity to protect and promote gender equality through development and implementation of policies and programmes across the development-humanitarian continuum	<ul> <li>Number (and percentage) of countries supported by UNFPA to develop GBV/HPs policy and programmatic responses (disaggregated by context – diverse humanitarian settings)</li> <li>Number of countries that have national humanitarian preparedness plans in place that include prevention of and response to GBV</li> <li>Number of UNFPA government partners that have received training on SRH / GBViE (such as MISP training)</li> </ul>	<ul> <li>Country case studies</li> <li>Extended desk review</li> <li>Realist synthesis</li> </ul>	**************************************

- Number of UNFPA civil society partners that have received training on SRH / GBViE (such as MISP training)
- Proportion of countries in which civil society is effectively holding government to account and engaged in partnership with state and non-state actors to enforce
- Proportion of countries that support government and partners to undertake resource planning, budgeting, financing and implementation, monitoring and evaluation, of programming addressing GBV/HPs within integrated SRH programming.

**Enhanced information and** knowledge management to address GBV and HPs, including increased availability of quality research and data for evidencebased decision-making

- Percentage of settings in which UNFPAsupported evidence is being used to inform decision-making
- Level of access of online GBV/HPs data and research published by UNFPA
- Proportion of countries in which sex and age disaggregated data (SADD) is routinely, ethically and robustly collected, analysed and disseminated to support evidencebased interventions for GBV/HPs risk reduction, mitigation, prevention and response and broader gender equality goals
- Country case studies
- Regional case studies
- Extended desk review
- Internet survey
- Realist synthesis











**Quality services promoting** gender equality, freedom from violence and well-being

- Proportion of countries with availability of specialist services for relevant groups including survivors of GBV/HPs, adolescents and youth, boys and men, highly discriminated-against groups, physically and developmentally disabled, or mentally
- In humanitarian settings, number of project proposals scoring a 2a or 2b on the Gender Marker
- Number of countries with GBV prevention, protection and response integrated into national SRH programmes
- Proportion of countries with robust referral systems for survivors of GBV/HPs including clinical, psychosocial, legal / justice, shelter, and economic empowerment components

- Country case studies
- Extended desk review
- Realist synthesis







Advocacy, dialogue convening and coordination advances national operationalization of international commitments, including through (coleadership of the GBV area of responsibility)

- Number of communities supported by UNFPA that declare the abandonment of **FGM**
- Proportion of governments that commit and allocate more domestic resources to SRH, **GBV** and HPs interventions
- Country case studies
- Regional case studies





- Number of countries with UNFPA playing an active leadership or co-leadership role within the UNCT GTG and/or GBV subcluster at the national level
- Percentage of countries affected by a humanitarian crisis that have a functioning inter-agency gender-based violence coordination body as a result of UNFPA guidance and leadership
- Extended desk review
- Internet survey
- Realist synthesis



Evaluation question 6: To what extent has UNFPA support to strengthened policies, capacities, evidence, services and coordination contributed to the prevention, response to and elimination of GBV and harmful practices across different settings?

Assumption	Indicators	Source of information	Data collection methods and tools
Gender equality and sexual and reproductive rights policies enforced	<ul> <li>Proportion of supported countries in which implementation of SRR policies are integrated into national and local budgets, sector plans, and national monitoring systems</li> <li>Proportion of countries which effectively enforce criminal law relating to GBV and HPs</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Extended desk review</li> <li>Internet survey</li> <li>Key informant interviews</li> <li>Realist synthesis</li> </ul>	
Informed, effective and inclusive participation in decision-making to change social norms	<ul> <li>Proportion of supported countries in which policy and budget processes include participation by recognized rights-holders representatives and community groups</li> <li>Proportion of countries in which structured processes exist for elected representatives to engage in public forums on GBV and HPs, including with organized civil society, social movements, coalitions of adolescents and youth, solidarity groups of men and boys, and local governance among displaced populations.</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Extended desk review</li> <li>Internet survey</li> <li>Key informant interviews</li> <li>Realist synthesis</li> </ul>	

High quality, accessible and effective services for sexual and reproductive health and wellbeing

- Proportion of countries with sufficiently resourced, accessible, acceptable, high quality services which promote and support gender equality and freedom from violence, sexual and reproductive health, and women's and girls' well-being.
- Proportion of the population with access to services, including through public and private partnerships.
- Country case studies
- Regional case studies
- Extended desk review
- Internet survey
- Key informant interviews
- Realist synthesis





**GBV** and **HPs** integrated into life-saving structures and agencies

• Evidence of GBV AoR / Sub-cluster promoting GBV mainstreaming activities throughout HC / HCT / other clusters under UNFPA leadership / co-leadership

- Country case studies
- Regional case studies
- Extended desk review
- Internet survey
- Key informant interviews
- Realist synthesis











# **SUSTAINABILITY**

Evaluation question 7: To what extent have UNFPA's interventions and approaches contributed (or are likely to contribute) to strengthening the sustainability of international, regional, national and local efforts to prevent and eradicate GBV and harmful practices, including through coverage, coherence and connectedness within humanitarian settings?

Assumption	Indicators	Source of information	Data collection methods and tools
Political will and national ownership of GBV and HPs interventions (including integration of GBV and HPs into national financing arrangements)	<ul> <li>Number (and percentage) of countries supported by UNFPA to develop GBV/HPs policy and programmatic responses</li> <li>Proportion of countries with primary legislation that supports and action against GBV and HPs</li> <li>Proportion of countries with specific programmes or budget lines for</li> </ul>	<ul> <li>Country case studies</li> <li>Regional case studies</li> <li>Extended desk review</li> </ul>	

addressing GBV/HPs at the national Internet survey Key informant interviews Capacity of local and national Number of countries that have health, Country stakeholders to prevent and social and economic asset-building case respond to GBV and HPs programmes that reach out adolescent studies girls at risk of child marriage Extended Number of countries that have desk humanitarian contingency plans that review include elements for addressing sexual and Internet reproductive health needs of women, survey adolescents and youth including services for Realist survivors of sexual violence in crises synthesis Coverage, coherence and • Percentage of countries affected by a Country connectedness of humanitarian humanitarian crisis that have a case response to GBV and HPs functioning GBV AoR / Sub-cluster as a studies result of UNFPA guidance and leadership Regional • Evidence of UNFPA leadership / cocase leadership of the GBV AoR / Sub-cluster at studies national / subnational levels Extended desk review Internet survey Key informant interviews Realist synthesis

# Annex 6 – Evaluation methodological approach

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#### 1. Evaluation methodological approach

# 1.1 Overview of the evaluation process

The overall evaluation consists of four phases, subdivided into subsequent methodological stages and related deliverables (see Figure 1).

Figure 1: Evaluation process overview



# 1.2 Overview of the evaluation design and approach

The design principles of the evaluation are guided by United Nations Evaluation Group (UNEG) norms and standards (2016) and guidance on integrating human rights and gender equality in evaluation. The evaluation adhered to UNEG ethics standards was informed by the UNFPA evaluation policy and quality assessment system.

The evaluation used a mixed-methods design to generate evidence on the causal chain connecting the UNFPA

interventions and consider how they collectively contribute to the observed outcomes. The evaluation applied qualitative (realist synthesis, contribution analysis) and quantitative (qualitative comparative analysis, frequencies) analytical methods in parallel and sequentially to triangulate both qualitative and quantitative data. This was combined with a reconstruction and interrogation of the theory of change (ToC) (see Box 1).

The reconstructed ToC was used as a basis for contribution analysis: (i) qualitative assessment of the contribution programming is making to observed results; (ii) based on verifying the ToC; (iii) taking into consideration other influencing factors, and logically inferring causality.

The evaluation applied the following design principles:

- Methods of data collection and analysis that apply human rights principles (participation, nondiscrimination, accountability) (see Table 1 below).
- Methods of sampling and data analysis that support organisational learning (positive deviance<sup>51</sup>, appreciative enquiry).
- Methods that are consistent with theory and system-based approaches, utilisation-focussed evaluation, and feminist evaluation (Collaborative Outcomes Reporting Technique – CORT -, contribution analysis).

# **Box 1: Evaluation process overview**

The evaluation found no existing corporate overarching theories of change for GBV and harmful practices.

To guide the evaluative enquiry, an *ex-ante* ToC was reconstructed from the desk review of UNFPA documents. This was used to organise evidence and test the assumptions that are the basis for UNFPA programming.

The reconstructed ToC was updated during the country and regional case studies to reflect the emerging findings. This was finalised into the *expost* ToC presented in this report.

Table 1: Integration of human rights and gender equality

UN-SWAP Criteria	Implementation in the evaluation	Main limitations of the approach
1. Integration into scope and indicators	Assumptions and indicators make explicit reference to: (1) human rights norms, standards and principles, (2) gender equality and analysis, and (3) empowerment. Scope explicitly addresses gender-based violence (GBV) against women and girls, and harmful practices.	No collection of primary activity and results data, which would allow for disaggregation of effects.  Disaggregation limited to binary sexes, and main institutional identities.

<sup>&</sup>lt;sup>51</sup> Positive Deviance (PD) refers to a behavioural and social change approach which is premised on the observation that in any context, certain individuals confronting similar challenges, constraints, and resource deprivations to their peers, will nonetheless employ uncommon but successful behaviours or strategies which enable them to find better solutions. Through the study of these individuals – subjects referred to as 'positive deviants' – the PD approach suggests that innovative solutions to such challenges may be identified and refined from their outlying behaviour.

2 Integration into criteria and	Criteria defined in terms of	Mara avalisit reference to gender equality
2. Integration into criteria and		More explicit reference to gender equality,
questions	applicability to GBV and	women's empowerment, and human rights
	harmful practices.	under 'relevance' and 'effectiveness' than
	Questions explicitly	under 'efficiency' or 'sustainability'.
	address gender and human	
	rights norms.	
3. Integration into methods	CORT is grounded in	Limited involvement of rights holders as
	empowerment and human	agents in data collection; and only consulted
	rights principles of	in country cases.
	inclusive participation.	Participation in 'meaning making' limited to
	Country cases included the	the level of participation (e.g. site visit,
	voice of rights holders.	
	Mixed quantitative and	country case, regional case, or global reference group).
	•	reference group).
	qualitative data analysis	
	methods are suitable for	
	exploring gender.	
4. Integration into analysis	Analysis responds directly	Intersectional analysis restricted to gender,
(findings, conclusions and	to gender and human	ethnic and regional identities; with limited
recommendations)	rights assumptions in the	consideration of other systems of power –
	evaluation matrix.	including political affiliations, livelihoods,
	Contribution analysis	religion, or race.
	examines interventions	
	against human rights	
	principles and based on	
	gender-responsive ToC.	
	Quantitative analysis	
	includes gender attributes	
	as indicators.	
	Extensive discussion of the	
	definition of GBV.	

# **Analysis of contributions**

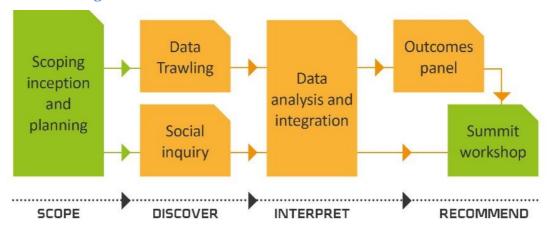
The approach to contribution analysis for this evaluation encompassed four key elements:

- 1) Developing, reconstructing and validating programmatic theories of change.
- 2) Documenting the evidence available to inform a performance story.
- 3) Building both a macro and micro-level **contribution story** by systematically assessing the intended and unintended effects of UNFPA interventions.
- 4) Systematically reviewing the primary and secondary evidence for outcomes using a realist-synthesis method.

The overarching contribution analysis is influenced by CORT<sup>52</sup> and complemented by the portfolio analysis. CORT is a participatory branch of contribution analysis developed by Dr Jess Dart and is appropriate for gender-responsive and human rights-based thematic evaluations.

<sup>&</sup>lt;sup>52</sup> Available at <a href="http://betterevaluation.org/plan/approach/cort">http://betterevaluation.org/plan/approach/cort</a>.

Figure 2. Four stages of CORT



**Triangulation techniques** include cross-comparing the information obtained across various data collection methods (e.g. comparing data from interviews with data from desk review or survey) and within a method from different sources (e.g. comparing results obtained through interviews with government staff with those of rights holders).

# 1.3 Analytical framework – evaluation questions and criteria

The evaluation adheres to the UN Evaluation Group and OECD-DAC criteria (relevance, efficiency, effectiveness, and sustainability) in conducting the evaluation. Impact is intentionally excluded since the scale and purpose of the evaluation does not prioritise this criterion, which would require a different design and sampling approach to be applied.

The definition of these criteria was modified from the terms of reference to encompass **coverage**, **connectedness**, **and coherence**<sup>53</sup> for evaluating UNFPA's support to GBV in humanitarian response. The evaluation questions were tested and refined iteratively during the inception phase. Evaluation hypotheses (assumptions) were developed, tested and refined during the Pilot Case Study and inception phase consultations with the Evaluation Reference Group.

**Table 2: Evaluation criteria and questions** 

Evaluation criteria, dimensions and definition	Evaluation questions
Relevance to international norms, national needs, the needs of affected populations, government priorities and UNFPA policies and strategies, and how they address different and changing national contexts.	EQ 1: To what extent is UNFPA's work on preventing, responding to and eradicating GBV/harmful practices—including UNFPA's internal policies and operational methodologies — aligned with international human rights norms and standards, implemented with a human rights-based approach, and addressing the priorities of stakeholders? <sup>54</sup> EQ 2: To what extent is UNFPA programming on GBV/harmful practices systematically using the best available evidence to

<sup>&</sup>lt;sup>53</sup> Used by the OECD DAC to evaluate in complex emergencies and conflict-affected areas.

<sup>&</sup>lt;sup>54</sup> Including international, regional, national, and subnational partners, global alliances, and affected populations.

	design the most effective combination of interventions to
	address the greatest need and leverage the greatest change?
Organisational efficiency in terms of how funding, personnel, administrative arrangements, time and other inputs contributed to, or hindered the achievement of results; how well inputs were combined.	EQ 3: To what extent did UNFPA's international leadership, coordination, and systems enable sufficient resources <sup>55</sup> to be made available in a timely manner to achieve planned results?
	EQ 4: To what extent has UNFPA leveraged strategic partnerships to prevent, respond to and eliminate GBV, including support to the institutionalisation of programmes to engage men and boys in addressing GBV-related issues?
<b>Effectiveness</b> regarding the extent to which intended results were achieved.	EQ 5: To what extent has UNFPA contributed to advocacy and policy dialogue for strengthened national policies, national capacity development, information and knowledge management, service delivery, and leadership and coordination to prevent, respond to, and eradicate GBV and harmful practices across different settings?  EQ 6: To what extent has UNFPA support contributed to the prevention, response to and elimination of GBV and harmful practices across different settings?
Sustainability of the benefits from UNFPA	
support in terms of whether they are	
likely to continue after support has been	
completed.	EQ 7: To what extent have UNFPA's interventions and
<b>Coverage</b> of population groups facing GBV	approaches contributed (or are likely to contribute) to
and harmful practices wherever they are.	strengthening the sustainability of international, regional,
Connectedness between short-term	national and local efforts to prevent and eradicate GBV and
emergency response and longer-term prevention of GBV and harmful practices.	harmful practices, including through coverage, coherence and connectedness within humanitarian settings?
Coherence of UNFPA policies with	connectedness within numanitarian settings:
humanitarian and human-rights	
standards.	
Statiualus.	

A full Evaluation Matrix is included in Annex 5 (Global context and the UNFPA response). Each evaluation question is elaborated with assumptions that are based on the reconstructed ToC. The benchmark for each assumption is the level of performance implied by the UNFPA Strategic Plan and Country Programme Development Results Frameworks.

# 1.4 Evaluation components

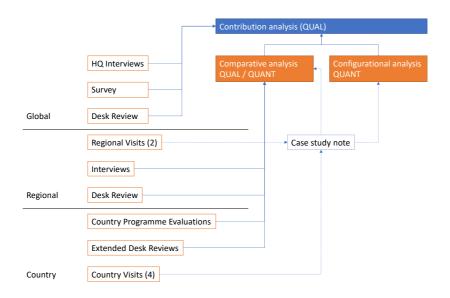
The evaluation used multiple lines and levels of evidence. The main levels of analysis as described below and depicted in Figure 2 were:

- Global through key informant interviews, desk review, survey, the Global Evaluation Reference Group and country reference groups for the case studies.
- Regional through two regional case studies, key informant interviews, desk review, survey and the Evaluation Reference Group.

<sup>&</sup>lt;sup>55</sup> Financial, human, time, management and administrative.

 Country – through four country case studies, eight extended desk reviews, the global survey, and synthesis of country programme evaluations that were independently assessed to meet or exceed UNFPA evaluation report standards.<sup>56</sup>

Figure 2: Evaluation lines and levels of evidence and analysis



### Sampling

The guiding principles for the sampling criteria are linked to the mixed summative (backward-looking) and formative (forward-looking) purpose of the evaluation, and the consideration to include support settings in which UNFPA works along a development-humanitarian continuum. To generate an illustrative sample, **purposive sampling was used with selection criteria** established by the evaluation terms of reference for both country and regional case studies. The sample frame was comprised of all countries with presence of UNFPA GBV and/or harmful practices programming. <sup>57</sup> This was further narrowed to an operational sample frame of 60 countries, based on the top 10 countries for expenditure on GBV and harmful practices in each of the six UNFPA regions.

Following a joint review of the rationale and in consultation with the Evaluation Reference Group the final selection of country cases was: **India, Guatemala, Uganda, and Palestine**.

The sampling process for the desk review prioritised coverage across the six regions in which UNFPA operates. Given the learning purpose of the evaluation, it intentionally oversampled criteria, including a development-humanitarian continuum response, and the occurrence of multiple types of harmful practices to avoid very small samples that would prevent triangulation.

Based on the sample frame, the sample criteria, and the learning purpose of the evaluation, the following extended desk reviews were completed: **Iraq, Central African Republic, Sierra Leone, Sudan, Nepal, Bolivia, Turkey, Bosnia and Herzegovina**. Detailed justifications for the final set of countries for both field missions and extended desk reviews are included in the Inception Report<sup>58</sup>.

<sup>&</sup>lt;sup>56</sup> Conducted between 2011 and 2015 with an Evaluation Quality Assessment of 'Good' or 'Very Good': Albania, Botswana, Sudan, Armenia, Morocco, Swaziland, Turkmenistan; Azerbaijan, Lebanon, Tajikistan, Turkey, Uzbekistan, Zimbabwe; Bosnia & Herzegovina; Cameroon, Nigeria, Madagascar, South Africa, Togo; Bolivia.

<sup>&</sup>lt;sup>57</sup> Available here: <a href="https://www.unfpa.org/sites/default/files/admin-resource/1">https://www.unfpa.org/sites/default/files/admin-resource/1</a>. Final TOR GBV Evaluation June 24 AC.pdf.

<sup>&</sup>lt;sup>58</sup> Available here: https://www.unfpa.org/sites/default/files/admin-resource/Inception\_Report\_-\_FINAL.pdf.

In combination, the country case studies and the extended desk reviews lead to the following levels of proportionality with the sample framework (see Table 3).

Table 3: Proportionality of the proposed country cases compared to UNFPA's sample frame

		Sample frame	Proportional sample (n=12)	Actual sample	Proportionality
Investment	High	23%	3	3	Proportional
	Medium	23%	3	5	Over
	Low	53%	6	4	Under
Quadrant	Red	43%	5	5	Proportional
	Orange	18%	2	3	Slightly over
	Yellow	13%	2	2	Proportional
	Pink	25%	3	2	Slightly under
Joint programmes	Single	27%	3	6	Over
	Multi	8%	1	1	Proportional
Types of harmful practices	Multi	18%	2	2	Proportional
Income	High	4%	0	0	Proportional
	Upper-middle	22%	3	3	Proportional
	Lower-middle	41%	5	5	Proportional
	Low	33%	4	4	Proportional
Humanitarian	Yes	67%	8	11	Over
Continuum	Yes	10%	1	3	Over
	1	1	1	1	l

In addition to the country case studies, the evaluation featured two regional case studies: **Asia Pacific and Eastern Europe and Central Asia.** Selection of the regional case studies was specified by the terms of reference based on: (1) expenditure, (2) humanitarian context, and the (3) range of programming. Detailed justifications are included in the Inception Report.

Figure 3: Countries and regions selected for the case studies and extended desk reviews



Involvement of stakeholders in the evaluation

In line with a human rights-based approach to evaluation, a systems-based approach (critical system heuristics) was used to map the key categories of stakeholders in UNFPA's interventions, disaggregated by human rights roles and an intersectional gender analysis where relevant. The stakeholder analysis forms the basis of both the sampling approach and participation in the methodological design of the evaluation. Not all stakeholders are included in the evaluation (such as perpetrators of violence), but they are nevertheless included in the stakeholder analysis so as to make the boundary judgements of the evaluation explicit. Detailed descriptions of the analysis are included below.

Table 4: Identification of stakeholders using Critical Systems Heuristics (CSH)

CSH role	Target	Decisionmakers	Professional	Witnesses
	populations		knowledge	
Rights	Women-	Households	Programme and	Frequently invisible groups
holders	across the life		evaluation	Perpetrators
	cycle		informants from	'
	Men-across		participatory	
	the life cycle		processes	
	Girl child			
Principal		Legislature		National Human Rights
duty bearers		Central government		Commissions
Primary duty	Women-	Local government	National	Women-across the life cycle
bearers	across the life	Judiciary, lawyers,	institutions	Men-across the life cycle
	cycle	police		Security forces
	Men-across			
	the life cycle			
Secondary		UNFPA, UN System	UN system	Civil society
and tertiary		Donors	Civil society	UNFPA
duty bearers		Implementing	HR supervisory	Populist and reactionary
		partners	bodies	politics/media/institutions
			Knowledge	Non-protection
			communities	humanitarian clusters
			Individual	
			specialists/experts	

In total, the evaluation consulted with 932 people.

Table 5: Distribution of interviewed people by stakeholder type and by level of analysis

	Female	Male	Not given	Total
Community level	197	88		285
UNFPA	120	48	39	207
Civil Society				
(NGO/CSO)	133	48	6	187
Government	72	27		99

UN	41	11	8	60
Local leader	29	17		46
Development				
Partners	11	6		17
Expert/academic	14	2		16
Donor	13	2		15
Total	630	249	53	932

## 1.5 Methods for data collection

The majority of primary data collection methods were qualitative and illustrative; secondary data collection drew on a mix of quantitative financial and qualitative report data. Data collection was undertaken at all levels at which UNFPA works: country, regional and global (HQ). The data collection efforts focussed on progress being made by UNFPA, and how initiatives and activities are contributing to the observed outputs and outcomes. The case studies provided invaluable responses to the key 'how' and 'why' questions that could not be satisfactorily answered through surveys or desk review. The evaluation applied eight main methods to collect primary and secondary data as evidence (see Table 6 below).

Table 6: Data collection tools used by the evaluation

	Tool	Description	Integration of human rights and gender equality
& & & & & & & & & & & & & & & & & & &	Group interview	One-to-many facilitated discussion (country and regional case studies).	Confidentiality
1	Semi-structured interview	One-to-one confidential interview (headquarters, regions and countries).	Informed verbal consent Same-sex facilitators Comparable power and status Use of translators to local languages
6-9	Observation	Site visits to projects (countries).	ose of translators to rocal languages
	Secondary data review	Desk review (including text coding of documented sources).	Mapping of evidence to human rights norms and standards Use of human rights language Application of feminist critical analysis
// 立	Internet survey	Electronic survey using SurveyMonkey of UNFPA staff, UN and implementation partners.	Respondent disaggregation Confidentiality Multilingual versions of the survey Software compatible with accessibility
<b>**</b>	Workshop	Facilitated events	
	Validation	Debriefs and mini-presentations (national reference groups in country case studies, global reference group).	Informed verbal consent Comparable power and status
	Reference group	Structured process of commenting on draft versions of documents with transparent feedback from the evaluators.	Used of human rights language Audit matrix of evaluator responses

## Country and regional case studies

Each case study was based on a participatory process that included a debrief/workshop with a local reference group to support participatory analysis and interpretation of the performance story for UNFPA in a given context. This was captured in the country and regional case study notes. <sup>59</sup> A detailed outline of the case study process is included in Annex 6 (Evaluation methodological approach; Global context and the UNFPA response and; additional analyses).

## **Global survey**

A global online survey was undertaken to generate quantifiable and narrative data from all UNFPA programme presence countries<sup>60</sup> and regions. The data was used to extend and triangulate the findings of the country and regional case studies, in particular the prevalence of different intervention mechanisms and types of outcomes. The survey targeted three segments: UNFPA staff, other UN agency staff and implementing partner staff. Table 7 and Table 8 summarise the responses.

The survey faced challenges in ensuring a sufficient response rate and coverage of valid responses. As a consequence, the data provides an additional source of illustrative evidence, but cannot be considered to be a representative sample. Given this limitation, quantitative data from the survey has been used to compare and contrast (triangulate) with multiple other lines of evidence to assess wider patterns, and qualitative data has been included with examples and insights from the case studies.

**Table 7: Responses to global survey** 

	Number	Coverage
Survey responses	103	-
Valid responses	63	61% responses
Countries represented	34	21% UNFPA portfolio
Regional offices represented <sup>61</sup>	4	66%
UN entities represented	5	UNFPA, UNICEF, UNDP, UN-Women, WHO
Implementing partners represented	12	2 universities, 4 international NGOs, 5 local NGOs, 1
		national ministry

Source: Online Survey by the Evaluation Team

Table 8: Regional coverage of global survey

Region	Total # of UNFPA COs in the region	# of COs that responded	Response rate
East & Southern Africa	22	7	32%
West & Central Africa	23	6	26%
Arab States	20	5	25%
Asia & the Pacific	36	7	19%
Eastern Europe &	17	3	18%
Central Asia			

<sup>&</sup>lt;sup>59</sup> Country and regional case study notes are available here: <a href="https://www.unfpa.org/admin-resource/evaluation-unfpa-support-prevention-response-and-elimination-gender-based-violence">https://www.unfpa.org/admin-resource/evaluation-unfpa-support-prevention-response-and-elimination-gender-based-violence</a>.

<sup>&</sup>lt;sup>60</sup> Countries were identified using the IP address of respondents.

<sup>&</sup>lt;sup>61</sup> Asia and the Pacific Regional Office, Eastern Europe and Central Asia, Latin America and the Caribbean (the three regional case studies) and the Arab States regional offices.

Latin America & the	41	6	15%
Caribbean			

Source: Online Survey by the Evaluation Team

## 1.6 Methods for data analysis

Analytical methods combined qualitative and quantitative approaches. A global survey provided the opportunity to generate primary quantitative data (frequencies), and the configurational analysis of case studies allowed for quantification of patterns in qualitative data. In addition, the realist synthesis drew on multiple sources of quantitative data, including financial records and the results monitoring systems. Different methods were used in parallel and sequentially to achieve triangulation of evidence through deepening findings from one source with other sources, cross-comparison between different methods and sources, and verifying the emerging findings from the case studies with the global survey.

Table 9: Data analysis methods used by the evaluation

Tool	Description	Integration of human rights and gender equality
Frequency analysis (survey; country and regional cases, global)	Quantitative analysis in Excel identifying the frequency of correlation between two attributes; or number, average or total values of attributes.	Survey responses disaggregated by sex; outputs and outcomes defined in terms of women's human rights.
Financial analyses (ATLAS data)	Quantitative analysis in Excel of number, average, and total values; and trends and spreads over time.	Examination of expenditure on GBV and harmful practice outputs and outcomes.
Configurational analysis based on Qualitative Comparative Analysis (country cases)	Quantitative analysis in EvalC3 of qualitative attributes that have been grouped into binary sets. Identifies statistically necessary and sufficient conditions for an outcome to be present or absent.	Outputs and outcomes defined in terms of women's human rights, context attributes include Gender Inequality Index (Source: UNDP).
Realist synthesis (all sources)	Qualitative synthesis in Nvivo and Word of all available evidence that seeks to identify underlying causal mechanisms and explore how they work, for who, under what conditions.	Examination of alignment with human rights standards and principles; reference to human rights normative instruments; inclusion of voice of rights holders.
Comparative and critical analysis (case studies)	Qualitative participatory and expert-led analyses based on comparing and contrasting case studies with each other, secondary examples, and theory.	Examination of alignment with human rights standards and principles; inclusion of voice of rights holders; participatory meaning-making with UNFPA stakeholders.
Contribution analysis	Qualitative assessment the contribution programming is making to observed results, based on verifying the ToC, taking into consideration other influencing factors, and inferring causality.	Examination of alignment with human rights standards and principles; gender analysis of power and reach; validation by evaluation reference groups.

## Final data analysis and reporting phase

The evaluation included two workshops: (i) data analysis on emerging findings from data collection; (ii) on conclusions and recommendations involving the Evaluation Team and the UNFPA Evaluation Manager. The analysis included interrogation of the ToC, and evaluation matrix assumptions. This examined combinations of factors across the country cases that contributed to prevention, response, and progress in elimination of GBV and harmful practices.

The evaluation combines a total of 14 case studies (four country-level, two regional-level and eight desk base studies) to make an in-depth inquiry into 'a specific and complex phenomenon (the 'case'), set within its real-world context of UNFPA's support to the prevention of, response to, and elimination of GBV'. Across-case generalisation at the country level was used to identify common issues or themes to be studied and reexamined. This supported general propositions to be developed.

The evaluation triangulates analysis and findings along multiple axes. Multiple evaluators were involved in each stage of the evaluation to triangulate perceptions and perspectives. Multiple data collection methods, types of data and levels of evidence are used to develop each finding by examining convergence, corroboration or correspondence in the evidence. Evidence from multiple groups of stakeholders is used to develop each finding. Multiple findings are used to develop each conclusion and each recommendation. The analysis of the Evaluation Team is triangulated with the case study reference groups and the Global Reference Group through seeking out paradoxes, contradictions, or fresh insights.

#### 1.7 Ethical considerations

The evaluation was guided at all times by the UNEG Ethical Guidelines and the UNEG Code of Conduct for Evaluation in the UN System. Specific commitments included: (1) independence and impartiality, (2) credibility and accountability, (3) rights to self-determination, fair representation, protection and redress, (4) confidentiality, (5) avoidance of harm, (6) accuracy, completeness and reliability, and (7) transparency.

The evaluation abided by the ethical standards for violence against women and girls (VAW) research and evaluation. In accordance with ethical and ethnographic norms, the evaluators did not work directly with any stakeholder below 15 years of age. The perspective of children was gained through interviews with researchers.

#### 1.8 Limitations and mitigating actions

There can be significant challenges when evaluating progress toward outcomes of interventions designed to deliver gender-related changes, including changes in social norms. This is because such process-type results and outcomes are not simple to measure. The evaluation approach drew upon learning from other evaluations about what works in GBV programming to inform the approach and mitigate well-known challenges.

Since social norms and behaviours cannot be systematically untangled to directly attribute change to a specific programme component, it is necessary to frame outcomes conceptually as contributions that are one (significant) factor among many influencing prevalence as well as policy. Comparing the UNFPA theories of change against the evidence enables exploration of the contribution each intervention has made to observed outcomes. Theory-based evaluation is a particularly suitable methodological approach because it permits the evaluation of complex theory-based programmes where counterfactuals are not feasible.<sup>63</sup> The analysis of the Evaluation Team was continuously triangulated and validated through participatory processes.

GBV and harmful practices can be inherently difficult to evaluate because of longer timeframes, interventions that work at multiple levels, measuring social change, and difficultly in capturing baseline data and isolating the impacts of interventions. The analytical approach using contribution analysis helped mitigate this challenge.

<sup>63</sup> Mayne, John. 'Contribution Analysis: An Approach to Exploring Cause and Effect.' *International Learning and Change (ILAC) Brief*, ILAC Brief, 16 (2008).

<sup>&</sup>lt;sup>62</sup> Yin, R.K., 2013. *Validity and generalization in future case study evaluations*. Evaluation, 19(3), pp.321–332. Available at: http://evi.sagepub.com/cgi/doi/10.1177/1356389013497081

The utilisation-focussed design used for this evaluation has many comparative advantages within the purpose, objectives and scope of the evaluation. It also faces inherent limitations, some of which cannot, or can only partially, be overcome. The main limitations of the evaluation design included: (1) no assessment of attribution to impacts using statistical techniques (see above); (2) the reductionist nature of all theory-based approaches that cannot be fully overcome, but can be mitigated through full transparency about evaluative reasoning and judgements; (3) constrained involvement of large numbers of rights holders and marginalised people in the commissioning and design of the evaluation, or as data collectors and interpreters; (4) and the potential for bias in the data collection, which was mitigated through triangulating data, critical analysis by the Evaluation Team, and validation by the Evaluation Reference Group, national reference groups and participants of summit workshops.

## Annex 7 – Global context and the UNFPA response

#### Global development and humanitarian context

## From the Millennium Development Goals (MDGs) to the Sustainable Development Goals (SDGs)

Although the Millennium Development Goals do not address VAW or GBV, the Millennium Declaration (the declaration upon which the goals were based) understood VAW to be incompatible with the promotion of human rights and fundamental freedom and called for it to be combated.

By comparison, the 2030 Agenda recognises that 'all forms of discrimination and VAW and girls [must] be eliminated, including through the engagement of men and boys'. Goal 5 includes targets calling for 'the elimination of all forms of violence against all women and girls in the public and private spheres, including trafficking and sexual and other types of exploitation'. Most notably, it includes a discussion of harmful practices, and a call for the elimination of such practices, including 'child, early and forced marriage and female genital mutilation'.<sup>64</sup>

Thus, the Sustainable Development Goals represent a globally significant mention of forms of GBV and harmful practices that highlights the economic, structural, as well as normative drivers of violence, rather than a simplistic characterisation of interpersonal violence of men against women.

## **Human rights frameworks**

Defined largely by UN processes, the global normative framework is informed by multiple conventions and declarations beginning with the 1979 **Convention on the Elimination of All Forms of Discrimination Against Women (CEDAW)**, which makes clear that VAW is discriminatory and addressed by the Convention, and thus laid the foundation for a human rights-based approach to the issue.

The **1993 Declaration on the Elimination of VAW** – the first international instrument explicitly addressing VAW – recognises this as a 'manifestation of historically unequal power relations between men and women ..., a violation of the rights and fundamental freedoms of women ... and an obstacle to the achievement of equality, development and peace', <sup>65</sup> and makes clear that gender and broader concepts of equality, as well as development and peace objectives, could not be achieved without resolving GBV.

The 1994 International Conference on Population and Development serves as a point of reference and touchstone for UNFPA work, provides a framework for action reflecting these definitions and declarations, and re-emphasizes the importance of addressing GBV as a means to development in all sectors. It also highlights the intentional use of GBV to perpetuate gender inequality (across all sectors) and concludes that the 'advancement of gender equality ... and the elimination of all kinds of VAW ... are cornerstones of population and development-related programmes'.

The 1995 **Beijing Platform for Action (POA)** followed this lead and raised the issue of VAW to one of its 12 critical areas of concern, placing it at the centre of both the women's rights agenda and the global development agenda. Of significance, the Beijing PoA specifically addresses the additional measures needed to address GBV facing, in particular, women and children in humanitarian and displacement settings. Furthermore, the language used in the Beijing platform for action intentionally expanded the focus on a comprehensive, cross-sectoral approach to GBV embedded in national policy and programmes.

## The UN System

United Nations normative frameworks relevant to GBV and harmful practices include: 2006 General Assembly Resolution 61/143, and multiple Security Council Resolutions – including 1325, 1820, 1888, 1960, 2106. The 2012 **Quadrennial Comprehensive Policy Review (QCPR) of Operational Activities for Development of the UN System** details the organisational and operational arrangements needed to foster development effectiveness,

<sup>&</sup>lt;sup>64</sup> UNFPA, 2016. TOR for an Evaluation of UNFPA Support to the Prevention, Response to and Elimination of Gender-Based Violence, including Harmful Practices.

<sup>&</sup>lt;sup>65</sup> Center for Reproductive Rights, UNFPA, 2013. *ICPD and Human Rights: 20 Years of Advancing Reproductive Rights through UN Treaty Bodies and Legal Reform.* 

including the advancement of gender equality. Neither GBV nor harmful practices are specifically mentioned, but the QCPR acknowledges that gender inequality continues unabated, and stresses the need for a stronger focus on gender equality and women's empowerment, recognising both as crucial to any approach to sustainable development.<sup>66</sup>

The 2010 launch of UN Women (the designated 'champion' for the issue of VAW and by design 'cross-sectoral' with a mandate to monitor the gender work of other family agencies) brought about a reconsideration of how to configure efforts on the issue. Nonetheless, UNFPA remains an ally in the 2008 United Nations Secretary-General's <u>UNITE to End VAW</u> campaign, now coordinated by UN Women, which calls on all governments, civil society, women's organisations, men, young people, the private sector, the media, and the entire UN system to join forces in addressing VAW.

In 2012, the UN System-Wide Action Plan (SWAP) on Gender Equality and the Empowerment of Women 'established a comprehensive UN accountability framework for gender equality and women's empowerment' based on principles long embraced by UNFPA: that there is a need 'to implement a gender perspective throughout the programmes, policies and organisational practices of the UN"; that 'gender (must) be mainstreamed in programming on human rights'; and that priority should be placed on 'the eradication of violence (within and outside of humanitarian contexts) and gender equality and women's human rights'.

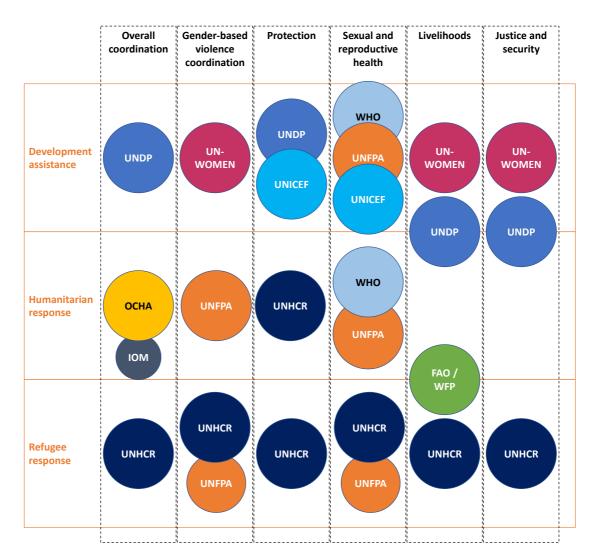
The launch of the partnership on maternal, child and adolescent health engaged a broad set of UN family members, including the World Bank. The technical advisory and accountability processes of these initiatives are now closely tied to the 2030 Agenda, giving UNFPA an entry into that process through its comparative strength in sexual and reproductive health<sup>67</sup>. Within this context, UNFPA is the main UN entity working on GBV from the perspective of gender transformation of roles, values, and positive change across the entire continuum between development and humanitarian settings.

engaging the private sector, including the profit sector, in a new business model.

<sup>&</sup>lt;sup>66</sup> See: http://www.un.org/esa/coordination/pdf/sg\_qcpr\_report\_adv\_unedited\_version.pdf.

<sup>&</sup>lt;sup>67</sup> The partnership on maternal, child, and adolescent health has been recently reconfigured to feed directly into the 2030 discussions as The Global Strategy for Women's, Children's, and Adolescents' Health, which again provides key access for UNFPA as it manoeuvres multi-stakeholder efforts that extend far beyond the early efforts of 'As One'. Both initiatives are characterised by an even broader approach to multi-stakeholder efforts

Figure 4: Selected UN entities with key responsibilities and mandates across different contexts and thematic issues relevant to the evaluation



## **GBV** in emergencies (GBViE)

'GBV is a pervasive and life-threatening health, human rights, and protection issue. Deeply rooted in gender inequality and norms that disempower and discriminate, GBV is exacerbated in humanitarian emergencies where vulnerability and risks are high, yet family and community protections have broken down.'68

The international community is increasingly united in its commitment to tackling GBV in humanitarian settings. There is growing understanding among humanitarian actors of the critical importance of addressing GBV as a life-saving priority in emergency responses, and an acknowledgement that not doing so means that the humanitarian community is failing to meet its protection responsibilities.

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<sup>&</sup>lt;sup>68</sup> Call to Action on Protection from Gender-based Violence in Emergencies, Road Map 2016–2020, September 2015, p.3.

GBV is prevalent in all societies. However, conflict situations and disasters<sup>69</sup> can intensify many forms of violence, and harmful practices, with which children and women live even in times of peace and stability. Tensions at household level can increase intimate partner violence and other forms of domestic violence.<sup>70</sup> The pervasive impunity that characterises conflict settings can exacerbate sexual violence, including its use as a weapon of war.

Poverty, displacement, and increased dependency resulting from crises may increase the risk for women and girls of being forced or coerced to engage in sex in return for safe passage, food, shelter, or other resources. The breakdown of community protection systems, insufficient security in camps and informal settlements, and the obligation to live in temporary shelters, which are typically overcrowded with limited privacy and reduced personal security, also all increase the risk of sexual and physical assault, as well as trafficking. This in female genital mutilation (FGM) can occasionally be linked to a humanitarian settings. A rise in female genital mutilation (FGM) can occasionally be linked to a humanitarian crisis, although this is rare. However, a humanitarian crisis in a setting with prevalent FGM means response to a survivor of GBV, including maternal and newborn health services, are even more critical as life-saving activities within an emergency.

The extent and impact of GBV affects not only survivors, but it also limits the ability of entire societies to heal from conflict and disaster. Violence may affect child survival and development by raising infant mortality rates, lowering birth weights, and affecting school participation. Violence can limit women's access to reproductive health services, including family planning, leading to unwanted pregnancies and increasing women's risk of HIV infection:<sup>75</sup> this increases costs to public health and social welfare systems and decreases women and children's participation in social and economic recovery.

<sup>&</sup>lt;sup>69</sup> Humanitarian contexts cover a range of diverse situations and settings, including, but not limited to, natural disasters, conflict, rapid onset, slow onset, cyclical, protracted, fluctuating, and complex displaced/refugee situations in camps or within urban host communities, and often mixed situations. Each of these settings has specific challenges.

<sup>&</sup>lt;sup>70</sup> 'Domestic violence' is a term used to describe violence that takes place between intimate partners (spouses, boyfriend/girlfriend) as well as between other family members. Intimate partner violence applies specifically to violence occurring between intimate partners and is defined by WHO as behaviour by an intimate partner or expartner that causes physical, sexual or psychological harm, including physical aggression, sexual coercion, psychological abuse and controlling behaviours (IASC GBV Guidelines, p.321).

<sup>&</sup>lt;sup>71</sup> R. Murray, 'Sex for Food in a Refugee Economy: Human Rights Implications and Accountability', in *Georgetown Immigration Law Journal* 14 985–1025.

<sup>&</sup>lt;sup>72</sup> UN, 2007, Report of the Special Representative of the Secretary-General for Children and Armed Conflict, New York, UN General Assembly.

<sup>&</sup>lt;sup>73</sup> The impact of emergencies on child marriage as a cultural norm/harmful practice is extremely complex and nuanced, based on factors such as the median spousal age difference, whether dowry or bride price (in some cases used simultaneously) is more important, and the nature of the crisis, particularly whether it leads to displacement or not. An increase in child marriage can be both more girls being married and/or girls being married at an earlier age. Motivating factors include disruption of education systems (education and child marriage are inextricably linked), protecting 'honour' (particularly in camp settings where the fear of rape is high and fathers believe being married will offer a level of protection for both their daughter and the family honour), and economic reasons. Additionally, child marriage can become a new harmful practice in certain circumstances based not on a social norm but as a negative coping strategy: e.g. Syria had a relatively low level of child marriage before the conflict, but Syrian refugee communities across Jordan and Lebanon currently have extremely high child marriage rates, a practice adopted as a negative coping strategy.

<sup>&</sup>lt;sup>74</sup> The consequences of exposure to violence are as extensive as the scope of violence itself, in terms of the myriad acute and chronic health problems that accompany different types of GBV and because victimisation can increase risk of future ill-health for survivors. In humanitarian settings, where community support systems and formal health and psychosocial services (PSS) are often severely compromised, the consequences of violence can be even more profound than in peacetime.

<sup>&</sup>lt;sup>75</sup> GBV fuels the HIV epidemic, as women who have experienced violence are up to three times more likely to contract HIV. (http://www.unicef.org/about/partnerships/index 60239.html).

The primary responsibility to ensure people are protected from violence rests with the state. However, in times of crisis, humanitarian actors play an important role in supporting measures to prevent and respond to GBV. As highlighted in a report published by the International Rescue Committee (IRC): 'Preventing and responding to GBViE is recognised as a life-saving measure and an essential component of humanitarian action.' The report concludes that, 'in spite of this, response to GBViE remains grossly inadequate in humanitarian settings.'<sup>76</sup>

Addressing GBViE is the responsibility of all humanitarian actors: 'All humanitarian actors must be aware of the risk of GBV and – acting collectively to ensure a comprehensive response<sup>77</sup> – prevent and mitigate these risks as quickly as possible within their areas of operation.'<sup>78</sup> This responsibility is supported by a framework that draws on international and national law, UN Security Council resolutions, humanitarian principles and humanitarian standards and guidelines.

A process of humanitarian reform was initiated in 2005, after the clearly inadequate response to the Asian Tsunami. One of the most critical issues (though not by any means the only issue) addressed was coordination.<sup>79</sup> In order to address this, the cluster system was established. The cluster system has continued to evolve from its introduction in 2005 and the current cluster configuration has 11 clusters in total.

UNHCR is the cluster lead agency (CLA) for the Global Protection Cluster (GPC), which – uniquely – has a complex structure of four sub-clusters, or Areas of Responsibility (AoRs): Child Protection, *GBV*, Housing Land and Property, and Mine Action. Unlike any other thematic or sectoral area, protection is simultaneously a goal of humanitarian action, an approach (or lens), and a specific set of activities which themselves may be direct, integrated, or mainstreamed.<sup>80</sup>

The GBV AoR<sup>81</sup> includes a number of tools and resources and maintains a team of regional emergency GBV advisers who are rapidly deployable senior technical experts used to strengthen country-level humanitarian responses. A core toolbox for the AoR includes the 2010 Handbook for Coordinating GBV in Humanitarian Settings, a Standard Operating Procedure, information on the GBV Information Management System, and 2015 Mainstreaming Guidelines.

## 2.2 Support of UNFPA to addressing GBV and harmful practices

## The UNFPA global response

A 2006 General Assembly resolution on addressing VAW launched a remarkable level of effort on the part of the global and UN communities, with important leadership from UNFPA. This put GBV and its connections to gender equality firmly at the centre of the global development agenda in both normative and programmatic terms.

<sup>&</sup>lt;sup>76</sup> International Rescue Committee (2012). *Lifesaving, Not Optional: Protecting women and girls from violence in emergencies*. <a href="https://www.rescue-uk.org/sites/default/files/Lifesaving%20not%20optional.%20Protecting%20women%20and%20girls%20from%20violence%20in%20emergencies%20FINAL.pdf">https://www.rescue-uk.org/sites/default/files/Lifesaving%20not%20optional.%20Protecting%20women%20and%20girls%20from%20violence%20in%20emergencies%20FINAL.pdf</a>

<sup>&</sup>lt;sup>77</sup> In this context, 'response' relates to the overarching GBV activities that form a GBV programmatic intervention – including risk reduction, mitigation, prevention, and response to a survivor. In other contexts, the term 'response' relates to the specific 'response for a survivor' component of a comprehensive humanitarian GBV intervention - including clinical, psychosocial, legal/justice, and shelter/socio-economic empowerment services.

<sup>&</sup>lt;sup>78</sup> IASC GBV Guidelines, p.14.

<sup>&</sup>lt;sup>79</sup> While the evolution of humanitarian architecture has included many strands and complexities, this context analysis paper will focus on coordination as it relates to the purpose of the evaluation addressing the role of UNHCR as GPC lead.

<sup>&</sup>lt;sup>80</sup> GPC (2013). Placing Protection at the Centre of Humanitarian Action, and ALNAP (2015). Evaluating Protection in Humanitarian Action.

<sup>81</sup> www.gbvaor.net

Beginning in 2006, UNFPA launched or served in an advisory role for an average of one major initiative each year – despite defunding under the US 'global gag' rule.<sup>82</sup> These initiatives included development of normative frameworks, collaborative efforts to learn from and share practical programme experiences, campaigns to support political accountability, and efforts to engage stakeholders beyond traditional UN actors.

A distinguishing feature of UNFPA work is a multi-agency/multi-stakeholder collaborative approach. The agency has played a leadership role in the (long-established) Inter-Agency Network on Women and Gender Equality (IANWGE)'s Inter-Agency Taskforce on VAW, established following the UN Secretary General's report 'with the overall goal of enhancing support to national level efforts to eliminate all forms of VAW by the entities of the United Nations System within their respective mandates', which launched pilot multi-stakeholder and joint programming in 10 countries to test promising practices.

In 2010, UNFPA hosted an early stocktaking meeting and produced a compendium on best practices from a global sampling of pilot "Delivering As One" programmes. This stock-taking involved stakeholders across UN and major civil society representatives from country and global communities. The learning from the 'Delivering As One' experiences informed both the value of a comprehensive approach to programming, and the challenges and costs of fostering multi-stakeholder (as well as multi-agency) agendas, programmes, and coordination mechanisms.<sup>83</sup>It was reflected in and later framed by the UNFPA 2008–2011 Strategy and Framework for Action on GBV, which leveraged a human rights-based, gender responsive, and culturally vested approach.

The UNFPA operational modality shapes its role as an agency that has privileged consultative processes globally and locally, developed accountability mechanisms within country partners, and has embraced the relatively recent UN implementing agencies' new modality of cross-agency collaboration and working as one.

## Scale of UNFPA programming

UNFPA efforts to eradicate GBV have been ongoing with organisational commitments (reflected in numerous strategic plans and frameworks) since before 2008. The evaluation found evidence of funding for GBV-related outputs and indicators for all countries in which UNFPA has programming.

The **2008–2011 Strategy and Framework for Action on GBV**<sup>84</sup> offered UNFPA a comprehensive strategy for action solely focussed on GBV. Though it was not formally renewed, the policy continues to influence the work of UNFPA in both development and humanitarian settings: indeed, several of the eight priority areas for intervention outlined in the Framework are reflected in the 2014–2017 Strategic Plan.

The **2012–2013 Mid-term Review of the Strategic Plan** notes that 'UNFPA will continue to build national capacity to implement laws and policies that advance gender equality and reproductive rights with specific emphasis on addressing GBV and will continue work on GBV in humanitarian settings as well as its partnership to eliminate harmful practices, including FGM.'

The **UNFPA Strategic Plan 2014–17** provided the institutional framework for advancing gender equality, women's and girls' empowerment, and reproductive rights during most of the scope for this evaluation. Operationalised in its development results framework, the UNFPA Strategic Plan established accountability for results, including for GBV, FGM, and child-marriage at all organisational levels.

<sup>&</sup>lt;sup>82</sup> Following Beijing, two terms of US administrations withheld funding for global sexual and reproductive health and reproductive rights (on the basis of what was known as the Mexico City Policy), which was reinstated in 2009. Despite the previous US funding cuts, UNFPA remained a central actor in an unprecedented level of global activity addressing GBV and harmful practices during that time. In 2017, the US administration once again reintroduced the Mexico City Policy and stated its intention to withdraw all financing to UNFPA.

<sup>&</sup>lt;sup>83</sup> UNFPA, Gender, Human Rights and Culture Branch, UNFPA Technical Division, 2011. *The Inter-Agency Task Force on Violence Against Women, Initiating the Multi-Stakeholder Joint Programme on Violence Against Women: A Review of the Processes and Some Key Interim Lessons Learned.* 

<sup>&</sup>lt;sup>84</sup> 2008–2011 Strategy and Framework for Action on Gender-Based Violence. See: <a href="http://www.unfpa.org/sites/default/files/pub-pdf/2009\_add\_gen\_vio.pdf">http://www.unfpa.org/sites/default/files/pub-pdf/2009\_add\_gen\_vio.pdf</a>.

The way in which UNFPA engages in a particular context is currently based on four categorisations of interventions, shaped by a country's need and ability to finance<sup>85</sup>

Table 10: Country quadrants for UNFPA response 2014–2017 Strategic Plan (revised with the new Strategic Plan 2018–2021)

Ability to	Need			
finance	Highest	High	Medium	Low
Low	Advocacy and policy dialogue/advice, knowledge management, capacity development, service delivery.	Advocacy and policy dialogue/advice, knowledge management, capacity development, service delivery.	Advocacy and policy dialogue/advice, knowledge management, capacity development.	Advocacy and policy dialogue/advice, knowledge management.
Lower- middle	Advocacy and policy dialogue/advice, knowledge management, capacity development, service delivery.	Advocacy and policy dialogue/advice, knowledge management, capacity development.	Advocacy and policy dialogue/advice, knowledge management.	Advocacy and policy dialogue/advice
Upper- middle	Advocacy and policy dialogue/advice, knowledge management, capacity development.	Advocacy and policy dialogue/advice, knowledge management.	Advocacy and policy dialogue/advice	Advocacy and policy dialogue/advice *
High	Advocacy and policy dialogue/advice *	Advocacy and policy dialogue/advice *	Advocacy and policy dialogue/advice *	Advocacy and policy dialogue/advice *

Note: \* Physical presence only in select countries

The UNFPA 2014–2017 Strategic Plan also recognised the impact of humanitarian contexts on GBV and prioritises working with men and boys. <sup>86</sup> UNFPA has produced guidelines on addressing GBV and ensuring GBV programming is properly integrated in both humanitarian and development contexts:

- The Minimum Standards for the Prevention and Response to GBViE addresses GBV in humanitarian contexts.
- The Essential Services for Women and Girls Subject to Violence provides guidance on the integration of GBV in development settings, focussing specifically on the health, social services, justice and policing sectors, as well as on processes and the governance of coordination.<sup>87</sup>
- In terms of operationalisation of the strategic plans, UNFPA has engaged in **joint programmes** and manages **trust funds** to eradicate GBV and harmful practices:

<sup>85</sup> A country's need and ability to finance are calculated in a particular way using GNI per capita. See SP 2014-2017 Annex 4 (on funding arrangements).

<sup>&</sup>lt;sup>86</sup> The mid-term review of the 2014–2017 Strategic Plan acknowledges the UNFPA efforts to scale up/strengthen a focus on GBV, including within humanitarian contexts, and underscores the need to continue this work, 'strengthening resilience across the humanitarian and development continuum'. See: <a href="https://executiveboard.unfpa.org/execDoc.unfpa?method=docDetail&year=2016&sessionType=AS">https://executiveboard.unfpa.org/execDoc.unfpa?method=docDetail&year=2016&sessionType=AS</a>.

<sup>&</sup>lt;sup>87</sup> See: <a href="http://www.unwomen.org/en/digital-library/publications/2015/12/essential-services-package-for-women-and-girls-subject-to-violence">http://www.unwomen.org/en/digital-library/publications/2015/12/essential-services-package-for-women-and-girls-subject-to-violence</a>.

- UNFPA/UNICEF 2007-2017 Joint Programme on Female Genital Mutilation in 17 programme countries, 88 at the regional level (specifically within Africa and the Arab States) and at the global level. 89
- UN Women/UNFPA/UNDP/WHO/UNODC 2013-2017 Joint Global Programme on Essential Services for Women and Girls subject to Violence, reflecting the 'unanimous support for the provision of such services' voiced at the 2013 Commission on the Status of Women.<sup>90</sup>
- UNFPA is involved in the Multi-Stakeholder Joint Programme on VAW. Through the Inter-Agency Task Force (of which UNFPA and UN Women are co-chairs), UNFPA contributes to the implementation of the Joint Programme in 10 pilot countries.<sup>91</sup>
- UNICEF/UNFPA 2016-2019 Joint Global Programme to Accelerate Ending Child Marriage in countries with high prevalence of child marriage.<sup>92</sup>
- UNFPA is a member of the Global Steering Committee and plays a leadership role in the Real-Time Accountability Partnership (RTAP)<sup>93</sup>.

UNFPA has a Second-Generation Humanitarian Strategy from 2012, which builds on the success of the 2007–2009 Humanitarian Strategy that sought to integrate gender and sexual and reproductive health issues into humanitarian programming. The Second-Generation Strategy seeks to ensure 'fund-wide accountability for effective humanitarian preparedness, response and recovery.'

Under this Strategy, UNFPA priorities are based on its 'mandate and comparative advantage in humanitarian settings that is well defined: the provision of emergency SRH services is a key component of essential life-saving activities. Gender issues, particularly sexual violence and other forms of GBV often become more acute in humanitarian settings. UNFPA humanitarian support will continue to target the most vulnerable, mainly women, adolescents and young people.'94

## 2.2.1 UNFPA programming on GBV and harmful practices

The UNFPA 2008–2011 Strategy and Framework for Action on GBV, reflecting many of the core substantive and operational principles outlined above, has informed strategic planning within UNFPA since 2011, with the Strategy and Framework's 'priority areas' reflected in the UNFPA 2014–2017 Strategic Plan. Concurrently, key divisions within UNFPA are considering how best to position work on GBV more holistically within the organisation.

While UNFPA strategic plans have addressed GBV and harmful practices across multiple outcomes and outputs (available at <a href="https://www.unfpa.org/sites/default/files/admin-">https://www.unfpa.org/sites/default/files/admin-</a>

<sup>&</sup>lt;sup>88</sup> Burkina Faso, Djibouti, Uganda, Egypt, Ethiopia, Eritrea, Gambia, Guinea, Guinea Bissau, Kenya, Mali, Mauritania, Senegal, Sudan, Somalia, Nigeria and Yemen joined in 2014.

<sup>&</sup>lt;sup>89</sup> For more information on the Joint Programme on FGM/C see: <a href="http://www.unfpa.org/joint-programme-female-genital-mutilationcutting">http://www.unfpa.org/joint-programme-female-genital-mutilationcutting</a> and <a href="http://www.unfpa.org/female-genital-mutilation.">http://www.unfpa.org/female-genital-mutilation.</a>

<sup>&</sup>lt;sup>90</sup> For more information on the Joint Global Programme on Essential Services for Women and Girls subject to Violence see: <a href="http://www.unwomen.org/en/news/stories/2013/12/executive-director-launches-joint-programme-on-essential-services-for-survivors">http://www.unwomen.org/en/news/stories/2013/12/executive-director-launches-joint-programme-on-essential-services-for-survivors</a>.

<sup>&</sup>lt;sup>91</sup> Burkina Faso, Chile, Fiji, Jamaica, Jordan, Kyrgyzstan, Paraguay, Philippines, Rwanda and Yemen. See: <a href="http://www.un.org/womenwatch/ianwge/taskforces/vaw/joint\_programming\_initiative.pdf">http://www.un.org/womenwatch/ianwge/taskforces/vaw/joint\_programming\_initiative.pdf</a>.

<sup>&</sup>lt;sup>92</sup> Specifically, the programme will focus on Ethiopia, Mozambique, Uganda and Zambia (in Eastern and Southern Africa); Burkina Faso, Ghana, Niger, Sierra Leone (in Western and Central Africa); in South Asia, the JP will focus on Bangladesh, India, and Nepal; and, in the Arab States, the programme will be implemented in Yemen.

<sup>&</sup>lt;sup>93</sup> This six-entity partnership, which also includes the United Nations High Commissioner for Refugees (UNHCR), the Office for the Coordination of Humanitarian Affairs (OCHA), UNICEF, the International Rescue Committee, and the United States State Department (Office of Foreign Disaster Assistance), theorises that, if major players step up and take action to their fullest ability and work in partnership with each other, there will be a change in how GBV is prioritised and addressed and, therefore, a positive impact on the lives of women and girls. RTAP will launch a pilot intervention in two countries in 2017 informed by a baseline assessment (five countries) conducted in 2016.

<sup>&</sup>lt;sup>94</sup> UNFPA, Second Generation Humanitarian Strategy 2012.

<u>resource/PD Annex%202.%20Outcome%20theories%20of%20change.pdf</u>), specialists in UNFPA emphasize the centrality of outcome 3 and output 10 from the previous Strategic Plan and outcome 5 and output 13 from the 2012–13 Strategic Plan. These give specific focus to GBV or harmful practices, whereas other outcomes include references in the context of mainstreaming.

## Annex 8 – Reconstructed Theory of Change (ToC)

Drawing from UNFPA documentation and the evaluation case studies (see separate country notes<sup>95</sup>), the evaluation reconstructed a comprehensive global ToC illustrative of the dominant approaches to addressing GBV and harmful practices in UNFPA. The purpose of this reconstructed global intervention logic was *not* to test the validity of a 'universal' ToC, but to map the extent to which mechanisms of change are targeted by UNFPA across different contexts.

The reconstructed theory is grounded in the outcome logics addressing gender for each of the two strategic plans encompassed within the scope of the evaluation, with the strongest emphasis on the most recent strategic plan<sup>96</sup> (2014–2017). The process of developing the ToC highlighted and focussed attention on critical tensions and unresolved discourse around the response to, prevention and elimination of GBV and harmful practices<sup>97</sup>.

Each of the reference group meetings, case and desk studies contributed context-specific concerns and adaptations, supporting ongoing comparative analysis over the course of the evaluation:

- The case of India illustrated the limitations of a goal focussed only on agency and empowerment, because when addressing son preference (including pre-natal sex selection) the victims cannot assert their agency, and thus the intrinsic valuing of a daughter/girl is essential.
- The case of Palestine focussed attention on the importance of broader structural and 'sustained' violence on a large scale, including violence committed by the state; the addition of family and/or marital status (not simply age and sex) as a risk factor for GBV (including violence from in-laws); and the need to define more concretely the ways in which (protracted) humanitarian situations manifest in barriers and responses (and underscore the importance of a development-humanitarian continuum approach).
- The case of **Uganda** challenged the definitions of the development-humanitarian continuum, shifting from an emphasis on the onset of an emergency to protracted conflict and post-conflict situations which require a mix of interventions to address response, the impact of long-term displacement and exposure to violent conflict, and addressing the needs of both refugee and host populations.
- The case of Guatemala highlighted the challenge of legal solutions in a national context in which there is impunity at every level of government as well as a sustained state of insecurity and post-traumatic stress even without a humanitarian emergency.
- The Asia Pacific region demonstrated the need to understand the unique dynamics of different types of crises (natural disasters, displacement with substantial sexual violence). Input from the country offices also highlighted the importance of separating out work on harmful practices from work on GBV:

<sup>96</sup> The work on GBV and harmful practices under this plan benefitted from: (1) the insights and reflections on the 2008–2011 UNFPA strategy for addressing violence against women; (2) evaluation of the first phase of the Joint Programme on FGM; (3) development of global theories of change addressing child marriage; (4) the organic evolution of programming on son-preference initiated in key countries and shepherded by the regional office of the Asia Pacific region; (5) expanded research on girls in particular reflecting the focus on gender fundamentals; and (6) the development of global humanitarian criteria and standards.

<sup>97</sup> Key challenges facing the development of theories of change for GBV and/or harmful practices were found to be: (1) representing the non-linear, complex and sometimes unpredictable reality of the social change process while still representing the instrumental role for UNFPA and taking into consideration the many assumptions at each stage of change; (2) meaningfully integrating lifesaving response work in humanitarian contexts into a broader vision for transformative change; (3) while affirming the common understanding of the role of patriarchy as a root cause of GBV and harmful practices, accommodating differences between how programming on GBV and programming on harmful practices conceptualise how change happens (in terms of both social norms and structural factors); (4) articulating the intersection and intended synergies between different levels of interventions (individual, family, community, country, cross-border, regional, global); and (5) distinguishing between different types of humanitarian situations (acute onset; prolonged conflict).

 $<sup>^{95}</sup>$  Country notes available here: https://www.unfpa.org/admin-resource/evaluation-unfpa-support-prevention-response-and-elimination-gender-based-violence.

- although they share fundamental drivers, there are differences in, for example, how they are shared/reported back to the community.
- The **Eastern Europe and Central Asia region** demonstrated the role of the regional offices in significantly advancing multisector response and gender transformative work with men and boys.

Figure 5: Reconstructed theories of change for UNFPA programming on GBV and harmful practices

#### Assumptions about context and sustainability In line with the vision and mandate of both ICPD and the 2030 Agenda (SDGs) All women, girls and youth – especially the furthest behind, and those in humanitarian situations - are intrinsically valued; live free from all forms of violence and harmful · Political solutions will be practices; can claim their human rights, maintain health and bodily integrity; make informed eventually realized for life choices and fully and equally participate in society. humanitarian situation, leading to improved peace, security, and development · International law and the role of the UN system is Legal & Political Norms Gender & Social Norms Institutions Humanitarian A gender-equitable social and An accountable and enforced legal, Effective, well-integrated, The humanitarian community is · Civil society space is policy, and institutional environment normative environment which coordinated, multi-sector systems accountable for recognising gender Outcomes recognised, protected and of accessible, acceptable and reflecting international human rights promotes gender equality and nonbased violence as lifesaving; and expanded for women's human instruments\*; with the participation violence; and is informed by inclusive quality response and prevention allocating resources accordingly. of those most affected. participation in decision making. services reaching all women, girls Integration of gender-based and youth in need. violence and harmful practices into national financing arrangements. Across development and humanitarian settings Mechanisms Institutional design and leadership · Combined capacity of local, national and civil of change Advocacy coalitions society stakeholders Legislation and polices are · Joint programmes (esp. global and regional) . Using the continuum to build back better implemented and enforced Increased levels of investment in addressing Combining services with evidence, champions, which work toward gender social norms and education equality and include compensatory measures reflecting a commitment to gender equity Outputs Evidence & Data CIVII Society Capacity National Capacity Policy Making Services Government resource Regional, national and sub-Partnerships between advocates Increased availability, Civil society actors and entities Health, protection and allocations for addressing analysis and use of national institutions with with sufficient capacity, and technical experts (at all education services\*\* are gender based violence and quality, connected, and the capacity and structures experience, networks, tools sufficient to respond to harmful practices more broadly levels) are effectively informing disaggregated (statistical, and resources; to inform, continue to improve evidence-based national policy (including accountability and prevent gender-based advocate, mobilize, and hold and programming. administrative and mechanisms) to implement violence and harmful Synergies with educational, programme) data and evidence based policy to account social groups practices; and are integrated livelihood, protection, and evidence; to inform policy and structures to respond commitments. with multisector services\*\*\*. health programming are realized design and programme and prevent gender-based in concrete terms implementation. violence and harmful practices. Fully functioning humanitarian and refugee coordination structures for gender-based violence in emergencies at global, regional and national levels in line with "core accountabilities for cluster lead agencies" \* · Participation of rights holders and duty bearers within . UNFPA programming is focused and aligned with Drivers of change international, regional and national fora comparative and collaborative advantage across settings • Enhanced UN coordination Human and financial resources · UNFPA interventions achieve synergies between services are available, and donor support UNFPA systems and structures support risk management and normative (either legal or social) changes Modes of Interventions is maintained and front-loading investment . UNFPA support is sustained and made available through UNFPA country and regional · Extent to which the state enables and safeguards inclusive civic space office teams are competent and experienced in gender (including with emergency response and with a dedicated GBV Vulnerability Policy Advice Inertia Disruption Advocacy Advocating (for and with Social, economic, Providing technical expertise Failure of political and Externalities, including The diversity of humanitarian educational, demographic, and evidence to strengthen social systems to recognise, partners) that the standards, conflict, that increase the settings (refugee, camp-based, cultural and health human rights and gender prioritise, pursue, coordinate principles and precepts intensity, frequency, nature urban / host community-based, conditions that increase equality content in policies, and implement responses to of international human and level of morbidity and/ IDP, etc.) is recognized and female' vulnerability programmes, and information violence and discrimination or mortality of violence and rights, gender equality, and addressed within intervention and education systems. humanitarian guidance are used harmful practices responses at community, implementation and all policy levels. Aggregating factors Impunity Capacity Development Intentional actions and omissions by duty Reproductive health kit 3, dignity kits, Coordination, convening, connecting, and Enabling partners to develop, implement and learn from (1) prevention strategies, collaborating: including fostering joint, bearers resulting in systemic failure to hold mobile clinics, women and girls' safe spaces, regional (including cross-border) and global procurement services, international logistics, perpetrators and collaborators to account and (2) response systems for survivors of gender-based violence (especially clinical and RH supplies programming and learning. psychosocial services). Underlying conditions

 Gender discriminatory norms, attitudes, beliefs and practices disproportionately focused on females  Gender inequitable distribution of sources of power including through the inequitable distribution of economic, political, social and health and educational resources disadvantaging females  Restrictions and practices to control, manipulate, commodify and/or objectify female bodies; particularly as relates to reproduction, sexuality, visibility and mobility  Patriarchal systems that privilege males and depend upon violence as means to control – including through practices justified based on tradition or culture

## Root cause

Multiple, mutually-reinforcing systems of power, oppression and privilege which devalue females, undermine the indivisibility and universality of realising human rights, and promote and enable use of violence to achieve their ends.

## Annex 9 – Resources allocated to addressing GBV and harmful practices

For the period 2012–2017, UNFPA expenditure on the prevention, response to, and elimination of GBV and harmful practices was \$847,219,993, while the amount budgeted was \$1,024,768,088 (see Table 11and Figure 6). The methodology captures all expenditure under all outputs, outcome or indicators in which GBV or a harmful practice are specifically mentioned in the text.

The increase seen in the amount budgeted and spent from 2013 to 2014 reflects in both core (un-earmarked) and non-core (earmarked) expenditure:

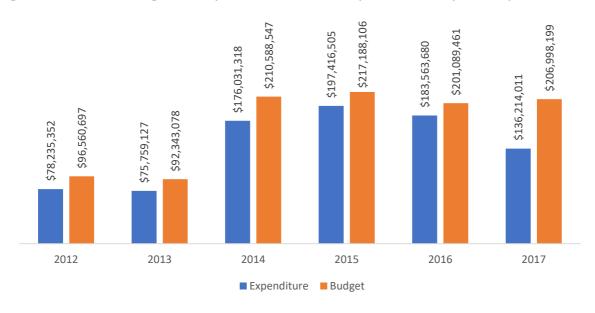
- The change in strategic plan outputs and outcomes between these periods means that Atlas financial data is not directly comparable for pre-2013 and post-2014 periods.
- However, earmarked expenditure is still likely to have increased overall 2013–2014 in large part due to increased expenditure by UN OCHA, which more than tripled its contribution.
- The UNFPA-UNICEF Joint Programme on FGM, a source of consistently high funding, also marginally increased overall expenditure.

Table 11: UNFPA budget and expenditure on GBV and harmful practices result areas, 2012-2017

	2012	2013	2014	2015	2016	2017	Grand Total
Expenditu	\$78,235,3	\$75,759,1	\$176,031,3	\$197,416,5	\$183,563,6	\$136,214,0	\$847,219,99
re	52	27	18	05	80	11	3
Budget	\$96,560,6 97	\$92,343,0 78	\$210,588,5 47	\$217,188,1 06	\$201,089,4 61	\$206,998,1 99	\$1,024,768, 088

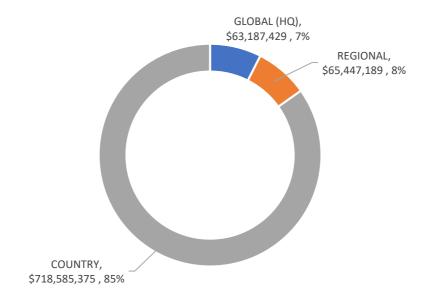
Source: Atlas

Figure 6: Total amount budgeted and spent on GBV and harmful practices, January 2012–September 2017



By far the most significant portion of expenditure (85%) was at the country-level (see Figure 7), with HQ and regional offices (combined) counting for similar levels of expenditure (around \$11 million per year).

Figure 7: Total expenditures at the country, regional and global levels, January 2012–September 2017



Level	Expenditure	Proportion
Global (HQ)	\$ 63,187,429	7%
Regional	\$ 65,447,189	8%
Country	\$ 718,585,375	85%
TOTAL	\$ 847,219,993	100%

The highest aggregate expenditure at country level (grouped by regions) was in the Arab States, with the top four countries by expenditure (Iraq, Syria, Turkey and Jordan) located in that region (see Figure 8 and

Table 12). The next highest expenditures were in the two Africa regions and Asia and the Pacific. Both Eastern Europe and Central Asia region, and Latin America and the Caribbean region spent a total of less than \$10 million per year during the period under consideration (i.e. expenditure in an entire region was the equivalent of Iraq by itself).

Figure 8: Average annual expenditures on GBV and harmful practices at the country level, grouped and ranked by region, January 2012–September 2017



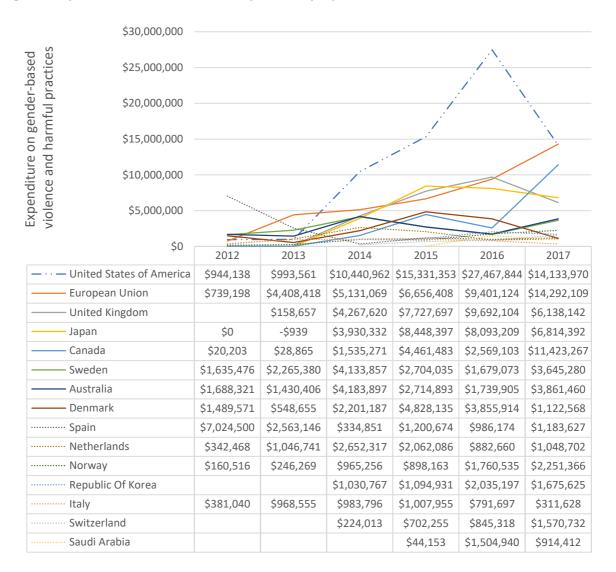
Table 12: Top 20 countries by expenditure in support of GBV and harmful practices, Jan 2012 - Sep 2017

Country	Total spend 2012- 2017	Average annual spend	Evaluation case study
Iraq	\$59,772,167	\$9,962,028	Extended desk study
Syria	\$38,275,314	\$6,379,219	Secondary data <sup>98</sup>
Turkey	\$26,751,988	\$4,458,665	Extended desk study + regional case study
Jordan	\$26,481,565	\$4,413,594	
South Sudan	\$24,790,161	\$4,131,694	Uganda in country case study (cross-border refugee support work)
Uganda	\$22,734,222	\$3,789,037	In country case study
Afghanistan	\$21,314,165	\$3,552,361	
Ethiopia	\$20,160,185	\$3,495,709	
Sudan	\$19,367,034	\$3,471,115	Extended desk study
Nigeria	\$19,095,441	\$3,360,031	
Republic of Yemen	\$16,978,090	\$3,227,839	
Dem Rep Congo	\$16,126,444	\$3,182,574	
Somalia	\$16,115,884	\$2,829,682	
Bangladesh	\$15,693,074	\$2,687,741	Regional case study
Malawi	\$15,317,456	\$2,685,981	
Myanmar	\$13,004,373	\$2,615,512	Regional case study
Philippines	\$12,900,102	\$2,552,909	Regional case study
Niger	\$12,617,131	\$2,167,396	
India	\$11,923,435	\$2,150,017	In-country case study
Zimbabwe	\$11,431,796	\$2,102,855	

Analysis of the top 15 donors to non-core expenditure on GBV and harmful practices reveals both long-term and short-term patterns (see Figure 9). The most immediate is the impact of the 'global-gap' rule on US funding after 2016, and the noticeable increase in finance from the European Union, Canada, Sweden and Australia to counter this. Since 2015, the growth in funding from Japan, Denmark, and, later, the UK has also been reversed; however, new donors have also joined the list, including Republic of Korea, Switzerland, and Saudi Arabia. While it is not at a high level, the funding from Spain, Netherlands, Norway and Italy has been the most consistent.

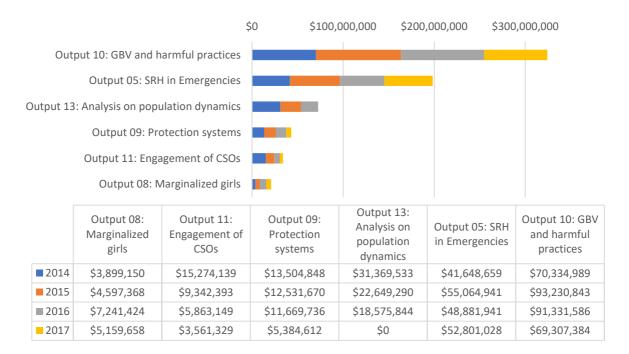
<sup>98</sup> Evaluation of UNFPA response to the Syria Crisis – Jordan country report

Figure 9: Top 15 donors to GBV and harmful practices by expenditure, 2012–2017



Analysis of expenditure under the development results framework of the Strategic Plan 2014–2017 reveals that the majority of funds were allocated to output 10 (on GBV and harmful practices), or output 5 (on sexual and reproductive health in emergencies) – see Figure 10. The other outputs (8. marginalised girls, 9. protection systems, 11. engagement of civil society, 13. analysis of population dynamics) all demonstrated a steady decline over the course of the Strategic Plan; and, in aggregate, represent 25% of allocable expenditure.

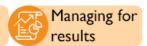
Figure 10: Total expenditure by relevant output area, 2014–2017



## Annex 10 – Additional analyses

While UNFPA has theories of change for the harmful practices and GBViE, the absence of an overarching ToC for GBV leaves open many strategic and programming uncertainties, limits accountability, and misses the opportunity to communicate UNFPA's comparative and collaborative strengths.





The process of reconstructing the theories of change revealed that the GBV portfolio within UNFPA is not clearly defined, nor mainstreamed consistently across the agency. The challenge of pursuing the aspirational goal of ending violence through gender equality within the operational and service-oriented structures and history of UNFPA contributions is not only evident in the implicit theories of change, but also reflected in very real tensions within UNFPA and the UN family of agencies regarding allocation of capacity, resources, responsibility and leadership in addressing the manifestations of gender inequality.

This dynamic is complicated further by the dissonance between the aspirations of the Paris Declaration (and the UNFPA quadrant business model), and the strong demand expressed at country level for UNFPA's comparative strength in operations and field implementation. A driver of this demand is the increasing pace and level of humanitarian action, and the urgency and operations focus of humanitarian work that is more attuned to UNFPA than other gender actors.

# **Box 2: Theories of change for humanitarian** response

The original UNFPA articulation of the work in humanitarian situations focussed on preparing for and responding to the immediate emergency.

The evaluation case studies illustrated how much more complex the continuum is — which highlights the need for a more nuanced response and approach, but also provides opportunities for UNFPA to leverage development context learning.

It is clear, for example, that more protracted crises and/or emergencies in urban contexts require different interventions to be impactful, and that, in particular, more protracted crises require a hybrid of humanitarian and development approaches.

This is illustrated by the example of Uganda, where the policy within refugee response focussed on settlement in communities rather than camps: raising new challenges of how to address GBV for very different populations in the same setting.

Harmful practices and GBV both represent strategies for controlling women —their reproduction, their sexuality, their choices regarding their bodies or their efforts at asserting independence. However, as practices to be addressed, they are different in important ways. 99 Such differences are of significance in defining the 'who, where, when, how and what' of interventions to end violence and harmful practices. These distinctions (despite the shared root causes of patriarchy and male dominance) continue to challenge efforts to merge, integrate, or coordinate programming in these areas.

The absence of a comprehensive Theory of Change has meant that this work was perhaps disproportionately informed by issue-specific theories for harmful practices or GB in emergencies (GBViE). Indeed, even the evaluation turned to the definitions of the Inter Agency Standing Committee to frame this discussion on GBV. When asked to share their Theory of Change for work on GBV, the India country office referenced the Theory of Change developed by Girls Not Brides for addressing child marriage and shared their own adaptation which focussed on the girl at the centre and reflected a shift in the discourse on gender and girls. However, the

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<sup>&</sup>lt;sup>99</sup> Harmful practices are a threat at a point in time (which can sometimes be anticipated—thus the focus on school holidays for girls at risk), are maintained primarily through normative expectations and traditions by both men and women, and (although private and often secretive) are explicitly (through ceremony) or implicitly (through celebration of a male birth) shared outside the private domain - the logic behind them is that perpetrators want others to know of their actions. In GBV (e.g. intimate partner violence, inter-personal violence, sexual violence and harassment) public display is not expected, there is shame attached to some abuse, and acts are often hidden by both perpetrators and survivors.

dominant analysis of drivers for child marriage is different from that for FGM, and both are distinct from GBV: in humanitarian settings a great many other factors come to play.

The EU Spotlight Initiative intends to resolve this tension through a new, comprehensive Theory of Change and drawing together the multi-sectoral strengths of three of the key UN agencies while continuing to explore how the initiative will work with the joint programmes for harmful practices. This effort can potentially help UNFPA to overcome the inherent tensions between the holistic Cairo-based vision for empowerment as key to ending violence and UNFPA traditional entry points.

The absence of a corporate Theory of Change for GBV also manifests in organisational discontinuities at the global level. As examples, (1) child marriage and FGM are situated under difference divisions; (2) FGM and GBV are within the same division and branch, however the critical sexual and reproductive health services entry point is not; (3) the work with men and boys is situated within the gender division, however the work with adolescents, youth, comprehensive sexuality education is not. Fortunately, the new Strategic Plan does address some of these issues laying the foundation for greater coordination and synergies at planning and operational levels.

Overall, while the evaluation found that implicit theories of change in individual settings were logical and justified, the absence of a consensual overarching framework means that important tensions remain without a clear pathway to resolution. In particular, UNFPA staff expressed a demand for corporate clarification on five key issues that a Theory of Change would seek to elaborate:

- 1) The definition and use of GBV, and VAW, with regard to young men and boys as survivors (especially of sexual violence in conflict).
- 2) Clarity on how to programme for the development-humanitarian continuum in practice.
- 3) Combining the expanded role in humanitarian coordination with other comparative strengths (such as facilitation, connecting, working quietly; male engagement; and mainstreaming gender).
- 4) Balancing an emphasis on individual agency (empowerment) with addressing structural constraints (equality) during different stages of a woman's life cycle. For example, disability as a vulnerability to, and outcome of, violence.
- 5) Addressing elimination and prevention for adult women outside the reach of education systems, UNFPA main technical expertise, or existing longstanding alliances.

A comparison of the reconstructed UNFPA Theory of Change with those of other entities and agencies highlights areas which could inform UNFPA future investments in addressing both GBV and harmful practices.

The most recent theoretical framework both reflects and will guide UNFPA work between now and 2020: The Spotlight Initiative. This is a collaborative effort among UN Women, UNDP and UNFPA - each agency contributing their technical expertise and learning from past work. The Theory of Change for Spotlight outlines a hierarchy of causes of violence i.e. root causes (patriarchy, norms, control of women's bodies and sexuality and reproduction), which contribute to underlying causes (discrimination, power imbalances, and restrictions and limitations on freedoms and choices) and are enabled by drivers (impunity, exposure to violence, substance abuse, low education levels and limited economic opportunities for women).

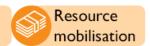
The reconstructed UNFPA Theory of Change focusses on barriers to ending violence and harmful practices, which echo and extend the Spotlight root and underlying causes (e.g. structural power imbalances as well as dysfunctional, fragmented or fragile systems; norms, attitudes and behaviours magnified by intersecting identities). Spotlight gives equal and greater weight to the root causes of both inequitable norms, harmful practices, and patriarchal systems and control over women's bodies, sexuality and reproduction (and harmful practices related to bodily integrity). By comparison, although the UNFPA Theory of Change also gives equal 'weight' to these, UNFPA is historically a champion of women's bodily integrity and choices, and this is evident in both reference to bodily integrity and the overarching reference point if the ICPD.

In each case, the list of causes and barriers suggests action points for intervention: for UNFPA, it includes services, inputs, political process and accountability within the UN; for Spotlight, the mechanisms are most evident in the drivers (although these entry points risk becoming 'low hanging fruit' without tackling the more challenging underlying causes). Both frameworks identify similar outcomes organised around entry points and national resources, with a focus on capacity building; legislation and policy; national and subnational institutions; norms/attitudes/behaviours; services; and data.

The differences reflect slightly different roles for civil society; the broad interpretation in Spotlight of safe spaces as the absence of restrictions and enabling of choices vs UNFPA services approach to safe spaces; a focus on data to inform policy and budgeting compared with UNFPA's focus on data and evidence (e.g. best practice, methodology) to inform programming as well as policy; a greater emphasis on girls by UNFPA; and a more direct role for UNFPA in thought leadership, coordination and convening. The most fundamental difference is in the goal: Spotlight, as the consortium best positioned to address the broader agenda, focusses on 'all women and girls free from violence and harmful practices', whereas UNFPA focusses on valuing and empowerment of women, adolescents and youth.

The UNFPA 'quadrant' business model (in the previous Strategic Plan) of red, orange, yellow, and pink countries has impeded country offices in responding to the changing funding landscape, exacerbating the challenges for GBV and harmful practices programming.





It was consistently observed by UNFPA staff in the region that both donors and national governments have only marginal interest in policy and advocacy work unless it is clearly linked to first-hand experience in the local context of driving results through capacity development or support to enhanced services. Significant increases in country-level GBV activities have only occurred in emergencies; with this sometimes being leveraged into 'hybrid' humanitarian-development interventions by creative country offices. By comparison, in Eastern Europe and Central Asia region, with 'pink' and 'yellow' development countries, the level of funding for interventions at country level has been highly uneven and varied considerably over time despite ongoing regional technical support to GBV.

The value of on-the-ground experience to mobilising non-core resources was evident in several other countries, including the Asia-Pacific regional programming on prevention, the presence to convene and coordinate in Myanmar, and work to address harmful practices in India. In several cases, it was indicated in interviews that 'country-level' classifications do not sufficiently account for sub-national variations in capacity and services. In no case did the evaluation find evidence that the quadrant business model was attributed with supporting non-core resource mobilisation in the same way that it has been associated with supporting core-funding at the global level.

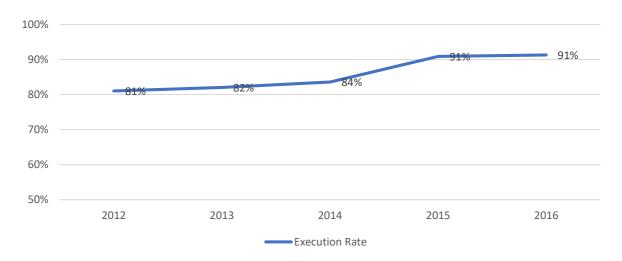
While no definitive data is available on the level of human resources dedicated to gender within UNFPA, the evaluation has estimated figures based on a key-word search of human resources data. This had to be extrapolated to account for inconsistencies in role titles across the regions, particularly between language groups. Based on the assumptions that had to be made, the evaluation estimates that there are 112 national staff and 31 international staff with specific gender profiles at country level. Around 53% of gender-related staff at country level are national officers (NOA-NOC), 21% are international professional officers, and around 16% hold temporary service contracts. The median capacity in gender staff for a country office that has them is a single national officer.

There is a pattern of consistent improvement in management of funds to implement work plans



Figure 11 illustrates that, overall, data on budget and expenditure for GBV and harmful practices outputs reveals a steady improvement in execution rates, from 81% in 2012, to 91% in 2016. This represents an annualised percentage-point improvement of 2.5 points per year (equivalent to an average improvement in efficiency of 3% per year).

Figure 11: Fund implementation rates for all GBV and harmful practices outcomes, outputs, and indicators 2012–2016



(Source: Calculated by the evaluation based on ATLAS data)

The evaluation case studies indicate that this improvement in implementation rates is unevenly distributed between development, and fragile-and-humanitarian contexts. The case studies of predominantly development-orientated programming (such as the regional offices, Guatemala, India, and Uganda) found management of programmes has been highly efficient in terms of 'lean' field offices achieving good execution rates and meeting work plan targets.

The case study of Palestine also found UNFPA programme management to be efficient, reflecting the blend of development work and protracted humanitarian response in that context. Humanitarian staff in the regional offices also met or exceeded targets for support to preparedness, despite being very few in number.

Three of the extended desk reviews, however, strongly indicate that saving money on staffing does not lead to operational nor programmatic efficiency in fragile or humanitarian settings. In Iraq and Central African Republic, for example, the reliance on a small number of humanitarian staff has made UNFPA programming and coordination work vulnerable to the high levels of staff turnover experienced in both countries. Rather than creating efficiencies, insufficient resources to expand overall capacity and reach, to set in place contingencies, or even sufficiently monitor the situation, may result in a failure to have impact or waste previous investments.

In Turkey, the closure of an implementing partner left the UNFPA Country Office with a series of shelters to run, and with insufficient staff or alternative capacity to do so (unlike other UN entities that were affected by the same event). While the surge facility has proven invaluable to country offices facing sudden-onset crises, UNFPA does not yet have the same facilities as other humanitarian agencies to rapidly increase staff numbers in advance of receiving humanitarian funds.

Key UNFPA humanitarian partnerships involve collaborating across many (often overlapping) interagency coordination mechanisms.



Interviewees at all levels clearly articulated that a well-resourced, multi-sectoral, development-humanitarian continuum-oriented response to GBV within humanitarian action cannot be managed by one organisation alone. There are a multitude of other actors and coordination forums in existence that could benefit and strengthen GBV prevention and response in humanitarian action. The question for UNFPA and for the GBV areas of responsibility (taking into account the viewpoint of all members and not just UNFPA) is the number, level, formality, and type of partnerships that are desirable.

Partnerships and coordination exist at different levels: a common reference framework is the 2015 ALNAP study *Exploring coordination in humanitarian clusters*. <sup>100</sup> In this framework, the lowest level is '**communication'**, whereby the full extent of coordination is simply that organizations share information with each other. There is no expectation or requirement for organizations to act on the basis of the information they receive, or in any other way amalgamate or integrate programming.

The next level of coordination is 'alignment'. At this level, organizations retain a high degree of independence but 'adjust their activities to create a more effective and coordinated response': this includes accepting common guidance such as a joint youth strategy and pro-actively aligning locations and activities to reduce gaps and duplication.

The highest level of coordination is 'collaboration'. At this level, organizations agree to a more explicit, formalized relationship, with less individual autonomy and higher mutual expectations. Clusters usually aspire to the alignment level of coordination. For other partnerships, any one of the levels could be employed.

UNFPA is a member of the Interagency Working Group (IAWG) on Reproductive Health in Crises, which predates and sits outside of the Cluster System, with the secretariat function located within the Women's Refugee Commission (WRC). This group focusses on policy and programme practice, producing the Inter-Agency Field Manual (IAFM) in 1995 which identified a set of minimum reproductive health services required in humanitarian response — the Minimum Initial Services Package (MISP) — and seeking to embed this within general humanitarian standards and practices.

The MISP is a health-orientated sexual and reproductive health service package, so aligns with UNFPA's comparative strengths, and includes a component on GBV. However, the IAWG lacks the authority inherent within Interagency Standing Committee (IASC) structures, whilst retaining a level of technical and representative credibility of its own. There is a recognition within UNFPA that there is not as much coordination with IAWG as there could or should be. Most evaluation interviewees questioned how UNFPA can better foster an interaction and dovetailing alignment between the GBV areas of responsibility (under the Interagency Standing Committee) and the IAWG.

There was a broad sense from global and case study interviewees that sexual and reproductive health, and GBV are the comparative strength of UNFPA – and this is as applicable in humanitarian situations as it is in development contexts. In particular, this is the case for the nexus of sexual and reproductive health and GBV that falls within the provision of clinical services and, to a lesser extent, the provision of psychosocial services.

UNFPA coordination with UN humanitarian actors is shaped as much (if not more) by proven field capabilities (and commitments), as by mandated roles and responsibilities.



The clinical response is, however, only one part of a comprehensive GBV response. Additionally, UN-Women hold the General Assembly mandate for coordinating gender mainstreaming in the UN system, and leading on gender equality and the empowerment of women. Whilst UNFPA has unique capabilities in the UN system to reach survivors of GBV through clinical services (because of sexual and reproductive health services) UN-Women has a complementary opportunity to reach GBV survivors through activist, legal, justice, or economic empowerment spaces. One donor commented, in respect to the UN Women–UNFPA humanitarian relationship:

'My sense is that there is some connection at some level but that it is not very consistent or systematic.' <sup>101</sup>

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<sup>&</sup>lt;sup>100</sup> ALNAP (2015). *Exploring Coordination in Humanitarian Clusters*.. https://www.alnap.org/help-library/exploring-coordination-in-humanitarian-clusters.

<sup>&</sup>lt;sup>101</sup> Donor Key Informant Interview.

The ideal division-of-labour, in the view of most interviewees – based on mandate rather than actual operational presence/capacity – would be UNFPA leading on clinical and psychosocial response and having overall coordination accountability and responsibility, and UN-Women contributing expertise in rule of law and economic empowerment. However, other interviewees raised pragmatic concerns: i.e. UN-Women is still building its operational capacity and, in addition, remains a poorly established actor in the domain of humanitarian action.

Some interviewees, especially from donor agencies, reported that there is broad-based perception that UN-Women is seeking to take away the GBV humanitarian space from UNFPA. There is also a wider sense from interviewees that UNFPA is unwilling to 'give up' any space, and that this is justified by the practical limitations of UN-Women financing and coverage in humanitarian action.

In contrast to the relationship with UN-Women, UNFPA is the more 'junior' member of the UNFPA-UNICEF-UNHCR 'troika' of protection agencies, and it is of critical importance for the three to work in harmony and not competition. Interviewees expressed concern about the high level of strain within the UNFPA-UNICEF relationship following the governance report and the resulting shift of the leadership of the GBV areas of responsibility to UNFPA alone. However, UNICEF continues to be involved in GBV programming and in the areas of responsibility, and they also lead on the *Gender Based Violence Guidelines* (mainstreaming) roll-out.

The evaluation noted that there has also been continued coordination challenges and organisational cultural dissonance between UNHCR (as Global Protection Cluster Lead Agency) and UNFPA (as lead agency on the GBV areas of responsibility). There have also been tensions between UNHCR and UNICEF. All of this is seen by interviewees to be impacting on the relationship between the Global Protection Cluster and its associated sub-Clusters.

Aside from the UN system, within the humanitarian context UNFPA has engaged with United States-based non-governmental organizations systematically through InterAction. <sup>102</sup> In 2015, InterAction started having quarterly calls with UNFPA, and met with the Chief, Humanitarian and Fragile Contexts Branch, UNFPA on a regular basis. This communication involved some country level issues coming directly from InterAction members. Whilst it was reported that this systematic communication faded a little bit during 2016, there are plans in place to re-engage with this strategic collaboration as it was seen to be extremely useful across the board.

Other coordination mechanisms to consider around GBV in emergencies include the Real-Time Accountability Project (RTAP) and the Call to Action. <sup>103</sup> It would be helpful for all these different mechanisms, forums, platforms and actions to be clearly delineated and understood as a piece of the overall system, each contributing something specific and useful. Respondents reported that, at the moment, there appears to be limited systematic coordination between the different mechanisms.

Reductionist interpretations of social norms programming, which do not tackle the structural determinants of marginalisation, are limited in their contribution to eliminating GBV





In harvesting evidence on the contribution of social norms work to outcomes, the evaluation encountered two significant concerns about a social norms approach reflected among a cross-section of both UNFPA staff and other stakeholders. These evaluation participants still recognised the importance and value of work on

<sup>&</sup>lt;sup>102</sup> InterAction is an alliance organisation of approximately 180 US-based NGOs.

<sup>&</sup>lt;sup>103</sup> The Call to Action on Protection from GBV in Emergencies aims to fundamentally change the way GBV is addressed in humanitarian operations. It has a Road Map and an Action Plan until 2020 based around six outcomes covering institutional policies and standards, effective and accountable interagency and inter-sectoral GBV leadership and coordination, funding, specialised GBV prevention and response, and human resources capacity and expertise. It was launched by the Government of the UK in 2013 and has since been passed between different governments for leadership – with 2017 being under the leadership of the European Union.

influencing social norms but consider that current programming practices are insufficient in terms of: (1) continuity, or (2) transformation.

**Firstly: continuity**. Two main 'continuity' issues were encountered, particularly with regard to the social norms work that seeks to address FGM or child marriage. Both of these issues are illustrated by the case of Sudan, but most cases recorded similar challenges. In Sudan, a significant investment in time and resources has been made in preparing the ground for community and institutional declarations of abandonment of FGM. Getting to the point of public declarations by leaders that were previously advocates of the practice is a major achievement for the partners working on FGM.

The first issue with this work, however, is that stakeholders in Sudan see no clear strategies for follow-up once declarations of abandonment have been achieved. Neither the programming nor the evidence are sufficient to indicate that intervention outputs have successfully led to outcomes for women and girls. A similar pattern was noted in Nepal, where the country programme observed the limitations to social norms work with men and boys. Appropriately, the global Joint Programme on FGM has also recognised this pattern and is currently exploring declaration-testing in Senegal.

'Projects have shown evidence of influencing the attitudes of men and boys they have reached directly, but there is no evidence of any wider impacts on the awareness or attitudes of men and boys in communities.' (UNFPA Nepal, 2017. Country Programme Evaluation).

The second issue from the Sudan example is that stakeholders consider there to be insufficient alignment between the messages and discourse contained within UNICEF and UNFPA approaches. This dissonance between social norms traditions was also viewed in regard to child marriage across several of the case studies, as well as in global dialogue. At the same time, evidence was also present of emerging efforts to bridge the historical traditions of UNICEF (grounded in child protection and community leaders) and UNFPA (grounded in sexual and reproductive health and social activism) as they relate to social norms programming.

For example, in Uganda the target districts for FGM interventions have been shifted from a division between the agencies, to a common arrangement where both agencies work together on the ground. In another example, alignment of programming on social norms in child marriage has been a major feature of the working relationship between UNFPA Asia Pacific Regional Office and UNICEF Regional Office for South Asia. As a consequence of this, UNICEF staff in Nepal are fully cognisant of, and can brief others on, UNFPA programming, and vice-versa.

**Secondly: transformation.** This concern emerged strongly from the regional and Latin America case studies. The concern centres on a view that much of the current programming on social norms is a reductionist interpretation of the approach, that is blind to the structural causes and enforcement of marginalisation. In a positive example from Sudan, one interviewee noted that 'UNFPA and UNICEF have jointly learnt that it is necessary to address underlying issues that are sustaining harmful practices, and to leverage existing systems to deliver a message that people believe, and to work with people on the ground who are trusted.' The key message in this quote is the reference to underlying issues that sustain harmful practices: the structural conditions that lead to and entrench negative social norms.

Evidence from South Asia was particularly informative with regard to intersection between social norms and structural inequality. For example, the role of economics in driving son preference and daughter aversion among middle-class families. The UNFPA contribution to addressing this in India is to support the articulation of national programmes (including on the value of the girl child), state policies and joint action plans in terms of the agency and equal participation of people who are socially and culturally marginalised.

Applying a structural lens to programming on social norms is also a centrepiece of UNFPA programming on VAW and harmful practices in Guatemala, where UNFPA has managed to promote and support multi-stakeholder spaces to advance the national political implementation of international commitments despite a context of uncertainty and political turbulence.

While the configurational case analysis found no necessary and sufficient configurations of attributes to explain social norms outcomes, achieving civil society capacity as an output was found to be a necessary condition. This

becomes sufficient if combined with advocacy coalitions as a Theory of Change.<sup>104</sup> Other sufficient conditions included contexts open for civil society (based on Civicus classifications), high levels of investment, joint programmes, and combined structure and agency theories of change.

Globally, there was less evidence, however, of the application of a structural—normative approach to programming at the sub-national, or decentralised administrative levels. The primary cause of this gap was not observed to be analytical capacity but is more likely explained by current UNFPA programme implementation mechanisms. Specifically, this relates to the implementing partner modality for working with local civil society organisations, which assumes an advocacy and accountability role for civil society at the local level but does not include provision for ensuring the capacity and skills to deliver in this role.

The evaluation field visits indicated that, in most examples, the case for working with civil society organisations was built around strengthening local advocacy (e.g. for allocation of budget to implementing relevant policies) in addition to implementing project activities. However, the evaluation observed from interviews that in most cases civil society organisations at the sub-national level do not have sufficient advocacy capacity and skills to achieve a change in local policy or resource allocation. Thus, much of the programming on GBV and harmful practices is missing concrete contributions to governance accountability.

Similarly, but with notable exceptions such as in Turkey, the corporate sector is infrequently engaged as a partner to address GBV within their stakeholder base. Private sector engagement has been pursued at all levels as source for fundraising, but there are only very few examples of partnering with corporations as agents of change. This constrains a significant avenue for supporting active participation of women and girls in decision making.

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<sup>&</sup>lt;sup>104</sup> 92% balanced accuracy, 83% coverage, 100% consistency.

## Annex 11 - Global Web-Based Survey

The global survey, which is still under development, will support the collection of mixed QUANT/QUAL data:

- QUANT data: ordinal ratings on a scale 1-100 using sliders and defined characteristics at 1 and 100; relative rankings of range of options (such as organizational priorities/strengths); meta data.
- QUAL data: open text fields to collect opinions and supporting evidence from participants.

The survey will be made available in English, Spanish, and French.

BACKGROUND
Please indicate which organization you represent:
() UNFPA
( ) UN entity
() CSO
( ) Member State agency
( ) Corporate partner
( ) Academia
( ) Independent expert
( ) Other
Please indicate the category that best describes your role [boolean]:
( ) Senior Management
() Management
( ) Programme Staff
( ) Operations Staff
() Support Staff
() Expert/consultant
() Volunteer/intern
() Other
At which level do you currently work?
() Global
() Regional
() Country
() Subnational
() Other
Which of the following programme areas are you substantively involved in [multiple choice]:  [] GBV
[] GBV in Emergencies
[] FGM/C
[] Child Marriage
[] Sex selection
[] SRH
[] Gender equality
[] HIV
[] Other
[] None
Please indicate the gender you most identify with:
() Female
() Male
() Transgender
( ) Other
RELEVANCE

## **Evaluation question 1**

In your experience, to what extent do UNFPA interventions in GBV and HPs include specific design features intended to reduce discriminatory barriers, increase participation of rights holders, and to ensure downward accountability to affected populations [ordinal rating]

Please describe to what extent you see UNFPA GBV and HPs interventions taking account of and responding to the demands of international, regional, and national frameworks. [text field]

## **ORGANIZATIONAL EFFICIENCY**

## **Evaluation question 3**

Please rank in order, from lowest to highest, UNFPA's systems in terms of the extent to which they support effective and timely work on GBV and HPs. [ranking]

Procurement ()()()()()()()()
Finance ()()()()()()()()()
Human Resources ()()()()()()()()

Information management ()()()()()()()()

Results based management ()()()()()()()()

Communications ()()()()()()()()Monitoring and reporting ()()()()()()()()()

Evaluation ()()()()()()()()

In regards to operational systems and structures, what one thing would you change to make the biggest improvement to UNFPA's efficiency, and why? [text field]

## **Evaluation question 4**

To what extent do you see UNFPA's strategic partnerships for GBV and HPs demonstrate each of the following characteristics: [ordinal rating]

c) trust Not at all •------ Fully demonstrate

In your view, how effective is UNFPA at identifying and engaging in relevant, diverse and inclusive partnerships that offer mutual benefits, including with civil society and non-traditional audiences? [text field]

Do you have any evidence of UNFPA's strategic partnerships for GBV and HPs contributing to catalytic or unexpected results that UNFPA could not have achieved directly or within the same time if working alone? [text field]

## **EFFECTIVENESS**

## **Evaluation question 6**

Please rank in order, from lowest to highest, the outcomes where the highest level of progress is being achieved. [ranking]

National implementation of gender equality and sexual and reproductive rights policies()()()()()

Knowledge and information management ()()()()()

Informed, effective, and inclusive participation in decision-making to change social norms

()()()()()

High quality, accessible and effective services for sexual and reproductive health and well-being

()()()()()

GBV and HPs mainstreamed into clusters and life-saving structures and agencies

()()()()()

Can you provide an example of a significant contribution that UNFPA has made to advancing GBV or HPs outcomes in the past 5 years – what factors influenced this outcome? [text field]

## **SUSTAINABILITY**

## **Evaluation question 7**

To what extent do you see UNFPA's interventions on GBV and HPs as being supported by the following: [ordinal rating]

If you work in a humanitarian context, please describe the level of coherence and coverage that has been achieved in the humanitarian response to GBV and HPs. In what ways has UNFPA leadership / co-leadership of the GBV area of responsibility contributed to this, and how could that leadership improve? [text field]

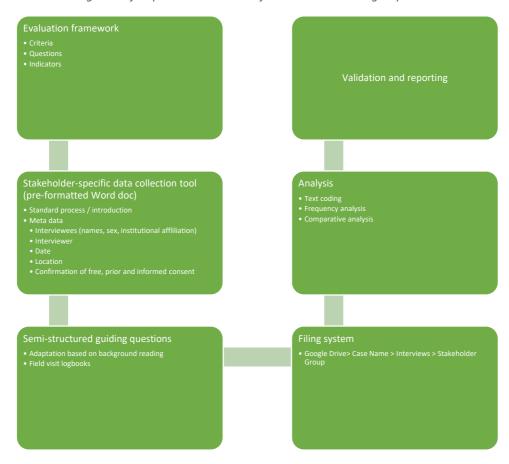
## **SYNTHESIS**

In your view, do you agree or disagree with the statement "in terms of GBV and HPs, UNFPA is currently headed in the right direction"?

Is there any other comment that you would like to share with regard to UNFPA's contribution to GBV and HPs over the past 5 years?

## **Annex 12 – Interview Questions**

Figure 12: Data management for qualitative evidence from interviews and group discussions



The following is a master list of questions based on the evaluation matrix. This will be used by the evaluation team as a point of reference if there is a need to design additional protocols for specific constituencies during the course of the evaluation.

ROLE	
<ul> <li>Please could you explain a little bit about your role, and how your work/background relates to UNFPA's support to GBV/HPs?</li> </ul>	
RELEVANCE	
EQ1 (stakeholder priorities and HRBA)	
<ul> <li>What evidence do you see for UNFPA's approach being catalytic to build wider support and action to address GBV and HPs?</li> </ul>	Link to
<ul> <li>Have you seen evidence of partners, beneficiaries and community representatives been meaningfully involved in the processes of identifying, prioritizing and planning to address GBV/HPs issues?</li> </ul>	ТоС
<ul> <li>Is there evidence of UNFPA's work on GBV/HPs being successfully aligned with national strategies, plans of action, and response to international/regional normative frameworks?</li> </ul>	• •
<ul> <li>What evidence is there for UNFPA humanitarian programmes meeting with IASC, Protection Cluster, GBV AoR, and UNFPA minimum standards?</li> </ul>	

- Have you seen evidence of UNFPA successfully supported inclusion of GBV/HPs in UN
  common country assessments, and/or consolidated humanitarian appeals, drawing on
  diverse data sources including from affected populations and their representatives?
- To what extent do you see evidence of UNFPA's interventions as reflecting an analysis of the broader human rights situation, including gender inequality, marginalized people, and cross-border situations?
- Is there evidence available on the level to which UNFPA complement established data gathering mechanisms and help to provide insights in contexts not monitored by other agencies?
- In your view, are the current UNFPA global strategic plan outcomes relevant to the realities of addressing GBV/HPs, and what are the implications of the current "bulls eye" for GBV/HPs work?
- What evidence can you point to on the extent to which implementation of UNFPA GBV/HPs interventions successfully realize the human rights principles of nondiscrimination, participation, and accountability?
- Do you see evidence of UNFP interventions as having **specific design features** intended to reduce discriminatory barriers, increase participation of rights holders, and to ensure downward accountability to affected populations?

## **EQ2** (most relevant interventions)

- What evidence is there of UNFPA managing to achieve programming synergies, address gaps and avoid duplication with other actors, especially UN entities and civil society?
- In your view, are UNFPA interventions based on **coherent and robust theories of change** which can adapt to shifting situations and contexts?

#### **ORGANISATIONAL EFFICIENCY**

## EQ3 (leadership and structure)

- Do you have evidence to indicate whether UNFPA support to GBV/HPs been sufficiently sustained over time?
- Is there evidence available to attribute changed awareness, understanding, and engagement regarding GBV/HPs to UNFPA or UNFPA-supported activities?
- Are there examples of UNFPA leveraging UN coordination and delivering as one to advance support to GBV/HPs?
- What evidence have you seen on UNFPA **systems and structures** (including RBM) supporting or not economy, efficiency, timeliness, and cost effectiveness?

## **EQ4** (strategic partnerships)

- Have you seen evidence of UNFPA strategic partnerships demonstrating inclusiveness, transparency, trust, mutual accountability, shared long-term commitment and responsiveness?
- What evidence is there for UNFPA having supported institutionalization of engagement with non-traditional audiences, including men and boys on gender equality (including GBV), sexual and reproductive health, and reproductive rights?
- Do you have examples of UNFPA's strategic partnerships for GBV/HPs leading to expected and unexpected results that UNFPA could not have achieved alone or within the same time?

## **EFFECTIVENESS**

## **EQ5** (outputs)

• Is there evidence available on the extent to which UNFPA has been successful in strengthening national capacity for development and implementation of policies and programmes across the **development-humanitarian continuum**?

- What evidence is there on whether UNFPA successfully supported civil society to better protect and promote gender equality?
- Do you know of any examples of UNFPA-supported evidence on GBV/HPs being used to inform decision-making?
- Can you indicate availability of specialist services for relevant groups including survivors
   of GBV, adolescents and youth, boys and men, physically and developmentally disabled,
   or mentally ill?
- In your view, is UNFPA playing an active leadership or co-leadership role around GBV/HPs within the UNCT, GTG and/or GBV AoR?
- To what extent do you see evidence of there being a national commitment through allocation of domestic resources to GBV and harmful practices interventions?

## **EQ6** (outcomes)

- In your experience, what evidence is available on the extent to which the legal framework for gender equality and sexual and reproductive rights is implemented, and the main barriers that still need to be overcome?
- Do you have examples of whether the current policy and budget processes include meaningful participation by recognized rights-holders' representatives and community groups?
- Do you have evidence on whether structured processes exist for elected representatives
  to engage in public forums on GBV and HPs, including with meeting with civil society,
  social movements, coalitions of adolescents and youth, solidarity groups of men and
  boys, and local governance among displaced populations?
- What evidence is there of progress being made in sufficiently-resourced, accessible, acceptable, high quality services which promote and support gender equality and freedom from violence, sexual and reproductive health, and women's and girls' wellbeing?
- What evidence are you aware of the GBV AoR successfully promoting GBV
   mainstreaming activities throughout the cluster system under UNFPA's (co)leadership?

#### **SUSTAINABILITY**

## EQ7 (sustainability) (coherence and coverage)

- Do you believe that there is **political will and national ownership** behind GBV/HPs interventions, and is this changing?
- Are you aware of any specific programmes or budget lines for addressing GBV/HPs at the national level?
- What evidence is there that humanitarian contingency plans include elements for addressing sexual and reproductive health needs of women, adolescents and youth including services for survivors of sexual violence in crises?
- What is evidence is available on the level of coherence and coverage in the humanitarian response to GBV/HPs?

## FINISH

• Thank you for your time, do you have **any questions** for the team or do you feel that there are any other areas that we should have spoken about?

#### **UNFPA Staff**

// Paste into Annex 6 (Logbook) //

Please could you explain a little bit about your role in relation to UNFPA's work on GBV/HPs?

## High priority areas for discussion

- How has UNFPA's approach to working on GBV and HPs evolved in the past 5 years, and why?
- Has UNFPA support to GBV/HPs been sufficiently sustained over time?
- In your view, does UNFPA have the right strategic partnerships?
  - Mutual benefit, critical to achieving shared vision
- Have you seen evidence of **expected or unexpected outcomes** from work on GBV/HPs that has been supported by UNFPA?
  - Legal framework
  - o Services (public/private) for whom
  - Capacity for implementation
  - Thought leadership
  - Social and cultural change
- To what extent do you see **UNFPA's approach being catalytic** to build wider support and action to address GBV and HPs?

## Secondary areas for discussion (if time allows)

- Does UNFPA have and clear and coherent theory of change for GBV and HPs?
- In your view, do UNFPA's systems and structures support you to work effectively?
- Do you believe that there is **political will and national ownership** behind GBV/HPs interventions, and is this changing?
- Is UNFPA playing an active coordination or leadership role around GBV/HPs in the UN system?

## Alternative areas for discussion (if needed)

- In what ways have UNFPA engaged non-traditional constituencies (including men and boys) as champions for EVAWG?
  - What has this contributed to the work
- Has UNFPA successfully supported civil society?
- To what extent do you see UNFPA as having helped foster inclusion of gender-based violence and harmful practices in **national (or state) level dialogue and processes**?
  - o Within national programmes and policy
  - Within State level programmes and policy
  - Within the UN system (UNDAF, CCA, consolidated humanitarian appeals)
- Is the current UNFPA **global thinking around GBV and HPs** relevant and useful to the realities of this context?
- To what extent has UNFPA been successful in strengthening national capacity for development and implementation of policies and programmes across the development-humanitarian continuum?
- What is the level of coherence, connectedness and coverage in the humanitarian response to GBV/HPs?

## **UN System Entities**

// Paste into Annex 6 (Logbook) //

Please could you explain a little bit about your role in relation to UNFPA's work on GBV/HPs?

## High priority areas for discussion

- What is your view of UNFPA's strategic positioning regarding GBV/HPs?
- What are the comparative strengths of UNFPA in the UN system and does it add value to the work of other entities?
- In your view, does UNFPA have the right **strategic partnerships** (outside the UN system) at the national, state and community levels?
- To what extent do you see UNFPA as having **helped foster inclusion of gender-based violence and harmful practices** in national (or state) level dialogue and processes?
  - Within national programmes and policy
  - Within State level programmes and policy
  - o Within the UN system (UNDAF, CCA, consolidated humanitarian appeals)
- Has UNFPA support to GBV/HPs been sufficiently sustained over time?

## Secondary areas for discussion (if time allows)

- Does the way in which UNFPA contribute reflect human rights principles of equal participation and inclusion of marginalized people?
- Has UNFPA been an active and effective participant in UN coordination mechanisms; including joint programming and joint programmes related to harmful practices?
- Do you see UNFPA playing an active leadership role around GBV/HPs?
- Is UNFPA successfully supporting civil society?
- Have you seen evidence of UNFPA's influence, including through the use of data, on national decision-making or allocation of resources to address GBV/HPs?
- Have you seen evidence of expected or unexpected results from work on GBV/HPs that has been supported by UNFPA?
  - Legal framework
  - o Services (public/private) for whom
  - o Capacity for implementation
  - o Thought leadership
  - Social and cultural change

## Alternative areas for discussion (if needed)

- Do you believe that there is **political will and national ownership** behind GBV/HPs interventions, and is this changing?
- In what ways have UNFPA engaged **non-traditional constituencies** (including men and boys) as champions for EVAWG?
  - What has this contributed to the work

## **Member States / National Governments**

// Paste into Annex 6 (Logbook) //

Please could you explain a little bit about your role in relation to UNFPA's work on GBV/HPs?

## High priority areas for discussion

- Do you see the work of UNFPA and its implementing partners as **supporting the right things** to address GBV, harmful practices and discrimination against women and girls?
- Are these the most relevant issues for UNFPA to focus on given national priorities and what other agencies are doing?
- Has UNFPA support to GBV/HPs been sufficiently sustained over time?
- In your experience, what factors most help or hinder achieving reductions in GBV/HPs?
- Have you seen evidence of expected or unexpected results from work on GBV/HPs that has been supported by UNFPA?
  - o Legal framework
  - o Services (public/private) for whom
  - o Capacity for implementation
  - o Thought leadership
  - o Social and cultural change

## Secondary areas for discussion (if time allows)

- What is UNFPA like to work with as a partner?
- Is UNFPA's work **coordinated with other organisations**, and has it led to more groups supporting action to address violence against women and girls?
- Do you believe that there is **political will and local ownership** behind GBV/HPs interventions, and is this changing?
- To what extent has UNFPA been successful in **strengthening national capacity** to address violence against women and girls, child marriage and/or GBSS?

## Alternative areas for discussion (if needed)

- In what ways have **non-traditional constituencies** (including men and boys) been engaged as champions for EVAWG?
  - What has this contributed to the work

## **Implementing Partners**

// Paste into Annex 6 (Logbook) //

Please could you explain a little bit about your role in relation to UNFPA's work on GBV/HPs?

## High priority areas for discussion

- In your view, have stakeholders been meaningfully involved in the processes of identifying, prioritizing and planning to address GBV/HPs issues?
- Are GBV/HPs interventions addressing the **underlying causes of discrimination** that lead to gender-based violence or harmful practices?
- What is UNFPA like to work with as a partner?
  - UNFPA's systems and structures

- Do you have examples your partnership for leading to **expected and unexpected results** that UNFPA could not have achieved alone or within the same time?
- What have been the major **enabling and hindering factors** to progress?

Secondary areas for discussion (if time allows)

- Is UNFPA's work **coordinated** with other organizations, and has it led to more groups supporting action to address violence against women and girls?
- Has UNFPA support to GBV/HPs been sufficiently sustained over time?
- Do you believe that there is **political will and official ownership** behind GBV/HPs interventions, and is this changing?

Alternative areas for discussion (if needed)

• To what extent is there **support to relevant groups** including survivors of GBV, adolescents and youth, boys and men, physically and developmentally disabled, or mentally ill?

#### **Development Partners**

// Paste into Annex 6 (Logbook) //

Please could you explain a little bit about your role in relation to UNFPA's work on GBV/HPs?

High priority areas for discussion

- What is your view of UNFPA's strategic positioning regarding GBV/HPs and how should it position itself in the future?
- What are the comparative strengths of UNFPA in the UN system and does it add value to the work of other entities?
- In your view, does UNFPA have the right **strategic partnerships** at the national, state and community levels who else should UNFPA be working with?
- Have you seen **evidence of UNFPA's influence**, including through the use of data, on national decision-making or allocation of resources to address GBV/HPs?

Secondary areas for discussion (if time allows)

- Do you see UNFPA playing an active leadership role around GBV/HPs?
- Do you see the work of UNFPA and its implementing partners as **supporting the right things** to address GBV, harmful practices and discrimination against women and girls?
- Are these the **most relevant issues** for UNFPA to focus on given national priorities and what other agencies are doing?
- In your experience, what factors most help or hinder achieving reductions in GBV/HPs?

Alternative areas for discussion (if needed)

- In your view, do UNFPA's systems and structures support effective working?
- Do you believe that there is **political will and national ownership** behind GBV/HPs interventions, and is this changing?
- To what extent do you see **UNFPA's approach being catalytic** to build wider support and action to address GBV and HPs?

## **Civil Society and Academia**

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Please could you explain a little bit about your role in relation to UNFPA's work on GBV/HPs?

#### High priority areas for discussion

- Are UNFPA GBV/HPs interventions addressing the underlying causes of discrimination that lead to gender-based violence or harmful practices?
- Are these the most relevant issues for UNFPA to focus on given local priorities?
- Is UNFPA's work **coordinated with other organizations**, and has it led to more groups supporting action to address violence against women and girls?
- Is UNFPA playing an active leadership role around GBV/HPs?
- In your experience, what factors most help or hinder achieving reductions in GBV/HPs?

## Secondary areas for discussion (if time allows)

- Do UNFPA's contributions **build on the work by other agencies**, or add value by addressing issues and groups not covered by others?
- Has UNFPA support to GBV/HPs been sufficiently sustained over time?
- In your view, does UNFPA have the right **strategic partnerships** (outside the UN system) at the national, state and community levels?
  - Mutual benefit, critical to achieving shared vision
- Have you seen evidence of UNFPA's influence, including through the use of data, on national decision-making or allocation of resources to address GBV/HPs?

## Alternative areas for discussion (if needed)

• Do you believe that there is **political will and local ownership** behind GBV/HPs interventions, and is this changing?

## Rights Holders Targeted by UNFPA Interventions (sometimes referred to as beneficiaries)

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High priority areas for discussion

- How you came to be involved in this initiative and what the **experience** has been like?
- What significant things have **changed** as a result of this intervention and why?
- What have you learned about what works and what doesn't to end GBV/HPs?

## Alternative areas for discussion (if needed)

- If you had to take this initiative to another place, how would you do it, what would you differently, and why?
- How have you changed as a person from being involved with this work?

## **Annex 13 – Interview Logbook**

Evaluator		Date			
Location					
Description of source					
System roles	Source of motivation / control / knowledge / legitimacy / exclusion				
Name	Institutional affiliation	Gender	FPIC confirmed		
Synthesis of main points (use stakeholder-specific questionnaire where available)					
EQ1 (stakeholder priorities and HRBA)		EQ2 (most relevant interventions)			
EQ3 (leadership and structure)		EQ4 (strategic partnerships)			
EQ5 (outputs)		EQ6 (outcomes)			
EQ7 (sustainability)		EQ7 (coherence and coverage)			
EQ7 (Sustainability)		Let (consider ence and cove	lage)		

## **Standard Introduction**

- We are an independent evaluation team from Itad and ImpactReady (based in the UK) working with UNFPA's Independent Evaluation Office to lead an evaluation of global contributions to addressing gender-based violence and harmful practices.
- The evaluation will cover the period from 2012 until present.
- The evaluation will include two regional case studies, four country-level case studies and a broader portfolio analysis of eight countries.
- The evaluation will be used to support and inform UNFPA's strategic policy and programmatic decisions, organizational learning and accountability and to help generate knowledge on good practices and lessons learned.

- The final evaluation will be presented to the Executive Board in 2018.
- Thank you for agreeing to this interview, which will take between 45-60 minutes. All interviews are confidential, in that information you provide will only be reported in aggregate, summarizing all key informant interviews without attribution to the sources.
- Please could I ask you to write your name, affiliation, and gender for our records.
- Do you have any questions?



## Ensuring rights and choices for all

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